



# Climate change and sectors of the surface water cycle In CMIP5 projections

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**Abstract.** Results from 10 global climate change models are synthesized to investigate changes in extremes, defined as wettest and driest deciles in precipitation, soil moisture and runoff based on each model's historical 20th century simulated climatology. Under a moderate warming scenario, regional increases in drought frequency are found with little increase in floods. For more severe warming, both drought and flood become much more prevalent, with nearly the entire globe significantly affected. Soil moisture changes tend toward drying, while runoff trends toward flood. To determine how different sectors of society dependent on various components of the surface water cycle may be affected, changes in monthly means and interannual variability are compared to data sets of crop distribution and river basin boundaries. For precipitation, changes in interannual variability can be important even when there is little change in the long-term mean. Over 20% of the globe is projected to experience a combination of reduced precipitation and increased variability under severe warming. There are large differences in the vulnerability of different types of crops, depending on their spatial distributions. Increases in soil moisture variability are again found to be a threat even where soil moisture is not projected to decrease. The combination of increased variability and greater annual discharge over many basins portends increased risk of river flooding, although a number of basins are projected to suffer surface water shortages.

change across a range of emission/mitigation scenarios. The CMIP5 simulations have suggested that hydrologic feedbacks of the land surface to the atmosphere are likely to intensify and the spatial and temporal extent of the regions of strong feedbacks will expand in the 21st century (Dirmeyer et al., 2013, 2014). Land–atmosphere interactions are studied because of their potential implications for climate predictability and their role in hydrologic extremes. These previous results motivate us to examine how extremes in the surface water cycle are projected to change in the next century, and how they may affect specific sectors of society.

Recent studies have used the output of a small number of CMIP5 models to drive an additional suite of sector models to assess changes in the likelihood of flood (Dankers et al., 2014), drought (Prudhomme et al., 2014), significant water resource impacts (Schewe et al., 2014) and agriculture (Rosenzweig et al., 2014). In such a two-step modeling approach, versions of the same land surface model are sometimes employed twice (once in the CMIP5 climate model and again as a sector model) or different land surface models are convolved where inconsistencies can amplify errors (cf. Koster et al., 2009).

It is arguably a cleaner comparison to examine the CMIP5 output directly, even if sector behaviors are poorly represented or absent in the models themselves. By comparing relevant climate outputs superposed on secondary sector data sets such as crop distributions and hydrologic catchments, key drivers may be assessed in an alternative way. In this study, we examine projected changes in the extremes within aspects of the surface water cycle and their potential impacts as directly represented by the CMIP5 models. We have characterized three sectors of water cycle extremes for this

## 1 Introduction

The suite of climate model simulations from the Coupled Model Intercomparison Project Phase 5 (CMIP5) offers a wealth of information about the potential for future climate

study: meteorological (precipitation), hydrological (runoff) and agricultural (soil moisture).

Section 2 describes the data sets used and the specific analyses applied to those data in this study. Changes in the occurrence rates of extremes are presented in Sect. 3. Section 4 categorizes projected hydrologic changes in terms of the sectors defined above. Rainfall changes are assessed based on the spectrum of various climate indices. Soil moisture changes are composited against the global coverage of various types of crops, suggesting possible impacts on agriculture. Runoff changes are integrated over large river basins to assess impacts on water resources. A discussion of caveats for this study is given in Sect. 5, and a summary is presented in Sect. 6.

## 2 Data and analyses

Monthly mean fields of precipitation, total runoff and moisture in the uppermost 10 cm of the soil from CMIP5 simulations (Taylor et al., 2012) are examined, along with averages of interannual standard deviation (IASD) based on monthly means. Data are taken from single simulations of 10 different climate models, the first ensemble member in each case (r1i1p1). The 10 models are listed in Table 1. Three different climate cases are considered: the transient 20th century (historical) case as well as two of the future climate scenarios – net radiative forcing scenarios of  $4.5 \text{ Wm}^{-2}$  (RCP45) and  $8.5 \text{ Wm}^{-2}$  (RCP85) (van Vuuren et al., 2011). Output from the last 90 simulated years of the three different climate cases of each model are detrended before interannual variances are calculated, as described in Dirmeyer et al. (2014). All analyses are performed on each model's native grid on a month-by-month basis, and then aggregated to standard 3-month seasons by averaging the results across the 3 months, or aggregated across growing seasons for the crop-based analysis as explained below. Only multi-model statistics are shown – all multi-model means are a simple average of the indicated statistic across the 10 models.

Historical thresholds for extremes are defined for each model and month at each land surface grid box. Specifically, the extreme deciles are calculated from the last 90 years of un-detrended data from the historical case; the thresholds would be the values for the ninth driest and ninth wettest value for each month at each point. This defines for this study the contemporary 20th century definitions of “drought” and “flood” as represented by each model for each month of the year. These thresholds are then compared to the distributions derived from the RCP4.5 and RCP8.5 cases; that is, we determine how many years out of 90 exceed the historical thresholds. Large changes indicate areas where adaptation will be critical for future water resources. Calculations are performed for each month and then averaged to derive estimates for 3-month seasons.

As explained in Dirmeyer et al. (2013), data from each model is interpolated onto a high-resolution global grid before being combined into multi-model analyses. This avoids loss of information in the process of interpolation to a lower resolution grid than some models, or between offset grids of a similar resolution. However, the results should still be interpreted as representative of variability at the resolution of the climate models. We use only data over land from each model based on each model's land–sea mask, and retain data on the high-resolution grid only where at least 8 of the 10 models have land.

For the hydrologic sector analysis, the CMIP5 data are interpolated onto the  $1/2^\circ$  grid of the Total Runoff Integrated Pathways (TRIP) river routing data set of Oki et al. (1999). TRIP is also used to define the 100 largest named river basins for basin-scale assessments. For the agricultural sector analysis, the high-resolution grid matches the  $1/12^\circ$  gridded crop information of Sacks et al. (2010). This is also the data set used for the global distribution of 19 major types of crops, as well as the mean planting and harvest dates. As explained in the documentation for that data set, crop calendar data were not available for all locations where each crop is grown. Extrapolated planting and harvest dates are provided that fill out the global grid. This information is used to define the growing season at each location so that totals, averages and IASD of the multi-model water cycle variables can be calculated for the growing season. Fields for extremes in the meteorological sector (precipitation) are also analyzed on the grid of Sacks et al. (2010).

## 3 Changes in extremes

Figure 1 shows the multi-model estimates for the changes in occurrence of droughts and floods in precipitation. Shading indicates where the 20th century thresholds are exceeded at least 50, 100 (double), 200 (triple) and 300 (quadruple) percent more often than in the historical case (effectively 13.5, 18, 27 and 36 out of 90 years). Bootstrap tests of sampling with replacement over  $10^4$  time series simulations suggest the likelihood of falsely exceeding 50, 100 and 200 % increases in frequency of a 10 % tail with a sample size of 90 are 7.6, 0.7 and less than 0.01 %, respectively. There are some locations where both extremes become notably more frequent; the color scales overlap in those locations (e.g., south-central Amazon during JJA).

Noteworthy projected changes in the RCP4.5 case are almost all toward increased frequency of drought. There are only a few points at high northern latitudes during winter where the multi-model average suggests an increase in precipitation by more than 50 %. Rather, there is a stark increase in drought likelihood over much of tropical South America in all seasons, and smaller areas of potential doubling of drought frequency over parts of Africa, Australia, the Mediterranean region, and southern North America.

**Table 1.** CMIP5 models used in this paper.

Center	Model version	Resolution	Notes
Canadian Centre for Climate Modelling and Analysis	CanESM2	128 × 64	
Commonwealth Scientific and Industrial Research Organisation – Bureau of Meteorology	ACCESS1-0	192 × 145	No runoff data; no soil moisture for RCP4.5
Institute for Numerical Mathematics	INM-CM4	180 × 120	
University of Tokyo Division of Climate System Research	MIROC5	256 × 128	
Met Office Hadley Centre	HadGEM2-ES	192 × 145	No runoff data
Meteorological Research Institute, Japan Meteorological Agency	MRI-CGCM3	320 × 160	
National Aeronautical and Space Administration – Goddard Institute for Space Studies	GISS-E2-R	144 × 90	
Norwegian Climate Centre	NorESM1-M	144 × 96	
National Oceanic and Atmospheric Administration – Geophysical Fluid Dynamics Laboratory	GFDL-ESM2M	144 × 90	
National Center for Atmospheric Research	CESM1-CAM5	288 × 192	

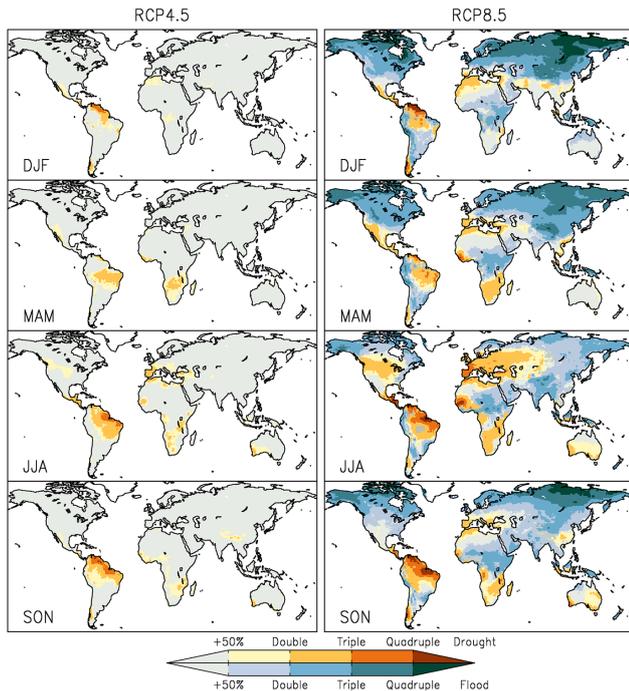
In the RCP8.5 case, there is hardly a region or season that is not projected to experience a marked change in precipitation extremes. There are widespread increases in flood frequency at higher latitudes of the Northern Hemisphere, peaking in area and severity during DJF. Increases are also projected throughout most or all of the year over much of tropical Africa and extratropical South America, the Pacific coasts of Colombia, Ecuador and Peru, Indonesia, South Asia and Tibet. Otherwise, the regions of more pervasive drought in RCP4.5 intensify and expand.

These changes are largely reflected in soil moisture as well (Fig. 2), with a shift in the zero line relative to the precipitation changes toward more drought area, reflecting the increase in evaporation that accompanies warmer temperatures. A number of agriculturally important locations are seen to suffer a doubling or tripling of drought in the RCP4.5 case, and even a quadrupling or more in the RCP8.5 case. Such areas include much of eastern Europe in spring, the Great Plains of North America in summer, and the Mediterranean region year-round. Most agricultural areas of South and East Asia appear to escape escalation in drought in the RCP4.5 case, but China and Southeast Asia begin to succumb in RCP8.5. Tropical South America and southern Africa appear to be hard-hit in both scenarios. Wet extremes in soil moisture become much more frequent across India and surrounding nations during and after the monsoon. It is not immediately clear whether this would be helpful or disruptive

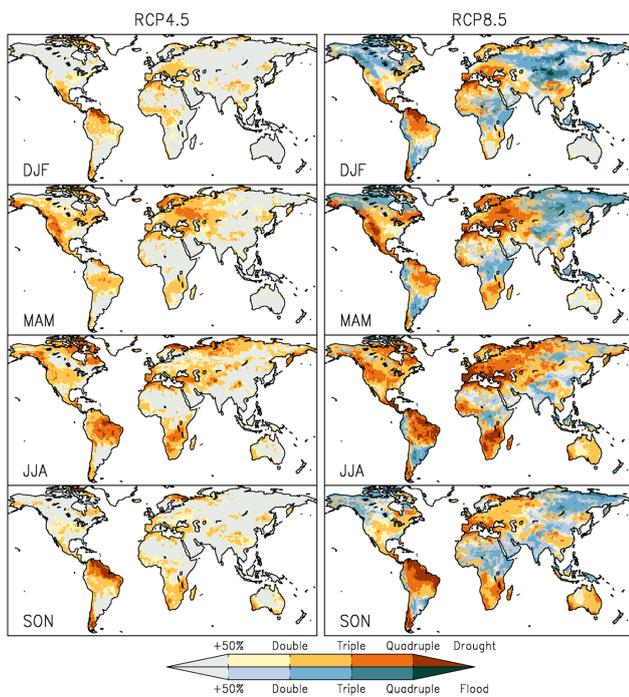
for agriculture – consequences would likely depend on the location, character of the precipitation and crops grown.

Figure 3 shows the basin-average changes in the frequency of drought and flood for the 100 largest named river basins in the TRIP data set. In RCP4.5 there is only one instance of a basin having at least a 50% increase in flood situations – the Yukon in DJF. Otherwise, the drought regions evident in precipitation are reflected here, particularly over northern South America, southern North America, southern Europe and parts of Africa. Whereas changes in soil moisture extremes skew toward drought relative to the precipitation changes, runoff extremes tend more strongly toward flood. This difference is symptomatic of the projected changes in precipitation rates toward more intense rainfall events separated by more severe dry periods. The change in the frequency distribution of precipitation favors runoff increases and reduces infiltration. Combined with greater evaporative stresses, soil moisture easily becomes depleted.

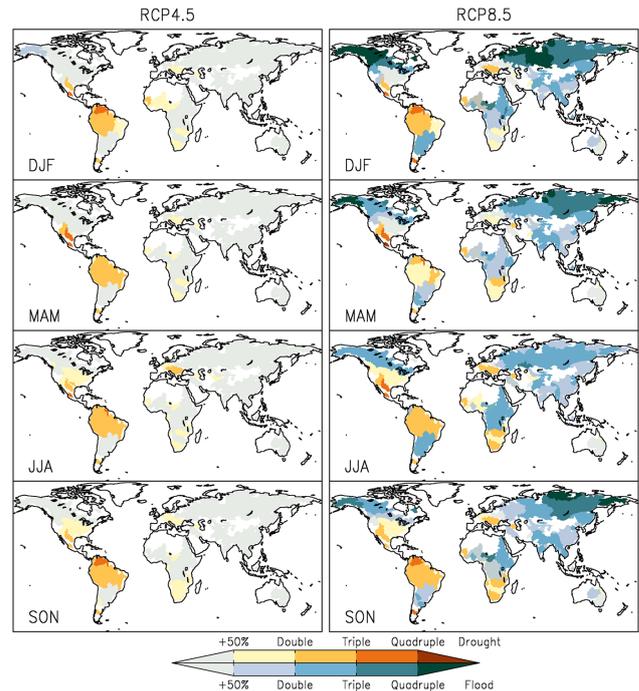
We also examined whether there were situations where drought or flood extremes moderated from RCP4.5 to RCP8.5. There are some locations and seasons for each variable where the number of years out of 90 with drought drops by 50% or more in RCP8.5 relative to RCP4.5 (expected by random chance in about 1.8% of cases according to bootstrap simulations). These instances are mainly confined to the tropics and subtropics, and are much smaller than the area where drought years increase by 50% or more. The



**Figure 1.** Multi-model estimates of change in exceedance of 10% (drought) and 90% (flood) monthly precipitation totals in the RCP4.5 case (left) and RCP8.5 case (right), based on the historical simulation climatologies, for the indicated seasons. “Double” indicates twice as many months exceed the historical 10% threshold for the tail of the distribution, “triple” is three times, etc.



**Figure 2.** As in Fig. 1 for moisture in top 10 cm of soil.



**Figure 3.** As in Fig. 1 for basin-average runoff from the 100 largest river basins.

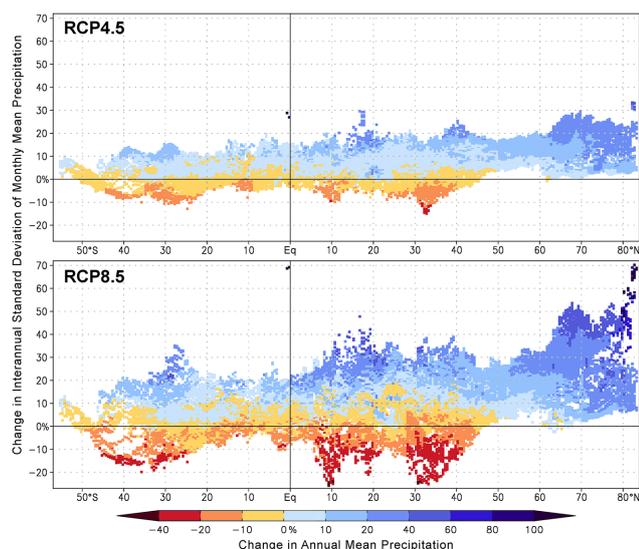
area where flood extremes increase by 5 or more years from RCP4.5 to RCP8.5 covers nearly the entire globe for each season and variable, even soil moisture (not shown). There are no locations where the multi-model estimates for flood extremes dropped by more than four cases per 90 years for any of the variables examined.

## 4 Sector impacts

Here we present tailored examinations of the meteorological (precipitation), agricultural (soil moisture) and hydrological (runoff) sectors.

### 4.1 The meteorological sector

Meteorological extremes are among the simplest to quantify, as they can be based on easily measurable quantities such as temperature or precipitation. However, they are somewhat removed from the tangible impacts on both human and natural systems. To make precipitation projections more relevant, we concentrate on the relative changes between precipitation means and variability, the later quantified as the IASD of monthly precipitation. Figure 4 is a scatter diagram where the abscissa is the latitude of land grid points on the high-resolution grid used for multi-model results. The ordinate shows the change in the IASD of monthly mean precipitation from the historical case, averaged over all months, to each of the two future scenarios indicated. Colors show the



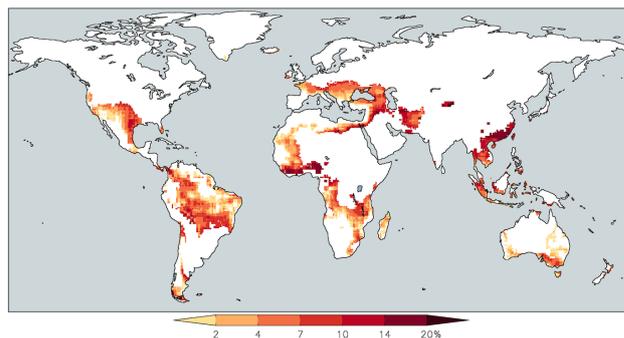
**Figure 4.** Scatter diagram of the change from the historical case to the indicated future climate projection of the annual mean precipitation (color) and average IASD of monthly mean precipitation (y axis) for all land points as a function of latitude. Each mark is a grid box on the interpolated high-resolution grid. All changes are expressed as a percentage of the climatological value from the historical case.

corresponding change in annual mean precipitation for each corresponding grid point.

A number of noteworthy features are evident in this figure. First, as one might expect, the range of changes in both mean precipitation and its variability are generally larger in the RCP8.5 case than in RCP4.5. Second, there is a strong correspondence between the changes in mean and variance – the blue colors tend to appear for the larger changes of IASD, and the redder colors are for the small and negative changes. North of about 50° N, nearly all locations are projected to have increases in both the mean and variability of precipitation.

From the standpoint of impacts, the most important feature may be the preponderance of points above the  $x$  axis that are shaded orange or red. These indicate locations where mean precipitation is projected to decrease but interannual variability will increase. This is a particularly troubling combination, as it suggests both less reliability and less availability of water from precipitation sources in these locations. The extent and magnitude of changes of this type are greater in the RCP8.5 case. By contrast, there are almost no points below the  $x$  axis that are in shades of blue – few locations are projected to have both more abundant and less variable precipitation.

Figure 5 shows the spatial distribution of locations that are projected to have, in the RCP8.5 case, a decrease of mean precipitation and an increase in interannual variability. Shading denotes the average change in the IASD of monthly pre-



**Figure 5.** Change from the historical to the RCP8.5 case in the average IASD of monthly mean precipitation shown only for locations where it is positive but the change in annual mean precipitation is negative.

cipitation – the ordinate of Fig. 4. Locations are masked out (shaded white) where either precipitation is projected to increase or variability will decrease. The shaded regions can be interpreted as areas of particular vulnerability, quantified specifically from the meteorological sector of the water cycle. They cover more than 20% of the land area, excluding Antarctica. Of course, regions that are projected to experience sharp declines in precipitation are vulnerable even with decreases in variability (e.g., much of the Mediterranean coast), but they are not included in this metric.

## 4.2 The agricultural sector

For the agricultural sector, we examine the projected changes in soil moisture in areas where crops are grown. Figure 6 shows an example of crop data from Sacks et al. (2010) for maize. Planting and harvest date data, and thus growing season definitions, are primarily defined within political boundaries in this data set for contemporary conditions (see Sect. 5 for a thorough discussion of caveats). The mean dates are used in each case; data on the range of start and end dates are also available, but we assume that they amount to random variations that will not affect the aggregate statistics. Faint shading indicates where dates in the data set were extrapolated to fill in regions of missing data. The coverage map (upper right) is used to generate area-weighted global means of growing season mean top 10 cm soil moisture and IASD (based on monthly values) for each crop.

Table 2 lists the crops in order of coverage area, as well as some basic water cycle statistics as represented by the mean of the 10 CMIP5 models investigated. Specifically shown are the area-weighted global averages of the annual mean and monthly IASD of moisture in the top 10 cm of soil for the growing season during the last 90 years of the historical simulation. Also given are the weighted area-averaged deviations from the historical case values for the RCP4.5 and RCP8.5 cases. There is no straightforward way to determine

**Table 2.** Crop coverage-weighted statistics of mean and interannual standard deviation (IASD) of top 10 cm soil moisture [ $\text{kg m}^{-2}$ ] during the 20th century (hist.) and projected changes [%] from multi-model averages of 10 CMIP5 models. Changes of more than  $\pm 3\%$  are bold.

Crop type	Area [ $10^3 \text{ km}^2$ ]	Mean (hist.)	Change (RCP4.5)	Change (RCP8.5)	IASD (hist.)	Change (RCP4.5)	Change (RCP8.5)
Wheat	2092	20.8	-2.1 %	<b>-4.5 %</b>	3.5	+0.1 %	-1.1 %
Rice	1526	27.9	+0.4 %	-0.2 %	3.3	+0.9 %	<b>+4.5 %</b>
Maize	1361	24.9	-2.2 %	<b>-4.6 %</b>	3.6	+1.3 %	+2.6 %
Soybeans	746	25.8	-1.5 %	<b>-3.3 %</b>	3.8	+2.0 %	<b>+3.6 %</b>
Pulses	671	21.6	-0.5 %	-1.5 %	3.3	+0.2 %	+1.6 %
Barley	543	21.0	<b>-3.3 %</b>	<b>-8.2 %</b>	3.3	-0.1 %	-1.3 %
Sorghum	389	21.2	-0.9 %	-2.7 %	3.4	+1.7 %	<b>+3.5 %</b>
Millet	334	17.7	-0.6 %	-1.6 %	3.2	+0.3 %	+1.8 %
Cotton	305	19.7	-0.8 %	-1.2 %	3.5	+0.6 %	+2.3 %
Rapeseed	246	25.4	-1.1 %	-2.2 %	3.4	-0.7 %	+0.1 %
Groundnuts	222	22.6	-0.1 %	-0.8 %	3.5	+1.0 %	<b>+3.3 %</b>
Sunflower	204	20.2	-1.9 %	<b>-6.8 %</b>	3.5	-0.4 %	<b>-3.0 %</b>
Potatoes	193	24.1	-2.1 %	<b>-5.2 %</b>	3.4	+1.8 %	+2.4 %
Cassava	155	25.7	-0.8 %	-2.1 %	2.8	+1.9 %	<b>+6.5 %</b>
Oats	132	22.2	-2.8 %	<b>-7.9 %</b>	3.5	-0.1 %	-1.1 %
Winter rye	94	28.5	-2.5 %	<b>-5.6 %</b>	3.4	-1.1 %	<b>-4.4 %</b>
Sweet potatoes	91	26.6	-0.6 %	-1.4 %	3.3	+2.7 %	<b>+7.1 %</b>
Sugarbeets	61	21.7	<b>-3.7 %</b>	<b>-9.9 %</b>	3.4	+0.9 %	-1.0 %
Yams	36	24.9	-0.6 %	-2.7 %	2.3	+2.4 %	<b>+9.7 %</b>

statistical significance, so changes of more than 3 % in both metrics are highlighted in bold.

Several features stand out. There is again a strong but not universal tendency for the RCP8.5 case to show greater changes than the RCP4.5 case. Almost all area-averaged changes are negative, although maps of the changes show regions of both increasing and decreasing soil moisture in every instance. The greatest soil moisture decreases for both RCP4.5 and RCP8.5 cases are for barley and sugarbeets, both primarily European crops. Much of Europe suffers widespread precipitation decreases during summer in nearly all models (Dirmeyer et al., 2013), contributing to the drier soils. Rice, groundnuts, sweet potatoes, pulses and cotton are among the least affected crops in the global mean. While drier conditions are often not a favorable change for sustaining existing agriculture, increases in year-to-year variations in moisture are particularly detrimental. Fourteen of 19 crops are projected to experience greater interannual variability of soil moisture under the RCP4.5 scenario, and 13 of 19 in the RCP8.5 case, with all increases of more than 3 % occurring in RCP8.5. The most strongly affected crops are projected to be tropical varieties: yams, sweet potatoes and cassava. Rice is also projected to experience increases in soil moisture IASD of nearly 5 % on average in the RCP8.5 case, even though mean soil moisture changes little. Winter rye and sunflower are forecast to have decreases of at least 3 % in both the mean and IASD of soil moisture.

To quantify further how soil moisture may change, cumulative probability distributions have been calculated. The

distributions are calculated spatially across the growing regions of each crop using the time mean soil moisture values, weighted by fractional coverage. For soil moisture, we focus on the median value and the value of the lowest decile (50 and 10 % of the cumulative distributions, respectively) in the historical case, and then find what fraction of the crop areas lie below those values in the future climate cases. Values exceeding 50 or 10 % respectively indicate an increased area of that cropland experiencing drier conditions. One hundred bins of equal range of soil moisture are used, chosen to span the range of values in each case. Results are shown in Table 3. Situations where the drier areas have increased in extent by 20 and 40 % are highlighted.

Again, drying is generally stronger for the RCP8.5 case. However, in terms of fractional increases in area, it is the dry tail of the distribution that often suffers the greatest impact. Higher latitude crops such as barley, oats, winter rye and sugarbeets show the most pronounced shifts in median soil moisture. Sunflower is also strongly affected at the dry end of the soil moisture range. Rice, sorghum, millet, cotton, rapeseed and sweet potatoes are relatively stable in terms of their overall probability distributions of mean soil moisture.

The causes behind the changes in soil moisture distribution vary among the crops, and information from the other water balance terms is useful in understanding the changes, although tables of all these other terms are not shown here. For many of the crops, the increased area at the dry end of the distribution follows a similar decrease in rainfall at the dry tail. However, for groundnuts and particularly winter rye,

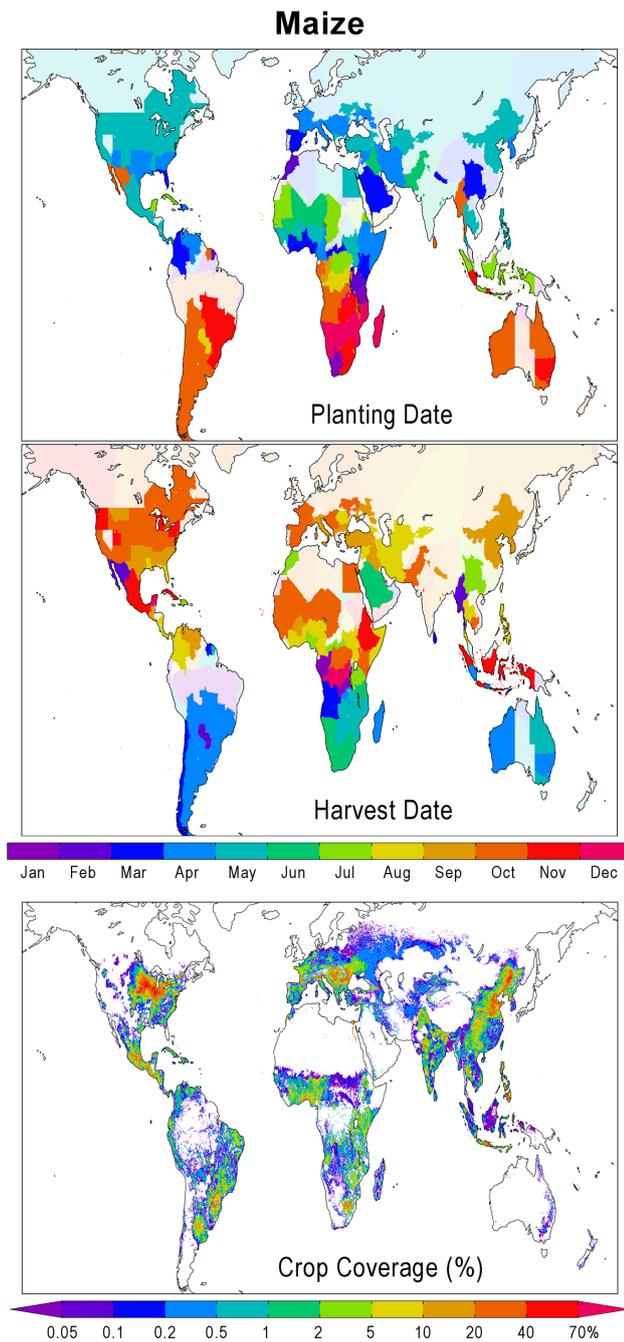
**Table 3.** Crop coverage-weighted multi-model estimates of median (50 %) and lowest decile (10 %) values of top 10 cm soil moisture [ $\text{kg m}^{-2}$ ] in historical case (hist.) and the projected percentage of area that will fall below those values in two future climate scenarios. Cases where the area increases by at least 20 % (i.e., by 10 % for median, 2 % for lowest decile) are shown in bold, and cases where the area increases by at least 40 % (20 % for median, 4 % for lowest decile) are bold and underlined.

Crop type	Median (hist.)	Below (RCP4.5)	Below (RCP8.5)	Decile (hist.)	Below (RCP4.5)	Below (RCP4.5)
Wheat	21.0	55 %	<b>62 %</b>	13.5	<b>12 %</b>	<b>13 %</b>
Rice	29.6	49 %	52 %	18.9	10 %	9 %
Maize	25.1	58 %	<b>63 %</b>	18.5	11 %	<b>15 %</b>
Soybeans	25.2	59 %	<b>62 %</b>	21.0	11 %	<b>15 %</b>
Pulses	22.0	52 %	54 %	11.6	11 %	<b>12 %</b>
Barley	21.9	59 %	<b>73 %</b>	13.7	<b>12 %</b>	<b>13 %</b>
Sorghum	21.9	53 %	56 %	12.5	10 %	11 %
Millet	16.2	51 %	52 %	10.5	11 %	11 %
Cotton	18.8	51 %	51 %	10.8	11 %	11 %
Rapeseed	28.0	49 %	54 %	13.6	11 %	10 %
Groundnuts	23.6	50 %	50 %	13.8	11 %	<b>13 %</b>
Sunflower	19.6	57 %	<b>68 %</b>	14.8	<b>12 %</b>	<b>22 %</b>
Potatoes	23.9	55 %	<b>60 %</b>	17.1	<b>12 %</b>	<b>13 %</b>
Cassava	26.5	51 %	55 %	19.2	<b>12 %</b>	<b>14 %</b>
Oats	22.3	<b>62 %</b>	<b>75 %</b>	17.7	<b>13 %</b>	<b>19 %</b>
Winter rye	29.1	<b>64 %</b>	<b>83 %</b>	25.6	<b>18 %</b>	<b>24 %</b>
Sweet potatoes	27.6	51 %	52 %	18.5	11 %	11 %
Sugarbeets	21.6	59 %	<b>70 %</b>	16.2	<b>12 %</b>	<b>17 %</b>
Yams	25.4	51 %	57 %	19.8	<b>12 %</b>	<b>17 %</b>

there are notable increases in precipitation and a smaller area of cultivation experiencing less than the lower decile of rainfall based on the historical values. For winter rye, there is a huge increase in total evapotranspiration during the growing season, which is the main driver of soil moisture depletion. For groundnuts as well as millet, soybeans and yams, there is a notable increase in runoff at the dry end of the spectrum, consistent with change in the nature of precipitation towards fewer, more intense events. This is also evident for the shifts in the medians, where groundnuts, millet, pulses and rice show little change in mean soil moisture even though they experience increases in median and mean rainfall, with the difference going largely to increased runoff. Low-latitude crops in particular experience lower median soil moisture even though median precipitation increases, because runoff rates also increase. Yams offer an extreme example, as median precipitation increases slightly, but 68 % of the crop area in the RCP8.5 case experiences runoff higher than the historical median, and 57 % has lower soil moisture than the historical median. This change results in a large shift in the distribution of evapotranspiration as well, where 86 % of the yam-growing area has lower ET than the historical median. Rapeseed has little change in median soil moisture but a positive shift in rainfall nearly as strong as winter rye, and like winter rye the moisture sink appears to be evapotranspiration. Overall, the greatest increases in agricultural drought frequency appear to affect temperate (mainly European) crops and strictly tropical crops.

Lastly, we combine the calculation of degree of consensus among models for a positive versus negative change (cf. Dirmeyer et al., 2013, 2014) with the distribution of projected soil moisture changes for each crop. Figures 7 and 8 show for each crop the total area projected to have soil moisture changes in each of 12 ranges (6 positive, 6 negative). The coloring further shows the degree of consensus among models. The pie charts summarize the percentage of each crop's planting area in each consensus band. There is a great deal of detail in these plots, but here we only point out a few highlights. For the RCP8.5 case (Fig. 7), four crops (barley, oats, sugarbeets and sunflower) have nearly complete consensus (> 85 %) among the CMIP5 models for drier soils over more than half of their area. Most crops are dominated by drying soils. In the RCP4.5 case (Fig. 8), overall the impacts are less severe, the consensus for drying is somewhat weaker, and there is a greater but still subordinate indication for areas of wetter soils.

Figure 9 shows the same style of plot for the IASD – only the RCP8.5 case is shown as the situation for RCP4.5 is again similar but weaker. For most crops there is a projected increase in interannual variability of soil moisture, with the most severely affected crop being sweet potatoes. While yams and cassava also show a strong trend toward increased soil moisture variability over most of their areas, rice, potatoes and rapeseed show a greater area under a high consensus among models for increased variability. Winter rye shows a majority of its area having a split vote among mod-



**Figure 6.** Mean planting and harvest dates (indicated by month) and the fractional coverage of each  $1/12^\circ \times 1/12^\circ$  grid box of the crop maize, from the data of Sacks et al. (2010).

els (shaded green) yet a clear skew in the distribution toward decreased IASD, suggesting the multi-model results for that crop may be strongly influenced by extremes in one or two models, and uncertainty should be considered large.

### 4.3 The hydrological sector

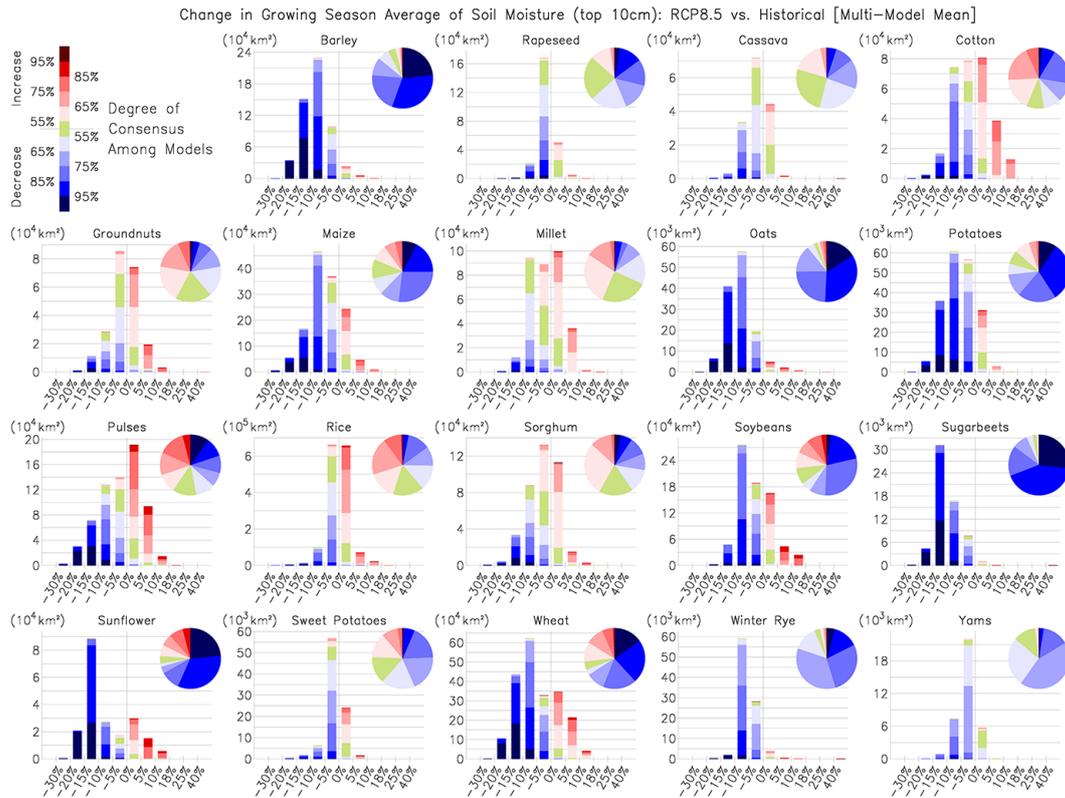
Figure 10a shows the average simulated annual runoff for the 100 largest named river basins in the TRIP data set. On such long timescales, the runoff is effectively equal to precipitation minus evapotranspiration. Figure 10b and c show the projected change in runoff, in percent, from historical to the RCP4.5 and RCP8.5 cases, respectively. The patterns of change are largely similar, but the magnitudes are generally larger for the RCP8.5 case. There are projected decreases in basin-scale runoff in the Americas from the southern United States to the subtropical region of South America, in a band from Europe to central Asia, and across southern Africa. Other areas show increases, with the largest percentage changes over northwestern Africa, high latitudes in the Northern Hemisphere, Australia and the mid-latitudes of South America.

Figure 10d, e show the changes in the IASD of runoff, calculated month by month for each model and then averaged across models and all months of the year, expressed in terms of standard deviations from the historical case. As with the agricultural metrics, an increase in IASD of hydrologic metrics implies less dependability and a greater propensity for extremes. Most regions show an increase in variability, including in the RCP8.5 case a number of basins in South America, Africa and Asia that show a decrease in annual runoff. The greatest percentage increases are over South Asia and western Africa.

An important tendency is revealed in Fig. 11, which shows the percentage change in the IASD scattered against the change in annual runoff for the 100 basins. The top panel is the change for the RCP4.5 case, and the bottom is for RCP8.5. The 12 largest basins are labeled and the sizes of the symbols are proportional to the ranks of the basins by area; colors simply help to differentiate the symbols. Many basins have a greater percentage increase in standard deviation than percentage increase in mean, indicated by the points that lie within the upper grey triangles. However, very few basins show a greater decrease in standard deviation than mean (points in the lower grey triangles). This asymmetry is reflected in the best fit linear regression through the points (solid line), which crosses the 0% mean runoff change line at about +4.6% change in standard deviation in the RCP4.5 case, and at +5.8% for the RCP8.5 case. This mirrors, at the basin scale, what was found for precipitation at the point scale in Sect. 4.1; variability in the water cycle increases even when the mean is unchanged.

## 5 Discussion of caveats

There are a number of caveats that apply to this study. In all cases, estimates are calculated month by month then averaged for seasons; they do not represent the changes in seasonal totals or averages. This is a subtle distinction not ex-



**Figure 7.** Probability distribution (total areas) of changes from the historical case to the RCP8.5 case in mean growing season top 10 cm soil moisture for each crop, with the colored shading indicating the degree of consensus among models and the area of each color in the bars and pie charts proportional to the area covered by that level of consensus.

pected to affect our conclusions, but the use of monthly data increases our sample size considerably and thus confidence in the statistics. Anomalies in precipitation especially are generally uncorrelated from month to month. For the assessment of changes in extremes in Section 3, the choice of the 10 % tails is arbitrary and may not be an appropriate absolute threshold to define drought or flood on the monthly timescale at all locations. However, for relative comparisons there is little sensitivity to the precise choice of threshold.

The estimates of the impacts of changes to the surface water cycle on agriculture are based on static contemporary crop distributions. In reality, agriculture is constantly changing and patterns of land use will adapt to changing climate as much as possible. The results on impacts to the agricultural sector should be interpreted as a measure of potential hydrologic vulnerability to various cropping areas as they are currently distributed. There are other climate change effects as well, notably temperature and atmospheric CO<sub>2</sub>, that are not considered here but have been documented elsewhere (e.g., Rosenzweig et al., 2014). The degree of crop-level impacts in this study should be interpreted as a measure of likely degree of need for adaptation to maintain productivity for each crop.

Furthermore, the CMIP5 models do not necessarily specify the same distribution of agriculture as the crop data of Sacks et al. (2010), and none of the CMIP5 models represent as many different crop types at such high spatial resolution. This creates numerous local inconsistencies between the observed crop distributions we have used and the type of vegetation specified in the climate models (as well as ever-present inconsistencies between models). This is also a problem whenever climate model output is reapplied as forcing to component models (cf. Schellnhuber et al., 2014).

The assessment of the hydrological sector neglects the effects of reservoirs, irrigation and other anthropogenic controls on surface water in river basins, as these are generally not accounted for in the climate models either. Annual means are examined because area-averaged runoff is not analogous to discharge on timescales shorter than 1 year due to lags in transport as runoff is routed through the river system. Similarly, basin aggregation smoothes out local extremes, as both drying and moistening trends are projected to occur in different parts of some of the larger river basins. Both flood control issues and the need to cache water in reservoirs to weather drought could be more severe than the basin-scale results suggest.

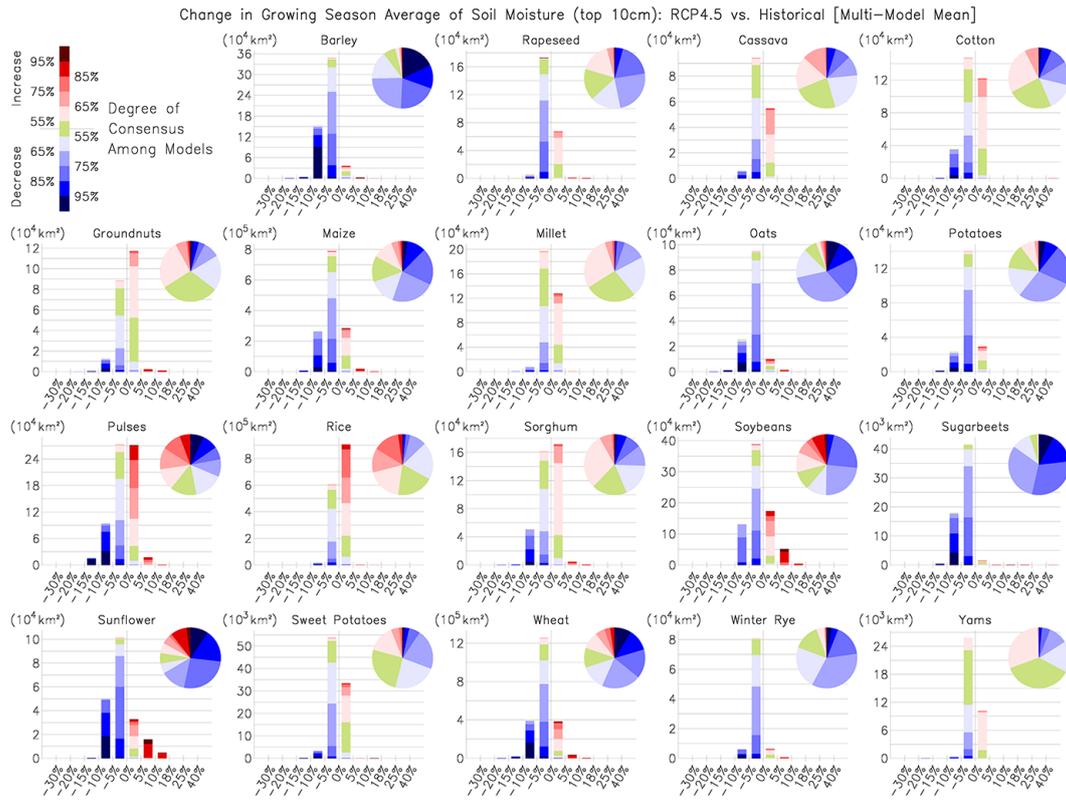


Figure 8. As in Fig. 7 but for changes from the historical case to the RCP4.5 case.

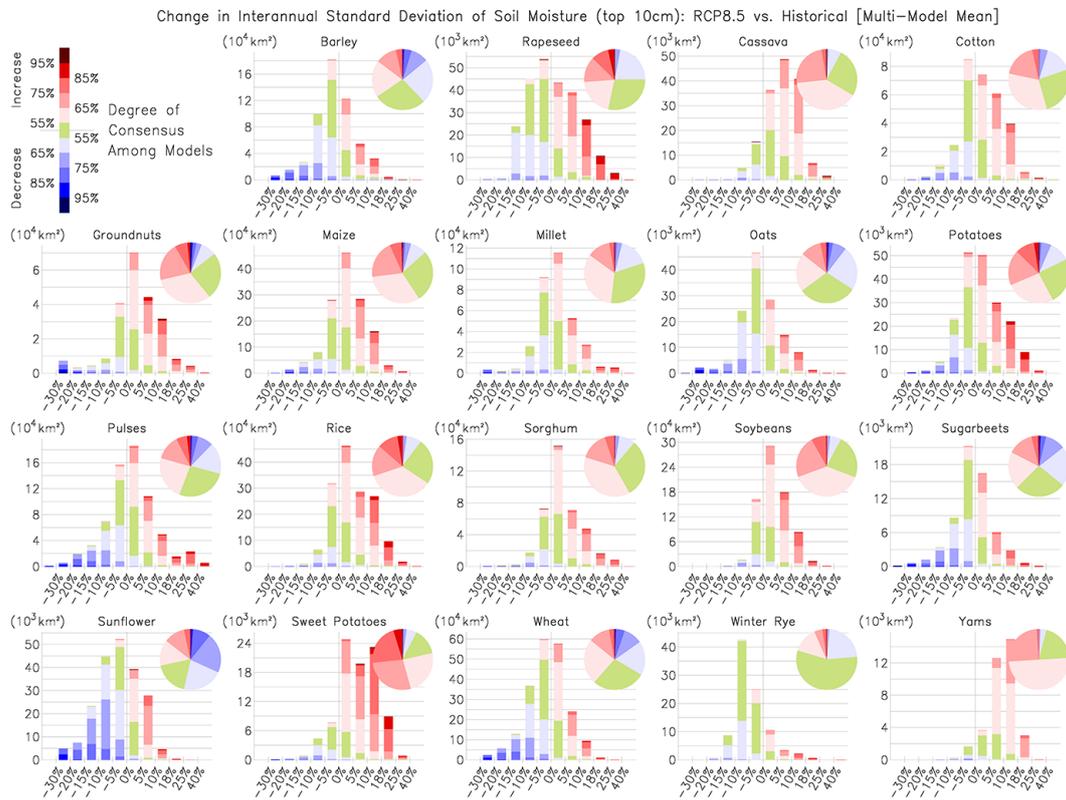
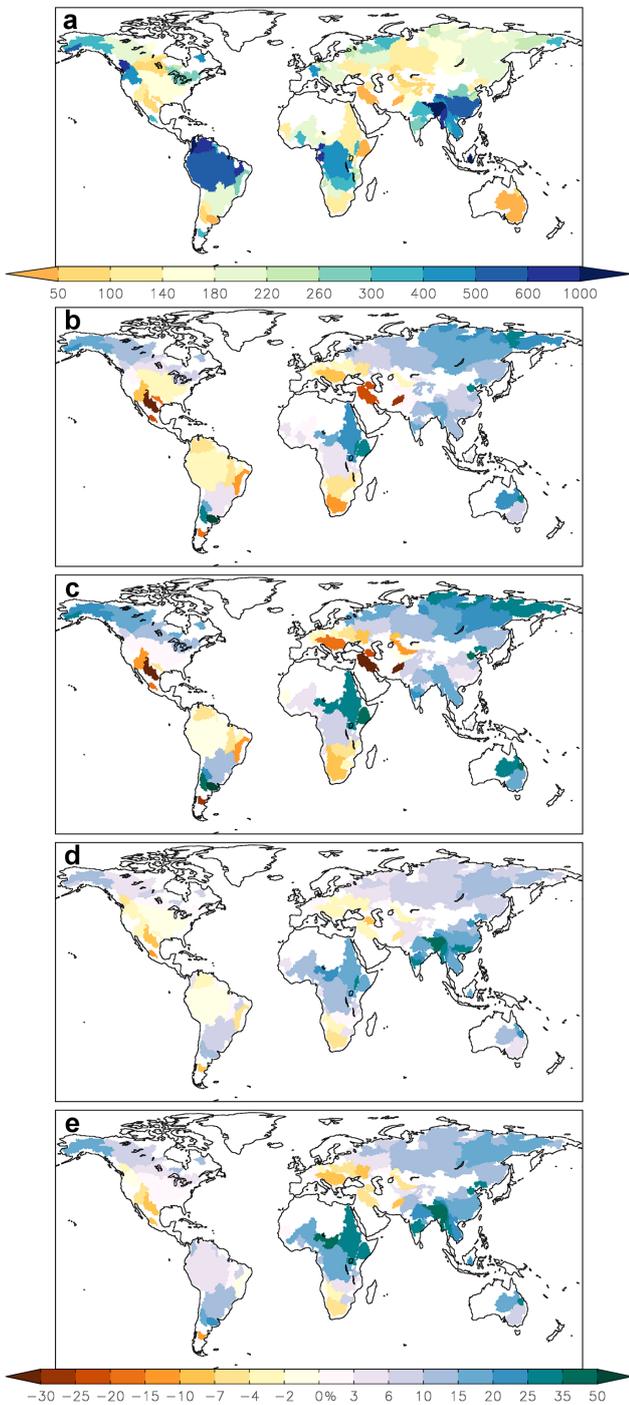
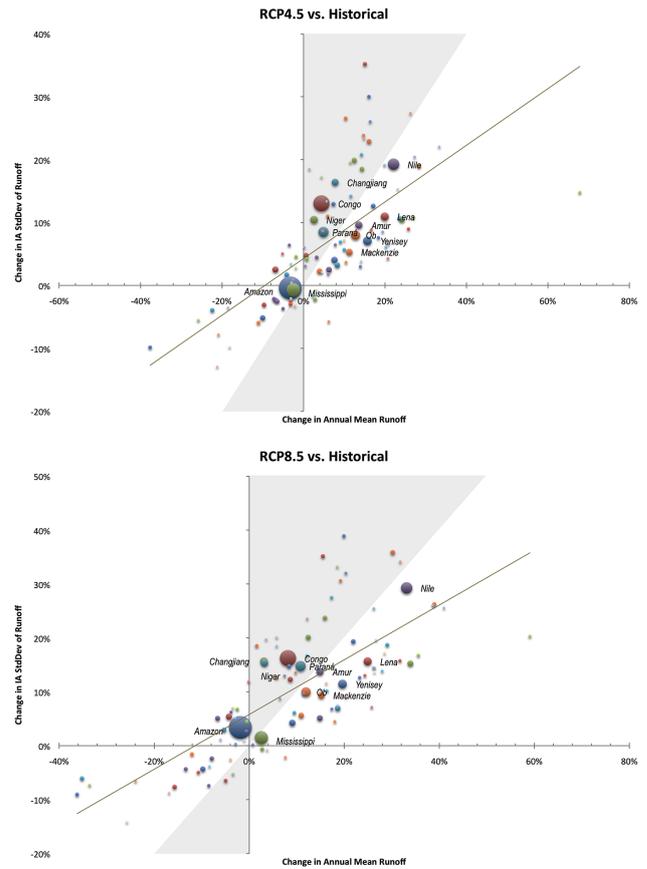


Figure 9. As in Fig. 7 but for changes in IASD from the historical case to the RCP8.5 case.



**Figure 10.** (a) Annual average runoff ( $\text{kg m}^{-2}$ ) for the 100 largest river basins. (b) Changes (%) from historical to the RCP4.5 case and (c) the RCP8.5 case. (d) Percentage change in the IASD of monthly mean runoff averaged for all months from historical to the RCP4.5 case and (e) the RCP8.5 case.



**Figure 11.** Percentage change in IASD of monthly mean runoff averaged for all months versus percentage change in annual mean runoff for the cases shown. The 12 largest river basins are labeled, and the sizes of the dots are proportional to the rank of the size of the basin. The least-squares best-fit line through the points is shown. Shading indicates the region where there is a greater percentage increase in standard deviation than percentage increase in mean.

As with all climate studies, accuracy and the veracity of conclusions are greatest at the large scales and erode at the local scale. Given that characteristic, we emphasize as a result of this study the likelihood of a great deal of variability in the impacts of climate change on different regions and facets of the meteorological, agricultural and hydrological sectors. For instance, the general conclusion is likely sound that most crops should experience drier soils and greater year-to-year variability in soil moisture, with certain crops being much more strongly affected than others. But as the spatial scale decreases, uncertainty in the projections increases.

## 6 Summary

A global examination of the projections of 10 CMIP5 climate models for RCP4.5 and RCP8.5 impacts has been conducted for the meteorological, agricultural and hydrological sectors, represented respectively by precipitation, soil mois-

ture and runoff. Changes in extremes, means and interannual variability have been assessed. For the agricultural and hydrologic sectors, climate change data have been convolved with data on crop distribution and river basin boundaries to provide targeted assessments.

Changes in extremes are defined by rates of exceedance of the driest and wettest 10 % thresholds derived from the historical 20th century simulations by each model at each grid point for each month of the year. For the RCP4.5 climate projection, the only significant changes are towards increased drought, with northern South America appearing the most vulnerable in terms of precipitation and runoff. For soil moisture, many other areas of the globe also show marked increases in drought frequency. The drought trends intensify in the RCP8.5 case, but increased rates of flood conditions also appear around the globe. For soil moisture and runoff there are increases in both dry and wet extremes, but for soil moisture drought amplification predominates, while for runoff floods increase over more area than droughts. The precipitation response lies between the other two variables, with increased drought most prevalent during JJA and flooding escalating most during DJF.

Sector assessments show for precipitation that the projected increases in interannual variability will be a major issue, driving the increases in extremes in all water cycle variables. More than 20 % of the globe is projected to see a combination of increased precipitation variability and decreased mean precipitation for the RCP8.5 case – a particularly dangerous combination for water resources.

In the agricultural sector, soil moisture changes in the growing areas of 19 major crops show that staples predominantly grown in Europe are likely to be hardest hit by drought. Many crops show offsetting regional impacts, although no attempt to account for agricultural adaptation has been made in this study. Global crops least affected by changes in mean soil moisture are rice, groundnuts, sweet potatoes, pulses and cotton. However, increased interannual variability in soil moisture is projected to affect most crops, especially in tropical areas. Figures 7 and 8 provide detailed accounting of projected impacts for each crop.

In the hydrologic sector, the increase in variability of runoff is projected to exceed changes in the mean for most river basins, despite widespread projected changes (usually increases) in annual mean discharge. This has major implications for flood control, as the combination suggests increased river flooding, although droughts are projected to become more likely in many basins.

Several of the tendencies found reflect a noteworthy aspect of the water cycle in a warming climate; that is, precipitation is projected to change toward fewer but more intense events. This change reduces overall infiltration and increases surface runoff as well as its variability. It is also a contributing factor in the overall reduction in soil moisture seen in Sect. 4.2. Thus, the change in precipitation statistics lies at the heart of both the drying trend in soil moisture and the trend towards

increased river basin discharge. While such results are consistent with theory and observations (e.g., Karl and Knight, 1998; Meehl et al., 2005; Donat et al., 2013), the degree to which the parameterizations in climate models properly represent the sensitivity of precipitation processes is not well known.

*Author contributions.* P. A. Dirmeyer designed the analyses as part of a graduate class project for the other authors, who were students in a class on land–climate interactions at George Mason University taught by the first author. Z. Wang performed preliminary processing of data for all models and calculated basic statistics. G. Fang calculated the decile extremes statistics in Sect. 3. P. Yadav and A. Milton analyzed results and provided sector-based context assessment. P. A. Dirmeyer synthesized the sector-based results and prepared the manuscript with contributions from all co-authors.

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