

Combining remote sensing and GIS climate modelling to estimate daily forest evapotranspiration in a Mediterranean mountain area

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Abstract. Evapotranspiration monitoring allows us to assess the environmental stress on forest and agricultural ecosystems. Nowadays, Remote Sensing and Geographical Information Systems (GIS) are the main techniques used for calculating evapotranspiration at catchment and regional scales. In this study we present a methodology, based on the energy balance equation (B-method), that combines remote sensing imagery with GIS-based climate modelling to estimate daily evapotranspiration (ET_d) for several dates between 2003 and 2005. The three main variables needed to compute ET_d were obtained as follows: (i) Land surface temperature by means of the Landsat-5 TM and Landsat-7 ETM+ thermal band, (ii) air temperature by means of multiple regression analysis and spatial interpolation from meteorological ground stations data at satellite pass, and (iii) net radiation by means of the radiative balance. We calculated ET_d using remote sensing data at different spatial and temporal scales (Landsat-7 ETM+, Landsat-5 TM and TERRA/AQUA MODIS, with a spatial resolution of 60, 120 and 1000 m, respectively) and combining three different approaches to calculate the B parameter, which represents an average bulk conductance for the daily-integrated sensible heat flux. We then compared these estimates with sap flow measurements from a Scots pine (*Pinus sylvestris* L.) stand in a Mediterranean mountain area. This procedure allowed us to better understand the limitations of ET_d modelling and how it needs to be improved, especially in heterogeneous forest areas. The method

using Landsat data resulted in a good agreement, R^2 test of 0.89, with a mean RMSE value of about 0.6 mm day^{-1} and an estimation error of $\pm 30\%$. The poor agreement obtained using TERRA/AQUA MODIS, with a mean RMSE value of 1.8 and 2.4 mm day^{-1} and an estimation error of about ± 57 and 50% , respectively. This reveals that ET_d retrieval from coarse resolution remote sensing data is troublesome in these heterogeneous areas, and therefore further research is necessary on this issue. Finally, implementing regional GIS-based climate models as inputs in ET_d retrieval have provided good results, making possible to compute ET_d at regional scales.

1 Introduction

Evaporation and transpiration are the two main processes involved in water transfer from vegetated areas to the atmosphere. Evapotranspiration (ET) from the Earth's vegetation constitutes 88% of the total terrestrial evapotranspiration, and returns more than 50% of terrestrial precipitation to the atmosphere (Oki and Kanae, 2006); therefore it plays a key role in both the hydrological cycle and the energy balance of the land surface. Climate warming may accelerate the hydrological cycle as a result of enhanced evaporative demand in some regions where water is not limiting (Jung et al., 2010). However, the combination of warmer temperatures with constant or reduced precipitation in other regions may lead to a large decrease in water availability for natural and agricultural systems as well as for human needs (Jackson



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et al., 2001), especially in arid or semiarid areas (Jung et al., 2010) such as the Mediterranean basin (Bates et al., 2008).

Evapotranspiration has been measured extensively at local scales using micrometeorological (such as eddy-covariance or the Bowen ratio) or sap flow techniques. Since the last decade, there have been several global initiatives to monitor evapotranspiration in different vegetation types, such as FLUXNET (ORNL DAAC, 2010). Therefore, magnitudes and controls (climate, water availability, physiological regulation, etc.) on evapotranspiration are widely known for different types of vegetation, albeit at small spatial scales. How can we improve, then, our knowledge of evapotranspiration? In terms of spatial variability (and its driving factors) the next challenge is larger scales.

ET can be modelled at global scales using GIS climate-based methodologies such as GIS-based Environmental Policy Integrated Climate – GEPIC – (Liu et al., 2007, 2009), Lund-Potsdam-Jena managed Land – LPJmL – (Rost et al., 2008) or Global Crop Water Model – GCWM – (Siebert and Döll, 2010). However, radiometric measurements provided by remote sensing added to GIS-based climate modelling have proved to be essential for modelling ET because they are the only techniques that allow us to compute it feasibly at both regional (Cristóbal et al., 2005; Kustas and Norman, 1996) and global scales (Mu et al., 2007). Moreover, the use of remote sensing techniques supplements the frequent lack of ground-measured variables and parameters that are required for applying the local models at a regional scale (Sánchez et al., 2008a).

Currently, there are a wide variety of remote sensing models for calculating ET at global or regional scales, such as METRIC (Allen et al., 2007), SEBAL (Bastiaanssen et al., 1998), TSEB (Kustas and Norman, 2000), ALEXI/disALEXI (Anderson et al., 2004), S-SEBI (Roerink et al., 2000), STSEB (Sánchez et al., 2008b) and the B-Method (Jackson et al., 1977; Seguin and Itier, 1983); other methodologies can be found in Schmugge et al. (2002) or Sánchez et al. (2008a). All these theoretical methods used to estimate ET at a regional scale with remote sensing techniques are derived from the energy balance equation based on the principle of energy conservation in a system formed by soil and vegetation. Most of them try to minimize the inputs from ancillary data (often data from ground meteorological stations) in order to make the algorithms more operational at global scales. However, there is currently no agreement on which method is the most appropriate because this often depends on the application purposes.

Most of these methods have been validated in homogeneous covers (crops or natural vegetation) and flat areas, where a single meteorological station record is used to describe the climate conditions of a large area. In these areas, the use of moderate or coarse spatial resolution remote sensing data is enough to obtain accurate daily ET (ET_d) results. However, in more complex and heterogeneous areas, due to the landscape, orographic or climatic variability,

a single meteorological record or remote sensing data with coarse spatial resolution may not be accurate enough to calculate the ET_d . Operative GIS climate-based techniques can be used at regional scales in both simple and complex areas (Ninyerola et al., 2007; Pons and Ninyerola, 2008) to achieve higher accuracy and provide the input variables for agriculture and natural vegetation evapotranspiration modelling.

The objective of this paper is to evaluate a simple method for computing daily ET using stand-scale sap flow measurements made in Scots Pine (*Pinus sylvestris* L.) in a heterogeneous Mediterranean mountain area during a three year period. GIS climate-based regional modelling was used instead of a single meteorological measurement to obtain the meteorological inputs (air temperature and solar radiation) in order to evaluate the performance of this technique. Low (TERRA/AQUA MODIS) and moderate (Landsat-TM/ETM+) spatial resolution remote sensing images were used as the remote sensing inputs in order to evaluate their accuracy in a heterogeneous landscape.

2 Data and methods

2.1 Study area

The study plot is located within the Vallcebre research catchments (Gallart et al., 2005; Latron et al., 2010; Llorens et al., 2010), 42°12' N, 1°49' E, located in the eastern Pyrenees (NE Iberian Peninsula). It has a humid Mediterranean climate, with a marked water deficit in summer. The mean annual temperature at 1260 m is 9.1 °C, and the long term (1983–2006) mean annual precipitation is 862 ± 206 mm, with a mean of 90 rainy days per year. The long term (1989–2006) mean annual reference evapotranspiration, calculated using the Hargreaves and Samani (1982) method, was 823 ± 26 mm. Mudstone and limestone substrates are predominant, resulting in clay soils in the first case, and bare rock areas or thin soils in the latter (Gallart et al., 2002). The vegetation in the area is sub-Mediterranean oak forest (*Buxo-sempervirentis-Quercetum pubescentis* association), but most of the land was terraced and deforested for cultivation in the past, and then progressively abandoned during the second half of the twentieth century. The present landscape is mainly a mosaic of mesophyllous grasslands and patches of Scots pine, which colonized old agricultural terraces after they were abandoned (Poyatos et al., 2003). Figure 1 shows the location of the Vallcebre research catchments.

2.2 Meteorological and remote sensing data

We used two sources of meteorological data to fit and validate the models. In the case of the Scots pine stand, air temperature (HMP35C, Vaisala, Vantaa, Finland), wind speed (A100R, Vector Instruments Rhyll, UK) and net radiation (NR-Lite, Kipp & Zonen, Delft, The Netherlands) were

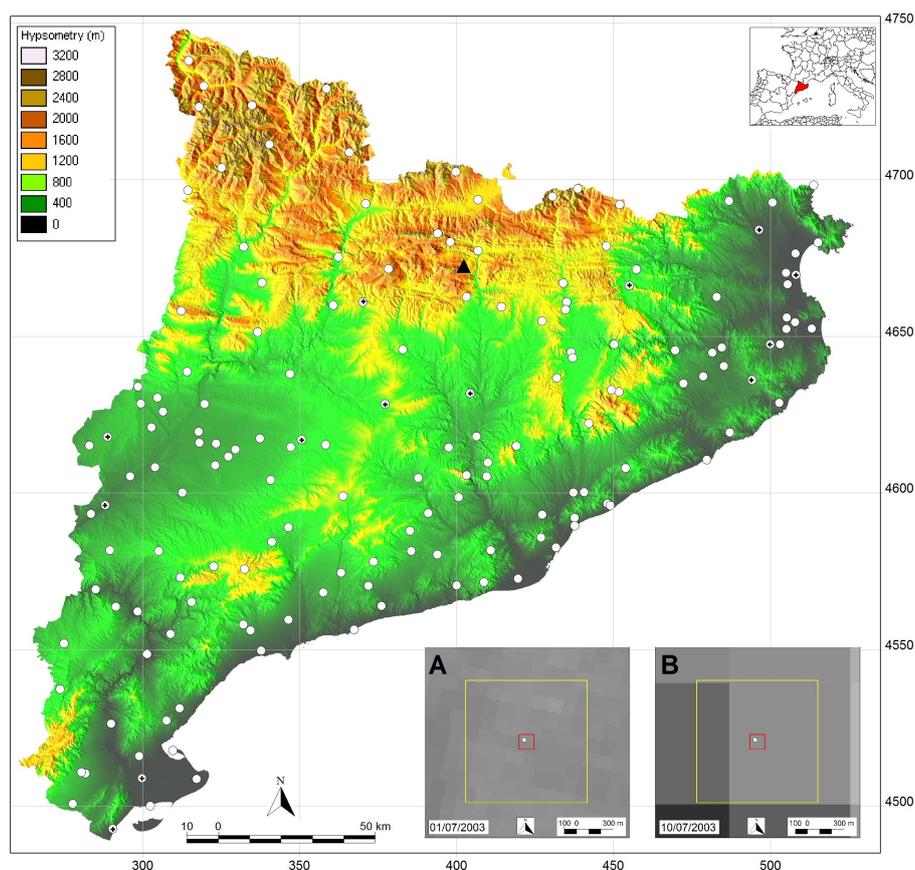


Fig. 1. Location of SMC meteorological stations and Vallcebre research catchments in Universal Transversal Mercator (UTM) projection (UTM coordinates are expressed in km). The white dots are meteorological stations from the SMC that include air temperature sensors, the black dots are meteorological stations from the SMC that include net radiation sensors, and the black triangle indicates the Vallcebre research catchments. Panel (A) is the Landsat-TM LST of 1 July 2003 and panel (B) is the Terra MODIS LST of 10 July 2003 of the Vallcebre research catchments (black triangle). The red square represents a Landsat-TM thermal band pixel (120 m) and the yellow square represents a Terra MODIS thermal band pixel (1000 m). In panels (A) and (B) the white dot is the Scots pine stand.

measured at a height of 2 m above the canopy. Data were recorded every 10 s and stored as 15-min averages in a datalogger, DT500, DataTaker, Australia (Poyatos et al., 2005).

In the case of air temperature and net radiation regionalization, meteorological data from 161 meteorological stations were downloaded from the Catalan Meteorological Service (SMC) web (meteorological data available at <http://www.meteocat.com>). Figure 1 shows the spatial distribution of these two sources of meteorological data.

A set of 30 Terra-MODIS images and 27 Aqua-MODIS images and a set of 11 Landsat-7 ETM+ and 10 Landsat-5 TM images from paths 197 and 198, row 31 were selected to perform the ET_d modelling of the Scots Pine forest stand from 2003 to 2005. In order to avoid canopy interception and to make sap-flow measurements fully representative of ET canopy we selected clear sky dates where no precipitation was present during at least 15 days before and after the selected day. Figure 2 shows the temporal distribution of the selected dates aggregated by month.

Aqua/Terra MODIS images were downloaded using the Land Processes Distributed Active Archive Center gateway (<https://wist.echo.nasa.gov/~wist/api/imswelcome/>). We selected three different types of products which contain the remote sensing data to calculate the ET_d : MOD11A1 and MYD11A1 (which contain Terra and Aqua daily land surface temperature, LST, and emissivity respectively), MOD09GHK and MYD09GHK (which contain Terra and Aqua daily reflectances, respectively), and MOD05 (which contains daily water vapour). Although image time acquisition is different for each satellite, Landsat and Terra satellites pass over Catalonia at a similar time, between 09:30 and 10:30 LST (local solar time). Aqua passes over the same area, but between 13:00 and 14:00 LST.

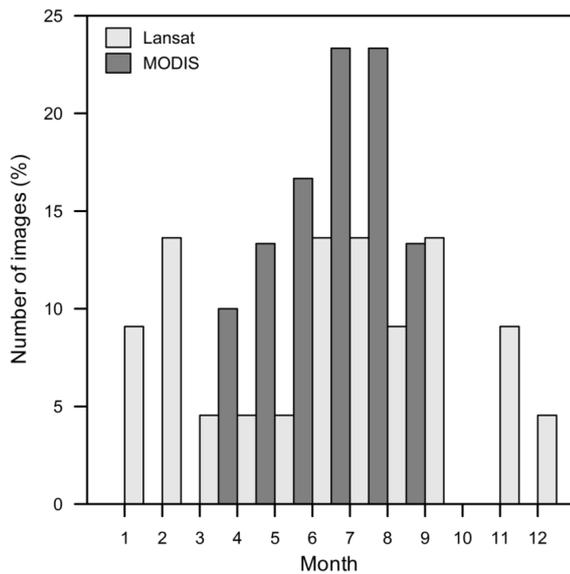


Fig. 2. Monthly temporal distribution of satellite data (clear sky and without bow tie effect: an artefact of the arrangement of sensors on the MODIS instrument, in which the scans are partially overlapping at off nadir angles) used during the period 2003–2005.

2.3 The evapotranspiration model

We used the B-method to compute ET_d . This methodology is derived from the model proposed by Jackson et al. (1977), which is based on the energy balance equation and has been used or modified for both natural vegetation and crop areas by different authors (Caselles et al., 1992, 1998; García et al., 2007; Sánchez et al., 2007, 2008a; Seguin and Itier, 1983; Vidal and Perrier, 1989). Seguin and Itier (1983) proposed a modified equation that needs net radiation (R_n) and the difference between LST and air temperature at satellite pass (T_i) as input variables:

$$ET_d = R_{nd} - B (LST - T_i)^n \quad (1)$$

where subindex d is the daily periods, ET and R_n are in mm day^{-1} and both temperatures are in K. Exponent n is a correction for non-neutral static stability that could be assigned to one, as Seguin and Itier (1983) suggested. Since daily integration of soil heat flux is likely to be close to zero, Eq. (1) expresses the daily integrated surface sensible heat flux into the atmosphere (Allen et al., 1998; Carlson and Buffum, 1989; Seguin and Itier, 1983). Due to the importance of the B parameter in calculating ET_d , we used two approaches to compute B .

B can be defined as an exchange coefficient that in Eq. (1) represents an average bulk conductance for the daily-integrated sensible heat flux. This term is related to the sensible heat flux (H), one of the most difficult variables to determine in the energy balance equation (Bastiaanssen et al., 1998). There are several approaches that use LST directly,

such as the parallel resistance model developed by Norman et al. (1995), and the one developed by Caselles et al. (1992), which is adapted for heterogeneous areas and defined by the following equation:

$$B = \left(\frac{R_{nd}}{R_{ni}} \right) \cdot \left(\frac{\rho C_p}{r_a^*} \right) \quad (2)$$

where subindex i means instantaneous and (R_{nd}/R_{ni}) is called the R_n ratio. ρC_p is the volumetric heat capacity of air ($\text{J kg}^{-1} \text{K}^{-1}$) and r_a^* is the effective aerodynamic resistance. Measurements of effective aerodynamic resistance are not usually easy to obtain; therefore, we considered the aerodynamic resistance of *Pinus sylvestris* to be equal to 28.1 m s^{-1} , as determined by Sánchez et al. (2007), because our study area is similar to that of this previous work (J. S. Sánchez, personal communication, 2009).

In addition, B can also be obtained using the simple equation proposed by Carlson et al. (1995), obtained from a soil-vegetation atmosphere transfer model that integrates the main factors on which B depends, such as wind velocity and aerodynamic resistance; therefore, B can also be defined as:

$$B = 0.109 + 0.51 (\text{NDVI}^*) \quad (3)$$

where NDVI^* is a scaled vegetation index based on the NDVI and is defined as:

$$\text{NDVI}^* = \frac{\text{NDVI}_p - \text{NDVI}_0}{\text{NDVI}_s - \text{NDVI}_0} \quad (4)$$

where subindex p is the image NDVI value for a given pixel, 0 is a bare soil pixel and s is a fully vegetated pixel.

2.4 ET_d model inputs

2.4.1 Landsat and TERRA/AQUA image processing

Landsat images were corrected using the methodology proposed by Palà and Pons (1995) that is based on a first-degree polynomial fit that accounts for the relief using a detailed enough Digital Elevation Model (DEM) obtained from the Cartographic Institute of Catalonia (ICC). This correction also requires a set of ground control points (GCP) that were digitized on screen from 2.5 m digital orthophotos (from the ICC). A planimetric accuracy (obtained with an independent set of GCP) of less than 15 m (half pixel) was obtained. Radiometric correction was carried out following the methodology proposed by Pons and Solé-Sugrañes (1994), which allows us to reduce the number of undesired artifacts due to the atmospheric effects or differential illumination that are results of the time of day, the location on the Earth and the relief (zones being more illuminated than others, shadows, etc). The digital numbers were converted to radiances by means of image header parameters, taking into account the considerations presented by Cristóbal et al. (2004) and Chandler et al. (2009).

AQUA/TERRA MODIS reflectance and LST and emissivity products were imported, with all the necessary metadata to process them. Before that, images were reprojected to UTM-31 N. The water vapour product was geometrically corrected using HEG-WIN software (<http://newsroom.gsfc.nasa.gov/sdptoolkit/HEG/HEGDownload.html>).

2.4.2 Air temperature

Different air temperature input variables are needed to compute net radiation LST and ET_d : satellite pass air temperature (T_i), daily mean air temperature (T_a) and daily minimum air temperature (T_{min}). To regionalize air temperature, we applied a multiple regression analysis with spatial interpolation of residual errors of ground meteorological station data using geographical variables as predictors, such as altitude, latitude, or continentality (Cristóbal et al., 2008; Ninyerola et al., 2000, 2007). Spatial interpolation of the residuals has been computed using the Inverse Distance Weighted interpolation because this interpolator offers better results than other methodologies, at least in the case of air temperature modelling (Ninyerola et al., 2000). Air temperature data were fitted using 60 % of the meteorological ground stations and cross-validated with the remaining 40 %. In these previous works, T_i , T_a and T_{min} were obtained with an RMSE of 1.8 K, 1.3 K and 2.3 K, respectively.

2.4.3 Land surface temperature (LST) and emissivity (LSE)

In the case of Landsat-5 TM and Landsat-7 ETM+, the LST was calculated with the methodology proposed by Cristóbal et al. (2009), which is based on the radiative transfer equation and needs air temperature and water vapour as input variables, and present a RMSE of about 1 K compared with radiosonde data. The methodology is designed for a wide range of water vapour values (0 to 8 g cm⁻²) to take into account global conditions. The TERRA/AQUA MODIS water vapour product (MOD05) was used as the water vapour source. The air temperature was computed as explained in Sect. 4.2.

To compute LSE we used the NDVI Threshold Method proposed by Sobrino and Raissouni (2000) and Sobrino et al. (2008). This methodology uses certain NDVI thresholds to distinguish between bare soil, fully vegetated and mixed pixels. According to the authors it gives an error of 1 % (Sobrino et al., 2008).

2.4.4 Daily net radiation (R_{nd})

Instantaneous net radiation is usually computed with the energy balance equation as follows:

$$R_{ni} = R_{si\downarrow} \cdot (1 - \alpha) + \varepsilon_a \cdot \sigma \cdot T_i^4 - \varepsilon \cdot \sigma \cdot LST^4 \quad (5)$$

where subindex i means instantaneous, α is the surface albedo, $R_{s\downarrow}$ is the incoming short wave radiation, σ is the

Stephan-Boltzmann constant; ε is the surface emissivity and ε_a is the air emissivity. The three terms of Eq. (5) regard to incoming net shortwave radiation, incoming longwave radiation and outgoing longwave radiation, respectively. However, B-method needs R_{nd} instead of R_{ni} as input. Therefore, in order to compute R_{nd} we approached the three terms of Eq. (5) on a daily basis as follows:

$$R_{nd} = R_{sd\downarrow} \cdot (1 - \alpha) + L_d^\downarrow - L_d^\uparrow \quad (6)$$

where α is the surface albedo, $R_{sd\downarrow}$ is the daily incoming short wave radiation, L_d^\downarrow is the daily incoming longwave radiation and L_d^\uparrow is the daily outgoing longwave radiation.

Albedo (α) was computed using the Liang (2001) methodology in the case of Landsat-5 TM and Landsat-7 ETM+ images, and the method by Liang et al. (1999) in the case of TERRA/AQUA MODIS images. Both methodologies use a weighted sum of visible, near infrared and medium infrared radiation, and according to the authors the error in estimating albedo is less than 2 %. Daily solar radiation ($R_{sd\downarrow}$) was obtained with the methodology proposed by Pons and Ninyerola (2008). Given a digital elevation model, we can calculate the incident solar radiation at each point during a particular day of the year taking into account the position of the Sun, the angles of incidence, the projected shadows, the atmospheric extinction and the distance from the Earth to the Sun at fifteen minute intervals. The diffuse radiation was estimated from the direct radiation and the exoatmospheric direct solar irradiance was estimated with the Page (1986) equation that Baldasano et al. (1994) fitted with information from Catalonia.

L_d^\downarrow was computed by means of the methodology proposed by Dilley and O'Brien (1998) that according to the authors shows a RMSE of 5 W m⁻² and a R^2 of 0.99 in its computation.

$$R_{L\downarrow} = \alpha + \beta \left(\frac{T_a}{T_*} \right)^6 + \gamma \sqrt{\frac{w}{w_*}} \quad (7)$$

where α , β and γ are 59.38, 113.7 and 96.96, respectively; w is the water vapour, in kg m⁻², T_* is 273.16 K, w_* is 25 kg m⁻² and T_a is daily mean air temperature.

Finally, L_d^\uparrow was modeled by means of the methodology proposed by Lagouarde and Brunet (1993) as follows:

$$R_{L\uparrow} = \varepsilon R \quad (8)$$

where ε is the land surface emissivity and R is defined as:

$$R = \sigma \int_0^\tau \left[T_{min} + \alpha \Delta T \sin \left(\frac{\pi t}{D} \right) \right]^4 dt \quad (9)$$

where σ is Stefan-Boltzmann constant (5.67×10^{-8} W K⁻⁴ m⁻² d⁻¹), ΔT is the difference between LST and T_a at satellite pass, t , (both in K), T_{min} (K), $\alpha = 1.13$, D is the time difference between sunset and sunrise; and $\tau = 24$ (for a 24 h period).

2.4.5 *B* parameter

As we explained in Sect. 3, *B* parameter was calculated with two approaches: the R_n ratio and NDVI. In the R_n ratio approach, we used two ways to compute the parameter: (1) a regional R_n ratio (hereafter referred to as the *B*- R_n ratio regional) with data from 13 meteorological stations of the SMC meteorological network, and (2) a local R_n ratio (hereafter referred to as the *B*- R_n ratio local) with data from the meteorological station above the Scots pine stand in the Vallcebre research catchments. We used these two data sources to evaluate whether a regional measurement of the R_n ratio provides similar results as a local measurement.

In the NDVI approach (hereafter referred to as the B-NDVI), Carlson et al. (1995) suggested selecting NDVI values depending on the study area. In our case, bare soil and fully vegetated NDVI values were set to 0.1 and 0.7 for the entire dataset, as these values were realistic enough to simulate bare soil and full vegetation conditions over the study area.

2.5 Sap flow measurements and upscaling to stand transpiration

We compared remote sensing daily evapotranspiration estimates with sap flow measurements upscaled to stand transpiration. Sap flow density in the outer xylem was measured with 20 mm long heat dissipation probes constructed according to Granier (1985); 15-min averages of data collected every 10 seconds were stored in a datalogger (DT 500, DataTaker, Australia). Heat dissipation gauges were installed at breast height on the north-facing side of 12 Scots pine trees and were covered with reflective insulation to avoid the influence of natural temperature gradients in the trunk. Sap flow density measured in the outer xylem was corrected for radial variability in sap flow using correction coefficients derived from radial patterns of sap flow within the xylem measured with a multi-point heat field deformation sensor (Nadezhdina et al., 2002). A gravimetric analysis of wood cores was carried out to estimate sapwood depths in a sample of Scots pine trees, and a linear regression was obtained between the basal area and sapwood area of individual trees. Stand transpiration was then calculated by multiplying the average sap flow density within a diametric class by the total sapwood area of trees in that class. Instantaneous values (15-min averages) were then summed to compute daily stand transpiration. Further details on the methodology and results of sap flow measurements used in this study can be found in Poyatos et al. (2005, 2008).

3 Results and discussion

3.1 *B* parameter

The *B* parameter showed different behaviour depending on the approach used. The *B*- R_n ratio local had a mean and standard deviation (s.d.) of 6.9 and 3.2 W m^{-2} respectively, in the case of Landsat dates (see Fig. 2), and a mean and s.d. of 10.8 and 2.2 W m^{-2} respectively, in the case of TERRA/AQUA dates. The *B*- R_n ratio regional displayed a mean and s.d. of 9.5 and 2.3 W m^{-2} respectively, in the case of Landsat dates, and a mean and s.d. of 12.8 and 2.4 W m^{-2} respectively, in the case of TERRA/AQUA dates. Finally, B-NDVI showed a mean and s.d. of 11.9 and 2.6 W m^{-2} respectively, in the case of Landsat dates, and a mean and s.d. of 12.6 and 2.6 W m^{-2} respectively, in the case of TERRA/AQUA dates.

B-NDVI was similar in Landsat and TERRA/AQUA dates, but not in the *B* approach using the R_n ratio, especially in the case of the *B*- R_n ratio local. While on winter and autumn dates the *B*- R_n ratio local had small values (positive or negative) close to 0, B-NDVI tended to show higher positive values. For example, *B* computed on 11 January 2005, using the local R_n ratio gave a negative value close to 0 W m^{-2} , whereas in the case of B-NDVI it was 11.8 W m^{-2} . During these seasons we would expect low *B* values due to the energy budget; therefore, this suggests that B-NDVI could be less sensitive in winter and autumn situations than the *B*- R_n ratio.

In the case of the *B*- R_n ratio, the R_n ratio is usually obtained from a net radiation sensor over the study area. Some authors have used a constant value of 0.3 ± 0.02 (Seguin and Itier, 1983; Kustas et al., 1990; García et al., 2007) because most of the dates used in these studies were in spring or summer and over crop areas. However, we found that our local (Vallcebre research catchments) and regional (SMC meteorological stations) R_n ratios varied over the year (see Fig. 3). The local and regional R_n ratios for the Landsat/TERRA satellite pass had an annual mean (from 2003 to 2005 period) of 0.16 ± 0.05 (mean and s.d.) and 0.22 ± 0.03 respectively, and in the case of the AQUA satellite pass, an annual mean of 0.17 ± 0.05 and 0.21 ± 0.02 respectively. In addition, the R_n ratio varied little from 09:00 to 14:00 in our study area, and thus was useful in Landsat and TERRA/AQUA ET_d modelling. Therefore, we used a daily R_n ratio instead of a constant R_n ratio. This is in agreement with other authors who also reported a similar R_n ratio behaviour (Sánchez et al., 2007; Sobrino et al., 2005; Wessenaar et al., 2002). R_n ratio values reported in these studies are similar to the regional R_n ratio computed in our study area because our value was obtained at meteorological stations at a similar altitude as those in the literature. However, the local R_n ratio values are lower, which shows that this ratio does not only vary with latitude as Sánchez et al. (2007) suggests, but also with altitude.

Table 1. (a): Descriptive statistics of daily net radiation (R_{nd}) and daily evapotranspiration (ET_d) measured over the Scots pine stand, and Landsat R_n and ET_d modelled using 3 methods: $B-R_n$ ratio regional, $B-R_n$ ratio local and B-NDVI (see text). (b) Model validation results. s.d. is standard deviation, RMSE is root mean square error and MBE is mean bias error.

		R_{nd} measured ($W m^{-2}$)	R_{nd} model ($W m^{-2}$)	ET_d measured ($mm day^{-1}$)	ET_d modelled ($mm day^{-1}$)		n	
					$B-R_n$ ratio			B-NDVI
					regional	local		
(a)	Mean	121	106	1.8	2.2	2.0	1.9	17
	s.d.	60	62	0.6	0.3	1.0	1.1	
	min	15	9	0.5	0.2	0.0	0.0	
	max	194	186	2.7	2.1	3.3	3.7	
(b)	RMSE		22		0.7	0.5	0.6	
	MBE		-15		0.4	0.2	0.1	
	R^2		0.89		0.80	0.85	0.85	

Table 2. (a): Descriptive statistics of daily net radiation (R_{nd}) and daily evapotranspiration (ET_d) measured over the Scots pine stand, and TERRA MODIS R_n and ET_d modelled using 3 methods $B-R_n$ ratio regional, $B-R_n$ ratio local and B-NDVI (see text). (b) Model validation results. s.d. is standard deviation, RMSE is root mean square error and MBE is mean bias error.

		R_{nd} measured ($W m^{-2}$)	R_{nd} model ($W m^{-2}$)	ET_d measured ($mm day^{-1}$)	ET_d modelled ($mm day^{-1}$)		n	
					$B-R_n$ ratio			B-NDVI
					regional	local		
(a)	Mean	144	147	1.1	3.2	3.5	3.3	30
	s.d.	28	42	0.8	1.5	1.5	1.5	
	min	82	61	0.5	1.0	1.3	0.7	
	max	188	247	2.7	6.1	6.4	6.8	
(b)	RMSE		21		1.8	2.0	1.9	
	MBE		3		1.1	1.4	1.2	
	R^2		0.77		0.06	0.07	0.05	

Further research into R_n ratio modelling in mountainous areas is therefore needed.

Moreover, it is worth remarking that the local R_n ratio obtained in the Scots pine stand displayed a different pattern to the regional R_n ratio because it had negative values on winter days when the R_n budget is negative, which often occurs in mountainous areas (Barry, 2001). ET_d models do not usually predict this situation because they are generally applied in spring and summer and in relatively flat and low altitude areas.

3.2 Daily net radiation validation

The results show a good agreement between the R_{nd} measured in the Scots pine stand and the R_{nd} obtained using

the Landsat regional model with an R^2 of 0.89 and an RMSE of $22 W m^{-2}$ (see Table 1). The R_{nd} derived from TERRA/AQUA MODIS showed a similar RMSE but lower R^2 (0.77 and 0.73 respectively; Table 2). It is worth noting that the proposed R_{nd} model developed with regional variables, such as $R_{sd\downarrow}$, LST and T_a , makes it possible to approximate this variable over large areas with a high level of accuracy.

3.3 ET_d validation

3.3.1 Landsat

In the ET_d validation, we obtained a test R^2 of 0.84 when the B parameter was estimated using a regional R_n ratio

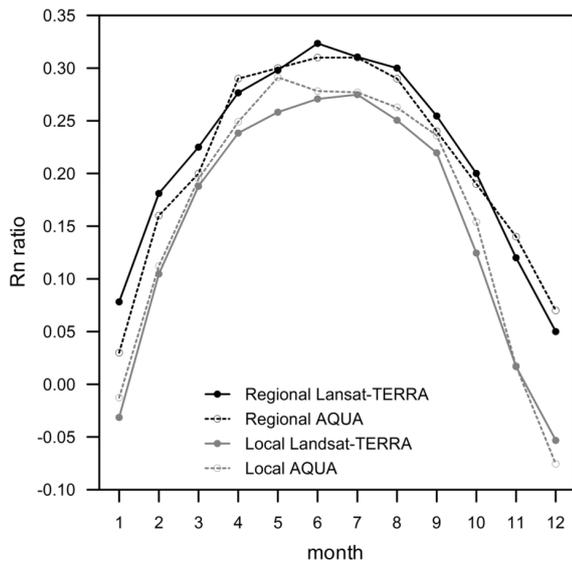


Fig. 3. Mean monthly local and regional R_n at the Landsat/TERRA and AQUA satellite pass on clear sky days from 2003 to 2005.

computed with the SMC meteorological stations (B - R_n ratio regional), 0.84 when the B parameter was estimated using a local R_n ratio computed with the Scots pine stand meteorological station (B - R_n ratio local), and 0.82 when the B parameter was estimated using the NDVI approach, B-NDVI. It is interesting to note that for the ET_d models used, the minimum values were always negative. This mainly happens on winter dates when the R_n ratio is also negative. Therefore, on winter dates this methodology should only be used on days when the R_n budget is positive. Errors close to 1 mm day^{-1} were obtained for the RMSE. Taking into account the range of ET_d values observed in the studied Scots pine stand (from 0.5 to 2.7 mm day^{-1}), we cannot conclude that the model provides optimal results. When the R_{nd} ratio is negative during winter, the ET_d yields negative values and the model does not perform well. Again, it is worth noting that ET_d models are usually validated on spring or summer dates (Chiesi et al., 2002; Nagler et al., 2005, 2007; Sánchez et al., 2007, 2008a; Verstraeten et al., 2005; Wu et al., 2006) when the daily R_n budget is positive. Our attempt to also estimate ET_d during autumn and winter has shown the limitations of the method and how ET_d modelling needs to be further improved, especially in forest areas.

We obtained a better mean RMSE for the different models when only those dates with a positive R_{nd} ratio were selected, which ranged from 0.5 to 0.7 mm day^{-1} with a similar R^2 (see Tables 2–3 and Fig. 4). Of the different approaches used to compute the B parameter, the best results were obtained using the local R_n ratio and the NDVI approaches, with a RMSE of 0.5 and 0.6 mm day^{-1} , respectively, and an estimation error of about $\pm 30\%$. Indeed, the regional R_n ratio yielded a higher RMSE and estimation error

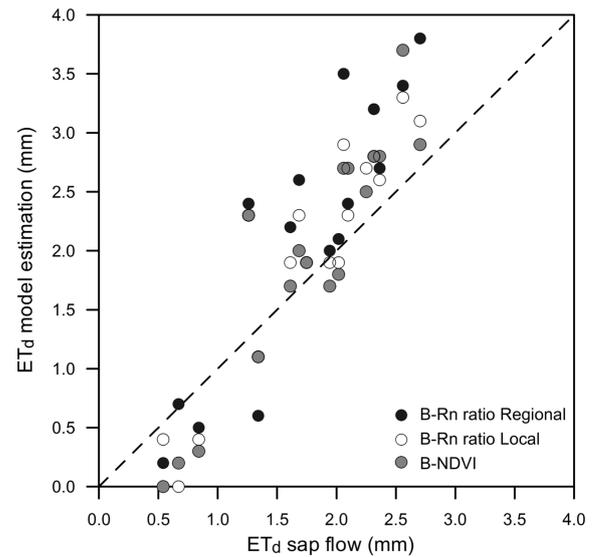


Fig. 4. Relationship between daily evapotranspiration (ET_d) calculated from sap flow measurements modelled using Landsat data and the B parameter approach in mm day^{-1} . B - R_n ratio regional is the B approach using the regional R_n ratio, B - R_n ratio local is the B approach using the local R_n ratio and B-NDVI is the B approach using the NDVI.

of about 0.7 mm day^{-1} and $\pm 38\%$ respectively; this could be explained by the differences in the R_n ratio estimation. The regional R_n ratio was computed from the data from the SMC meteorological stations, which are designed for crop assessment and are located in areas at low to medium heights (from 0 to 500 m). Our study area is located at 1250 m; therefore, the regional R_n ratio conditions are not representative of our study area. However, it is important to note that optimal R_n ratio values are difficult to obtain because it would be necessary to have a meteorological network with R_n instruments distributed at different altitudes in diverse landscapes. Moreover, R_n instruments are usually found in agrometeorological networks but not very often over forest areas. Although the two B parameter approaches (B - R_n ratio local and B-NDVI) obtained similar results, the main advantage of the NDVI approach is easily implemented, when realistic values of NDVI thresholds to compute NDVI* are selected, to compute ET than B - R_n ratio local or regional because that require intensive local calibration. So, if a well-balanced regional R_n ratio is not available due to limitations in the meteorological networks, the NDVI approach is preferable for computing the B parameter at regional scales in an operative way. In all cases, the models tended to overestimate ET_d , showing higher values in the case of the regional R_n ratio and lower values in the case of the NDVI approach.

In this study, we are strictly comparing evapotranspiration with stand transpiration of the dominant tree species. As the understory in the studied stand is very poor, the only other contribution comes from soil evaporation, with typical rates

Table 3. (a): Descriptive statistics of daily net radiation (R_{nd}) and daily evapotranspiration (ET_d) measured over the Scots pine stand, and AQUA MODIS R_n and ET_d modelled using 3 methods: $B-R_n$ ratio regional, $B-R_n$ ratio local and B-NDVI (see text). (b) Model validation results. s.d. is standard deviation, RMSE is root mean square error and MBE is mean bias error.

	R_{nd} measured ($W\ m^{-2}$)	R_{nd} model ($W\ m^{-2}$)	ET_d measured ($mm\ day^{-1}$)	ET_d modelled ($mm\ day^{-1}$)		<i>n</i>
				$B-R_n$ ratio		
				regional	local	
Mean	143	148	2.1	3.7	3.9	27
s.d.	27	41	0.7	1.7	1.6	
(a) min	82	62	0.9	1.3	1.6	
max	188	251	3.6	9.0	8.9	
(b) RMSE		22		2.3	2.4	2.3
MBE		0		1.6	1.8	1.7
R^2		0.73		0.06	0.05	0.03

of 0.1 to 0.5 $mm\ day^{-1}$ (Poyatos et al., 2007). These values are consistent with the systematic bias between sap flow-derived transpiration and the ET_d models (see Fig. 4).

In addition, it should be stressed that the difficulty of obtaining the effective aerodynamic resistance and the use of a constant value for the analyzed period may have introduced more variability into our analysis, and thus increased the error in the ET_d models.

3.3.2 TERRA/AQUA MODIS

TERRA and AQUA ET_d validation did not obtain the same results as Landsat (see Tables 2–3 and Figs. 5–6). In both cases, ET_d validation showed a higher RMSE, between 1.8 and 2.4 $mm\ day^{-1}$, a low R^2 , between 0.03 and 0.07 and estimation error of about ± 57 and 50 %, respectively. Despite air temperature models also showing good validation results, one possible source of error is the LST. Therefore, it seems that although TERRA/AQUA MODIS LST provides good results in R_{nd} modelling, this is not the case in ET_d modelling.

The study area does not cover a 1000 m \times 1000 m pixel, and therefore the remote sensing data, especially the LST, is less representative than a Landsat pixel of 60 (ETM+) or 120 m (TM). As we mentioned in Sect. 2.1, the study area has high spatial heterogeneity, mosaic of afforestation patches overgrowing old agricultural terraces (Poyatos et al., 2003), at smaller scales than the coarse TERRA/AQUA MODIS pixel, which makes it difficult to validate the ET_d model results. However, it has to be noted that validating a TERRA/AQUA MODIS in a heterogeneous mountain landscape is not easy due to the extensive instrumentation needed to measure the energy flux in each of the landscape covers. Therefore, it seems that the use of TERRA/AQUA MODIS images themselves in this type of landscape is not enough

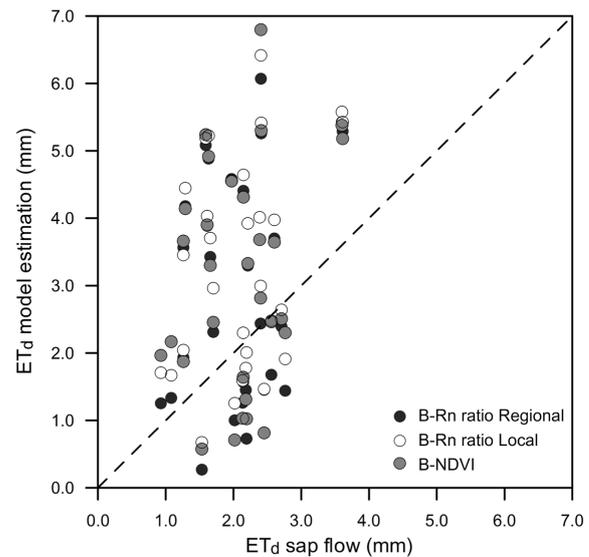


Fig. 5. Relationship between daily evapotranspiration (ET_d) calculated from sap flow measurements and modelled using TERRA MODIS data and the B parameter estimation in $mm\ day^{-1}$. $B-R_n$ ratio regional is the B approach using the regional R_n ratio, $B-R_n$ ratio local is the B approach using the local R_n ratio and B-NDVI is the B approach using the NDVI.

to accurately map ET on a daily basis. In order to improve the ET_d results using coarse resolution images, downscaling techniques such as those in Anderson et al. (2004) are required.

There are very few studies in the literature that monitor ET_d at both high spatial and temporal resolutions during an annual period in a forest area, especially using a large number of Landsat images. In addition, most of the studies to date have dealt with environments subjected to

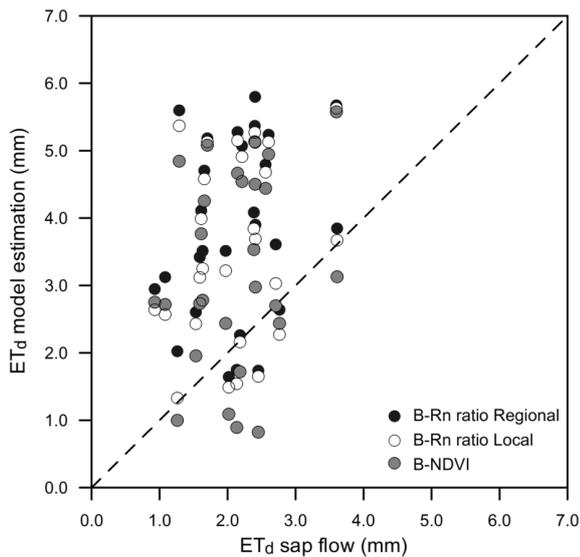


Fig. 6. Relationship between daily evapotranspiration (ET_d) calculated from sap flow measurements and modelled using AQUA MODIS data and the B parameter estimation in mm day^{-1} . B - R_n ratio regional is the B approach using the regional R_n ratio, B - R_n ratio local is the B approach using the local R_n ratio and B-NDVI is the B approach using the NDVI.

only mild water stress, such as riparian forests, crops or boreal stands. For example, Wu et al. (2006) reported an RMSE of 0.6 mm day^{-1} in a tropical forest using only one Landsat image. They compared their results with estimates from the literature due to the difficulty in validating these kinds of regions using sap flow measurements. Nagler et al. (2005, 2007) modelled ET_d using 8 Landsat-5 TM and about 90 MODIS dates in a cottonwood plantation in riparian corridors of the western US during the July–August period in 2005, and obtained an uncertainty in modelled ET_d of 20–30%. Verstraeten et al. (2005) also reported an uncertainty of about 27% in instantaneous ET modelled with NOAA-imagery and validated using EUROFLUX data during the growing seasons of European forests, from March to October. Sánchez et al. (2007) modelled ET_d using MODIS in a homogeneous *Pinus sylvestris* stand in the boreal region, and reported an RMSE of 0.81 mm day^{-1} and an uncertainty of about 30% in ET_d compared to eddy-covariance data. With a more demanding method in terms of ancillary data needs, FOREST-BGC, Chiesi et al. (2002) reported a mean RMSE of 0.4 mm day^{-1} introducing LAI derived from 10-day composites of NOAA images in two oak stands. More recently, Sánchez et al. (2008a) obtained a value of 0.7 mm day^{-1} in different coniferous, broad-leaf and mixed forests in the Basilicata region with three Landsat-5 TM and ETM+ images from spring and summer.

Overall, for Landsat ET_d modelling, our results are in agreement with the studies in the literature, as we obtained an uncertainty of about 30%. One positive point of the results

of the Landsat ET_d models across different seasons is the robust ET_d estimation under varied conditions of water availability, as the studied stand undergoes different degrees of water stress in spring, summer and autumn (Poyatos et al., 2008). However, in the case of MODIS ET_d modelling, the validation shows a higher RMSE, which suggests that higher spatial resolution is needed for heterogeneous areas.

In addition, it is worth noting that implementing regional models for calculating T_a , LST and $R_{sd\downarrow}$, as inputs in both R_n and ET_d modelling has provided good results and made it possible to compute these variables at regional scales with similar accuracy to that in the literature.

It is important to note, however, that we have found some limitations in ET_d modelling in a mountainous forested area that should be addressed in the future in order to monitor this variable in an operational way. Further work to improve the described methodology should include: (i) the validation of a multi-scale remote sensing model (Anderson et al., 2004) for disaggregating regional fluxes to micrometeorological scales. This would allow ET_d to be monitored on a daily basis instead of on a 16-day basis thanks to the TERRA/AQUA temporal resolution; (ii) the implementation of methodologies for calculating aerodynamic resistance, such as those described by Norman et al. (1995) and Sánchez et al. (2008a,b).

4 Conclusions

The B-method has been used to estimate daily evapotranspiration (ET_d) in a Scots pine stand in a mountainous Mediterranean area, obtaining an estimation error of $\pm 30\%$ (corresponding to $0.5\text{--}0.7 \text{ mm day}^{-1}$) using medium spatial resolution imagery, Landsat-5 TM and Landsat-7 ETM+, and the different approaches presented. These results are in agreement with recent studies that used a similar spatial resolution. However, when lower spatial resolution was used (TERRA/AQUA MODIS) the results showed larger errors, 1.9 and 1.7 mm day^{-1} respectively.

The R_n ratio emerged as an important parameter to be considered when the B-method is used. Although this ratio is close to 0.3 in spring and summer months, this value is not appropriate for winter and autumn because when the R_n ratio is negative (negative R_n budget) the B-method does not provide a realistic ET_d . Further research is therefore needed to estimate this parameter in these conditions.

The best ET_d results were obtained using a local R_n ratio approach to calculate the B parameter, followed by the method using NDVI. The regional R_n ratio resulted in larger errors, which means that if a well balanced meteorological network (with R_n sensors) is not available, the NDVI approach is preferable for calculating the B parameter at a regional scale in an operative way.

Regional input variables for calculating ET_d , such as $R_{sd\downarrow}$, LST and T_a , performed well, making possible to compute it at a regional scale with a good level of accuracy.

Finally, using a large number of remote sensing images that are well distributed over the analyzed period, especially in the case of Landsat, allowed us to better understand the limitations of the methodologies and how to address the further improvement of ET_d modelling, especially in forest areas.

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