

# Sand box experiments to evaluate the influence of subsurface temperature probe design on temperature based water flux calculation

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**Abstract.** Quantification of subsurface water fluxes based on the one dimensional solution to the heat transport equation depends on the accuracy of measured subsurface temperatures. The influence of temperature probe setup on the accuracy of vertical water flux calculation was systematically evaluated in this experimental study. Four temperature probe setups were installed into a sand box experiment to measure temporal highly resolved vertical temperature profiles under controlled water fluxes in the range of  $\pm 1.3 \text{ m d}^{-1}$ . Pass band filtering provided amplitude differences and phase shifts of the diurnal temperature signal varying with depth depending on water flux. Amplitude ratios of setups directly installed into the saturated sediment significantly varied with sand box hydraulic gradients. Amplitude ratios provided an accurate basis for the analytical calculation of water flow velocities, which matched measured flow velocities. Calculated flow velocities were sensitive to thermal properties of saturated sediment and to temperature sensor spacing, but insensitive to thermal dispersivity equal to solute dispersivity. Amplitude ratios of temperature probe setups indirectly installed into piezometer pipes were influenced by thermal exchange processes within the pipes and significantly varied with water flux direction only. Temperature time lags of small sensor distances of all setups were found to be insensitive to vertical water flux.

## 1 Introduction

Understanding surface water-groundwater exchange flux is of prime importance for understanding saturated sediment biogeochemistry and hydroecology (Krause et al., 2011; Sophocleous, 2002; Boulton et al., 1998). Several direct and indirect measurement methods were applied during field experiments to quantify these surface water groundwater exchange flux (Kalbus et al., 2006; Rosenberry and LaBaugh, 2008). A promising experimental approach is the use of natural heat as a tracer (Anderson, 2005; Constantz, 2008). The occurrence of heat in shallow hydrologic river-aquifer systems and its continuous exchange between surface water, underlying streambed sediments and adjacent groundwater, result in temperature profiles or subsurface temperature variations. These temperature variations can be recorded by single temperature sensors, as thermocouples and resistance thermometers at individual points (Keery et al., 2007; Hatch et al., 2006), by fibre-optic distributed temperature sensors for providing high resolution lateral patterns (Taylor et al., 2009), or continuous vertical profiles (Vogt et al., 2010) and used for quantifying the water exchange flux. Various analytical solutions have been developed to solve the 1-D heat transport equation (Suzuki, 1960; Stallman, 1965; Bredehoeft and Papadopolus, 1965; Turcotte and Schubert, 1982; Silliman et al., 1995). Specific to field data availability and analytical solutions data requirements, they were applied in several case studies to evaluate temperature profiles (e.g. Constantz et al., 2003; Schmidt et al., 2006, 2007; Anibas et al., 2011) or temperature time series (e.g. Keery et al., 2007;



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Hatch et al., 2006; Rau et al., 2010). Time series methods that determine streambed flux, are based on the quantification of changes in amplitude (amplitude ratio) and phase shift (time lag) between pairs of subsurface temperature time series. Amplitude and phase information of temperature time series can be derived by pass band filtering (Hatch et al., 2006) or dynamic harmonic regression (Keery et al., 2007) signal processing techniques, both, enabling fast data processing and fast analytical evaluation. Lautz (2010) tested impacts on analytical flux estimates for the case of violated boundary conditions using numerical simulation. She found the greatest source of error to be due to non-vertical flow in the streambed. Schornberg et al. (2010) assessed the error introduced to the analytical solution provided by Bredehoeft and Papadopolus (1965), which is for the assumption of a heterogenous saturated sediment showing a pronounced contrast between hydraulic conductivities. The results of their simulations indicate that the method fails to provide reliable discharge estimates. Rau et al. (2010) evaluated measured temperature time series of three Australian rivers using two different analytical solutions and demonstrated inconsistencies in flux results between both methods. Jensen and Engesgaard (2011) compared Darcian flow velocities derived by time series analysis with seepage meter measurements at the Holtum Stream (Denmark) and found noticeable differences in mean flux values and ranges. Applying heat as a tracer is not only limited by inaccuracies of data evaluation, but also by practical limitations such as the accurate measurement of subsurface temperatures.

This experimental study systematically compares the influence of temperature probe setup on amplitude ratio and time lag, and on the overall accuracy of the analytical water flux calculation of several direct and indirect temperature probe installations. The objectives are to evaluate the potential of temperature amplitude ratio and time lag to be used as targets for analytical flux calculation over a wide range of upward and downward fluxes; to show differences between amplitude ratios and time lags of common and new developed direct and indirect temperature probe setups; and to assess the overall accuracy of the flux calculation depending on temperature probe setup. Therefore, temperature time series of a long term sand box experiment were measured at multiple depths under controlled flux conditions ranging from  $-1.30 \text{ m d}^{-1}$  (downward) to  $1.29 \text{ m d}^{-1}$  (upward). Temperature probes were directly installed into the sediment by lost cone drilling, using a newly developed Multi Level Temperature Stick (MLTS) and, indirectly, inserting the temperature probes into a bottom screened and a complete screened piezometer pipe. Measured temperatures were analysed using the time series method introduced by Keery et al. (2007).

## 2 Methods

### 2.1 Heat transport theory and data analyses

Temperature time series of the surface water contain components of various frequencies. These components can be classified into cyclic variations caused by solar radiation, and into random variations caused by short term disturbances of the radiative flux by shading of clouds, vegetation or heat introduced by precipitation into the system (Sinokrot and Stefan, 1993). As the variation of solar radiation has a daily and annual behaviour, there are theoretically two strong sinusoidal cyclic components in the temperature time series of frequencies, of one cycle per day and one cycle per year (Keery et al., 2007). The naturally introduced surface water temperature signal propagates into the sediment. Thereby the signal is reduced in amplitude and shifted in time depending on the thermal properties of the streambed and actual surface water-groundwater exchange fluxes (Constantz and Stonestrom, 2003; Keery et al., 2007; Hatch et al., 2006; Rau et al., 2010).

Stallman (1965) developed a complete analytical solution that simultaneously describes the heat transport by conduction and heat transport by advection (convection) for steady water flow. For this, he assumed a sinusoidal temperature oscillation of constant amplitude at the surface-subsurface interface and a constant temperature at infinite depth. This approach can be used to determine constant and uniform infiltration rates or exchange fluxes normal to the surface in a homogeneous medium.

Keery et al. (2007) reformulated this solution to compute an unknown vertical water flux ( $q$ ,  $\text{m d}^{-1}$ ) with given observations of oscillating temperatures at two depths below the surface. They derived one implicit formulation based on thermal properties of the system and amplitude attenuations (Eq. 1), and one explicit formulation based on thermal properties of the system and time lags (Eq. 2):

$$0 = \left( \frac{H^3 D}{4z} \right) q^3 - \left( \frac{5 H^2 D^2}{4z^2} \right) q^2 + \left( \frac{2 H D^3}{z^3} \right) q + \left( \frac{\pi c \rho}{\lambda_e \tau} \right)^2 - \frac{D^4}{z^4} \quad (1)$$

where

$$D = \ln \left( \frac{A_{z+\Delta z, t+\Delta t}}{A_{z, t}} \right) \quad \text{and} \quad H = \frac{c_w \rho_w}{\lambda_e}.$$

$\frac{A_{z+\Delta z, t+\Delta t}}{A_{z, t}}$  is the amplitude ratio of the amplitude of a single frequency oscillation at depth  $z + \Delta z$  and time  $t + \Delta t$  and at depth  $z$  (m) and time  $t$  (s), respectively.  $\tau$  is the time period (s) of that frequency,  $\rho$  is the density of saturated sediment ( $\text{kg m}^{-3}$ ),  $\rho_w$  is the density of water ( $\text{kg m}^{-3}$ ),  $c$  is the specific heat capacity of the saturated sediment ( $\text{J kg}^{-1} \text{K}^{-1}$ ),  $c_w$  is the specific heat capacity of water ( $\text{J kg}^{-1} \text{K}^{-1}$ ) and  $\lambda_e$  is

the effective thermal conductivity of the saturated sediment ( $\text{W m}^{-1} \text{K}^{-1}$ ).

The explicit formulation based on time lags is:

$$q = \left( \frac{c^2 \rho^2 z^2}{\Delta t^2 c_w^2 \rho_w^2} - \frac{16 \pi^2 \Delta t^2 \lambda_e^2}{\tau^2 z^2 c_w^2 \rho_w^2} \right)^{\frac{1}{2}} \quad (2)$$

where  $\Delta t$  is the time lag between time of amplitude at  $z + \Delta z$  and time of amplitude at  $z$ ,  $\Delta z$  is the vertical distance between two vertical measurement points. The resulting flux of Eq. (1) is positive in downward and negative in upward direction, while Eq. (2) does not allow distinguishing between flux directions.

To satisfy the upper boundary condition of the analytical solution, the most pronounced temperature frequency of one cycle per day was isolated of the temperature time series by a pass band filter with a Kaiser window. The filter was set to a pass band frequency range of  $0.9 \text{ d}^{-1}$  to  $1.1 \text{ d}^{-1}$  and to stop band frequencies of  $<0.6 \text{ d}^{-1}$  and  $>1.4 \text{ d}^{-1}$  as suggested by Hatch et al. (2006). To avoid edge effects, the first and the last seven days of data were used to extent the measured temperature time series. Finally, a peak detection routine was applied to the filtered time series to detect daily maxima (amplitude) and their exact timing. The results were plotted and checked visually for completeness of corresponding peaks. Daily amplitudes and timings were used to calculate amplitude ratios and phase shifts, which were used to calculate vertical sand box water flow velocities based on Eqs. (1) and (2).

To compare the amplitude ratios and time lags for each hydraulic head difference ( $\Delta h$ ), the non-parametric measures median and 95 % confidence interval of the median were used. It was visually checked whether or not the 95 % confidence intervals of each median overlap. In case they do not overlap, the amplitude ratios and the time lags occurring for each  $\Delta h$  are seen as significantly different from each other at the 5 % significance level and are regarded to be sensitive to water flux. Based on this amplitude ratio and time lag sensitivity to different magnitudes of water flux, we evaluated whether subsurface temperature patterns provide a sufficient basis for analytical, temperature-based water flux calculations.

To characterize experimental water fluxes in terms of dominant heat transport mechanism and thermal stability the dimensionless Peclet and Rayleigh number of energy transport have been applied. The Peclet number is the ratio of energy transported by advection to the energy transported by conduction (Domenico and Schwartz, 1990). Peclet numbers greater than one indicate that advective heat transport dominates over conductive heat transport. The Rayleigh number is the ratio of energy transported by free convection to the energy transported by conduction (Domenico and Schwartz, 1990). Free convection occurs when fluid flow is forced by buoyancy due to density differences where a dense fluid overlies a less dense one; caused by temperature or solute concentration gradients.

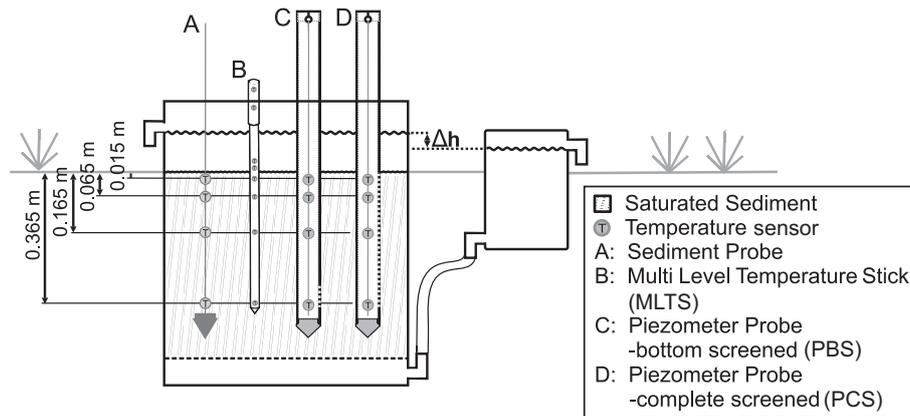
## 2.2 Experimental setup

The model apparatus consists of a polyvinylchloride barrel with a diameter of 0.68 m and a total height of 0.80 m. To create barrel in and outlets two  $\frac{3}{4}$  inch (1.91 cm) openings were drilled at 0.04 m and 0.7 m above the bottom. At 0.08 m above the bottom in/outlet a stainless steel frame covered with stainless steel gauze was exactly fitted in the barrel and sealed with silicon on its sides. Above that frame, the barrel was filled with a 0.5 m thick homogeneous, medium-grained quartz sand layer. The dry sand was slowly trickled into the barrel and was stirred from time to time to assure a homogeneous sediment distribution as good as possible. The barrel was buried into the ground to the height of the sediment surface at a sun-exposed position at an experimental field site at the Helmholtz Centre for Environmental Research – UFZ in the city of Leipzig, Germany (Fig. 1). Consequently, the buried part of the barrel was in thermal contact with the natural ground sediment and thus with the natural thermal regime. The non-buried part was in thermal contact with the atmosphere.

A conventional 10 l bucket, with two  $\frac{3}{4}$  inch openings built in, one below the top and one above the bottom of the bucket, was used as vertically adjustable water reservoir to control the vertical hydraulic gradient. The bucket's bottom opening and the barrel's bottom in/outlet were connected via a  $\frac{3}{4}$  inch ordinary garden tube. The barrel sediment was slowly saturated with water supplied through the barrel bottom inlet. In total 58 l were necessary to saturate the sediment.

Vertical water fluxes were generated by adjusting the absolute height of the bucket to create hydraulic head differences ( $\Delta h$ ) from  $-0.026 \text{ m}$  to  $0.026 \text{ m}$  in 8 steps. Negative gradients, i.e. the water level of the barrel was higher than the water level of the bucket, generated vertical downward flux through the sediment. Therefore water was introduced into the top of the barrel, filtrated through the sediment and left the system through the upper bucket opening. The water supply was adjusted to enable a minor water volume discharge through the barrel top outlet avoiding large water level fluctuations. In contrast, positive gradients, i.e. the water level of the barrel was lower than the water level of the bucket, generated vertical upward flux. Upward fluxes were induced by introducing water into the top of the bucket which flowed through the sediment body and left the system through the barrel top outlet. Again the water supply was adjusted in a way to enable water discharge through the upper bucket opening avoiding large water level and temperature fluctuations within the bucket. The bucket was covered to prevent rain entering into the system.

The experiment was run from June to October 2010. Each hydraulic gradient was sustained for at least 7 days (period length). System discharge was measured periodically (in general three times per day) using containers with control volumes from one to ten litre. For these measured discharges the average daily discharge ( $q$ ) was calculated. All  $q$  of



**Fig. 1.** Experimental setup of sand box experiment and of temperature probe installations (A)–(D) with temperature sensors at four different depths (0.015 m–0.365 m) below ground surface.

constant hydraulic gradients were averaged to the gradient dependent flux ( $\bar{q}$ ). The 95 % confidence intervals of  $\bar{q}$  were calculated and used to test whether the fluxes were significantly different between the different hydraulic gradients.

### 2.3 Temperature probe installation

The sand box was instrumented at a depth of 0.015 m, 0.065 m, 0.165 m and 0.365 m below the sediment surface with temperature sensors using the setups described in the following. Preliminary calculations using Keery's analytical solution (Eq. 1) in the forward calculation mode were conducted to define appropriate sensor depths according to the given hydraulic head gradients and expected atmospheric temperature variations. The sensors were placed to capture dampening and time lag in temperature signal for high upward fluxes (0.065 m), at the depth of 0.165 m at which daily temperature variations are damped 63 % for the purely conductive case (Dampening depth, cp. Stonestrom and Blasch, 2003) and at 0.365 m to ensure sufficient dampening and time lag for high downward fluxes. The Minimum installation depth of 0.015 m was limited by the Sediment Probe setup that the uppermost sensor was slightly covered by the sediment.

1. *Vertical installation of TidbiTs within the sediment (Sediment Probe):* the TidbiT v2 temperature sensor (Onset computer cooperation, Pocasset, Massachusetts, USA) contains a thermistor integrated with signal-conditioning circuitry, a real time clock and a memory unit. The constituent parts are inserted in a 3 cm × 1.7 cm large epoxy case which is waterproof up to 305 m depth. The measurement accuracy is 0.2 °C over a range from 0 to 50 °C. The sensor resolution is 0.02 °C with a response time of 5 min in water.

The TidbiT temperature sensor were connected via a thin fibre at predefined intervals and fixed to a steel cone. The cone was loosely fitted to a steel pipe with an

inside diameter of 0.04 m and a length of 1.50 m. The pipe was driven into the barrel sediment using a sledge hammer. A metal rod was inserted down the pipe and pushed to detach the cone from the metal pipe while the pipe was slowly removed (lost cone drilling). The fibre with the connected TidbiTs was held tight all the time to ensure the sensors being in the right position while the sediment was collapsing. Thus, the temperature sensors are directly in thermal contact with sediment.

2. *Installation of the Multi Level Temperature Stick (MLTS):* the Multi Level Temperature Stick (Umwelt und Ingenieurtechnik GmbH, Dresden, Germany) is a polyoxymethylene stick (total length of 0.657 m and a diameter of 0.02 m) with eight integrated TSIC-506 temperature sensors (sensor depth could be individually defined by the user before production). These sensors are based on a semiconductor resistor embedded in an integrated circuit for conservation to a linear electrical output. The thermal contact of the sensors to the surrounding material is ensured through thin stainless steel flat blanks. The temperature is measured with each sensor simultaneously with an accuracy of 0.07 °C over a range from 5 to 45 °C. The sensor resolution is 0.04 °C. The MLTS was pushed into the sediment to a depth of 0.4 m, so that four sensors are in the sediment and two sensors log the surface water temperature.
3. *Vertical installation of TidbiTs inside a bottom screened piezometer (PBS):* the high density poly ethylene (HDPE) piezometers with a connected HDPE drive point were driven vertically into the sediment. The total length of the piezometer is 1.50 m with an inner diameter of 0.05 m. The piezometer was screened 0.06 m above the drive point over a section of 0.03 m, in a total depth between 0.34–0.37 m. The small screen keeps the piezometer filled with water, to enable a good thermal contact between the sensor and the sediment. To

screen the piezometers the pipes were screwed at three sides with a thin sawing blade (0.05 mm) in regular distances of 5 mm. The distance between drive point and beginning of the screen allows little sediment particles to settle down inside the piezometer without clogging the screen. The TidbiT temperature sensors were connected via a thin fibre at predefined intervals. This sensor chain was suspended within the piezometer.

4. *Vertical installation of TidbiTs inside a complete screened piezometer (PCS)*: the installation of the PCS is the same as described for the PBS except the piezometer pipe which was screened 0.06 m above the drive point over a section of 0.36 m, in a total depth from 0.01 to 0.37 m. The complete screen allows barrier free heat propagation between the temperature sensors and the sediment with negligible influence of the piezometer material. Because of the limited number of temperature sensors available, the TidbiT sensor chain (cp. setup 3) was switched between the PBS and PCS after half of the time of equal hydraulic gradient. To check thermal regime of the other pipe respectively, one TidbiT temperature sensor was suspended within the piezometer at a depth of 0.165 m (single piezometer sensor).

All sensors were calibrated in the upper water reservoir of the barrel during five days. For these data, correction factors were established by linear regression of each sensor with a reference sensor. All temperature sensors were set to a 15 min measurement interval.

The previously described Sediment Probe and PBS setups were chosen for installation as they are the most common to install temperature sensors within saturated sediment. The Sediment Probe was embedded directly within the sediment. The time to reach the thermal equilibration between the temperature sensors and saturated sediment is supposed to be less than the monitoring interval of 15 min. Therefore the Sediment Probe setup was assumed to be the least intrusive setup and that it was in best thermal contact with the saturated sediment. The PBS temperature sensors were separated from the saturated sediment by ideally non-moving water and by the piezometer side wall. To potentially reduce the effect of piezometer side wall the PCS setup was designed as a modification of the PBS setup. The MLTS was used as it is a newly developed probe design characterized by good practical application in terms of installation, known accurate sensor spacing and data availability during operation. The MLTS sensors were embedded in a HDPE rod separated by small stainless steel plates from the saturated sediment.

Each profile probe setup, having four temperature sensors recording the sediment temperatures, allows calculation of amplitude ratio and phase shift relations for six sensor pairs. All sensor combinations of all installations were evaluated with respect to the sensitivity of their amplitude ratios and time lags to variations of  $\Delta h$ . For brevity only the results

of sensor pairs which cover minimum (pair<sub>0.065–0.015</sub>), intermediate (pair<sub>0.165–0.065</sub>) and maximum sensor distances (pair<sub>0.365–0.015</sub>) are discussed (subscript specifies depth of installation of used temperature sensors). However, they provide a sufficient overview on the experimental results as all other sensor pairs overlap, i.e. use equal temperature sensors but integrate over different segments of the sand box, and are therefore potentially redundant.

## 2.4 Estimation of saturated sediment thermal properties and sensitivity analysis

To calculate vertical flow velocities based on Eqs. (1) and (2), thermal properties of the saturated sediment need to be determined. As these values are difficult to measure outside the laboratory (Stonestrom and Blasch, 2003), we used literature based values. Heat capacities of porous materials depend on their composition and bulk density (Stonestrom and Blasch, 2003). Thus the volumetric heat capacity of the barrel sediment was calculated from the volume-weighted sum of density and the volume-weighted sum of heat capacities of constituents making up the saturated sediment based on experimental estimated total porosity. The thermal conductivity of porous materials depends upon the composition and arrangement of the solid phase. Due to the complexities of pore geometry, this dependence is non-linear and difficult to predict (Wierenga et al., 1969). The thermal conductivity of saturated sediment was calculated from the volume-weighted sum of thermal conductivities of constituents making up the saturated sediment.

The literature based thermal properties were improved during calibration. The calibration was restricted to experimental data for no flow condition, e.g.  $\delta h = 0$  and vertical flow velocity = 0 as there will be no uncertainties introduced to the calibration procedure due to uncertainties of experimentally measured water flux. As volumetric heat capacity and thermal conductivity are integrated as quotient (reciprocal of thermal diffusivity in Eq. 1) they are dependent of each other. Therefore the thermal conductivity, as it is seen to be more uncertain in its literature based assumption; was calibrated exclusively, in order to minimize the root mean square error (RMSE) between observed and calculated vertical water flux. Calibrated thermal properties were used for all flux calculations.

To evaluate the parameter-based sensitivity of calculated flow velocities we assessed a sensitivity analysis of Keery's analytical solution (Eq. 1) comprising the most uncertain state variables: volumetric heat capacity, thermal conductivity of saturated sediment and assumed spacing between temperature sensors. Therefore parameter values were systematically varied using a minimum and a maximum deviation of 50 % of the optimum parameter. The impact of altered parameter values on the model was assessed by absolute changes of flow velocity.

## 2.5 Impact of thermal dispersivity on vertical sand box water flow velocities

Since there is an ongoing discussion about the effects of thermal dispersion on vertical flow velocities (Keery et al., 2007; Hatch et al., 2006), we also quantified the sensitivity of flow velocity to thermal dispersion. In our analysis, thermal dispersion is treated in analogy to the solute dispersion. The thermal dispersion ( $\text{Disp}_{\text{th}}$ ) is the sum of the bulk soil thermal conductivity with stationary fluids and a kinematic thermal dispersion term, resulting from the heterogeneity of water velocities within and between water-filled sediment pores. To account for the two processes,  $\text{Disp}_{\text{th}}$  is defined as (Anderson, 2005):

$$\text{Disp}_{\text{th}} = \frac{\lambda_e}{c \rho} = \frac{\lambda_0}{c \rho} + \alpha_{\text{th}} \times |q| \quad (3)$$

where  $\lambda_e$  is the effective thermal conductivity of the saturated sediment ( $\text{W m}^{-1} \text{K}^{-1}$ ),  $\lambda_0$  is the baseline thermal conductivity in absence of fluid flow ( $\text{W m}^{-1} \text{K}^{-1}$ ) and  $\alpha_{\text{th}}$  is the thermal dispersivity (m). Some researchers argue that values of thermal dispersion are comparable to those of conservative solute dispersion (de Marsily, 1986; Hopmans et al., 2002) while others conclude that the effect of thermal dispersion ( $\alpha_{\text{th}} \times |q|$ ) is negligible compared to the baseline thermal diffusivity (Bear, 1972; Ingebritsen and Sanford, 1998). Thus, to test the sensitivity of calculated flow velocity to thermal dispersion we assumed the thermal dispersivity to be in the range of solute dispersivities observed by the solute tracer experiment.

To solve the 1-D heat transport equation by means of an analytical solution including convective, conductive and dispersive heat transport, we used the solution presented by Hatch et al. (2006). Their analytical solution is based on the same assumptions and boundary conditions as the Keery et al. (2007) solution. The main difference is that Hatch et al. (2006) defined the effective thermal diffusivity analogous to Eq. (3), while Keery et al. (2007) set the effective thermal diffusivity equal to the baseline thermal diffusivity.

## 3 Results and discussion

### 3.1 Experimental flux

The averaged, gradient-dependent water fluxes in the sand box and their 95 % confidence intervals are given in Table 1. Ideally one would expect constant discharges as long as  $\Delta h$  is kept constant. However, we observed variations of  $q$  during constant  $\Delta h$  which were caused by the temperature dependency of hydraulic conductivity as well as by disturbances of the experiment during operation. The effect of stream water temperature on hydraulic conductivity and streambed flux in natural systems is shown by Constantz et al. (1994) and Storey et al. (2003). The disturbances mainly occurred at barrel and bucket outlets where

**Table 1.** Defined hydraulic head differences ( $\Delta h$ ) of sand box experiment, averaged gradient dependent fluxes at system outlet ( $\bar{q}$ ) with corresponding deviations of 95 % confidence intervals to  $\bar{q}$ , period length of constant hydraulic gradient and calculated Peclet and Rayleigh numbers of each  $\Delta h$  condition.

$\Delta h$ (m)	$\bar{q}$ ( $\text{m d}^{-1}$ )	Deviation of 95% confidence intervals to $\bar{q}$ ( $\text{m d}^{-1}$ )	Period length (d)	Peclet number	Rayleigh number
-0.026	1.30	$\pm 0.04$	12	14.3	0.78
-0.013	0.69	$\pm 0.02$	9	7.6	2.05
-0.008	0.53	$\pm 0.10$	12	5.8	2.61
-0.002	0.19	$\pm 0.03$	11	2.1	6.02
0	-	-	18	0.0	6.95
0.002	-0.25	$\pm 0.07$	9	2.8	5.74
0.008	-0.48	$\pm 0.06$	11	5.3	7.03
0.013	-0.67	$\pm 0.03$	7	7.4	3.61
0.026	-1.29	$\pm 0.16$	7	14.2	4.37

slugs and polls caused time dependent  $\Delta h$  variations up to 0.002 m; but variations of  $q$  during constant  $\Delta h$  condition remained small compared to differences between  $\bar{q}$ . Differences between  $\bar{q}$  were significant on the basis of the 95 % confidence interval. The average discharges ranged between  $-1.30 \text{ m d}^{-1}$  to  $1.29 \text{ m d}^{-1}$  (Table 1) and therewith agree well with water fluxes typically observed in natural surface water-groundwater systems (Conant, 2004).

Peclet numbers show that the ratio of convective to conductive heat transport varied in dependence of  $\Delta h$  (Table 1). Experimental flux conditions were dominated by forced convective heat transport also for  $\Delta h = 0.002 \text{ m}$  indicated by Peclet numbers greater than one. Only for  $\Delta h = 0$  convective heat transport did not occur and the system was driven by pure heat conduction. Rayleigh numbers (Table 1) less than the critical Rayleigh number of  $4\pi^2$  (Lapwood, 1948; Bear, 1972) indicate that measured temperature gradients were too small to cause substantial instabilities of thermal flow regime. Thus, a 1-D conductive-convective heat transport model can accurately describe the experimental thermal regime.

In order to determine hydraulic and hydromechanic sediment properties a conservative salt tracer experiment was conducted under a downward flow condition. The tracer was initiated as step pulse injection to the upper barrel water reservoir. Initial concentration at the upper barrel water reservoir was  $0.60 \text{ g l}^{-1}$  which was below the estimated threshold concentration for the onset of free convection ( $0.66 \text{ g l}^{-1}$ ). The measured discharge and arrival time of maximal tracer concentration were used to calculate the effective porosity ( $n_e$ ) and saturated hydraulic conductivity ( $K_{\text{sat}}$ ) based on Darcy's law. An initial estimate of the total porosity ( $n$ ) was derived by the water volume needed to saturate the sediment of known volume. The sediment solutes dispersivity was derived by analyses of the statistical

**Table 2.** Sand box characteristics and sediment hydraulic properties.

Parameter	Value
Sandbox surface area ( $A$ )	0.350 m <sup>2</sup>
Total porosity ( $n$ )	0.370
Effective porosity ( $n_e$ )	0.330
Saturated hydraulic conductivity ( $K_{\text{sat}}$ )	$2.24 \times 10^{-4}$ m s <sup>-1</sup>
Dispersion coefficient ( $D_{\text{Disp}}$ )	$1.5 \times 10^{-7}$ m <sup>2</sup> s <sup>-1</sup>
Longitudinal dispersivity ( $\alpha$ )	0.013 m

temporal moments of the tracer break through curves (Cirpka and Kitanidis, 2000, 2001). Calculated sediment properties are presented in Table 2.

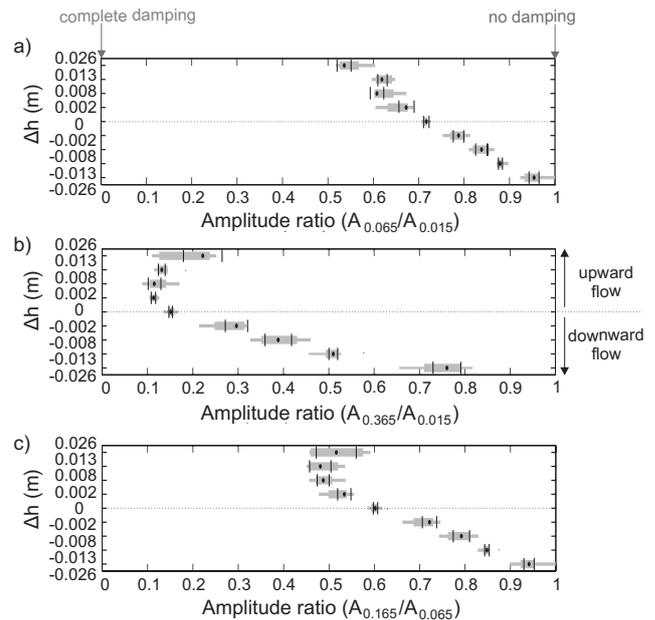
### 3.2 Relations of amplitude ratio and time lag of the sediment probe to hydraulic head differences

The Sediment Probe setup was used to analyse the behaviour of amplitude ratios and time lags in relation to specified  $\Delta h$  because this setup was assumed to be the least intrusive setup and to be in best thermal contact with the saturated sediment.

Figure 2 shows daily amplitude ratio distributions of selected sensor pairs depending on  $\Delta h$ . The overall range of amplitude ratios was between 0 (completely damped) and 1 (no damping) and illustrates the damping of amplitudes while heat propagates through saturated sediment. Amplitude ratios decreased with increasing  $\Delta h$  and increasing sensor spacing (Fig. 2). Thus amplitude ratios were dependent on hydraulic conditions and monitoring depth.

The sensor pair<sub>0.065–0.015</sub> showed significant differences between the amplitude ratios of all  $\Delta h$  with exception of the pair wise comparison of  $\Delta h = 0.008$  m and  $\Delta h = 0.013$  m (Fig. 2a); accurately reflecting differences between  $\bar{q}$ .

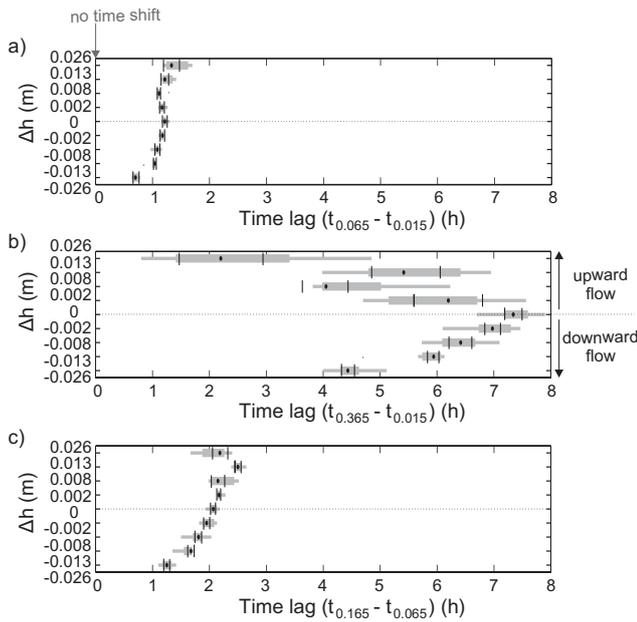
The deep sensor pair<sub>0.365–0.015</sub> indicated significant differences from  $\Delta h = -0.026$  m up to  $\Delta h = 0.002$  m only (Fig. 2b) and the intermediate sensor pair<sub>0.165–0.065</sub> indicated significant differences from  $\Delta h = -0.026$  m up to  $\Delta h = 0.008$  m (Fig. 2c). There was a lack of significance during higher upward fluxes. Effects evoked by temperature changes due to heat introduced by rain in the upper water reservoir will be recognized and no additional heat sources occurring in the soil occasionally could be detected. Therefore the most reasonable explanation of this effect was that heat was introduced into the system by temperature oscillations within the bucket influencing the deep temperature sensors. As a consequence the assumed condition of constant temperature at the bottom of the sandbox was violated. With increasing upward fluxes, the effect of variable water temperatures at the bottom inlet became more pronounced. This constrained the validity of analytical solution for  $\Delta h = 0.013$  m and 0.026 m when sensor pairs with deep temperature sensors were used.



**Fig. 2.** Daily amplitude ratio distribution of Sediment Probe – sensor pairs 0.065–0.015 (a), 0.365–0.015 (b) and 0.165–0.065 (c) of all sand box hydraulic head differences. Data distribution of each condition is shown by box plot (gray shape) with median value of daily amplitude ratios (black dot) and the corresponding 95 % confidence intervals of median value (black mark). Note that a negative hydraulic head difference indicates water flux in vertical downward direction.

In a similar manner as the temperature time signal was reduced in amplitude it was also shifted in time. The main difference of time shift compared to amplitude ratio is that it is only dependent on flux magnitudes but independent of the flux direction (Eq. 2). Hence, water fluxes of the same magnitude but different direction will result in identical time lags. The results for sensor pair<sub>0.065–0.015</sub> (Fig. 3a) confirmed these theoretical relations. Here time lags between  $\Delta h = -0.002$  and  $\Delta h = 0.002$  as well as time lags between  $\Delta h = -0.008$  m and  $\Delta h = 0.008$  m were found to be similar. In contrast, time lags of sensor pair<sub>0.365–0.015</sub> (Fig. 3b) and sensor pair<sub>0.165–0.065</sub> (Fig. 3c) did not confirm the similarity of time lags for equal absolute hydraulic head differences.

The comparison between time lags of the same flux direction of the shallow sensor pair<sub>0.065–0.015</sub> shows small, partly non-significant differences between  $\Delta h$  conditions. In contrast, time lags of the intermediate sensor pair<sub>0.165–0.065</sub> differed significantly for all negative  $\Delta h$ . Time lags of the deep sensor pair<sub>0.365–0.015</sub> differed significantly for  $\Delta h$  conditions from  $-0.026$  m to 0.008 m compared to the average time lag of no-flow condition (Fig. 3). Thus, higher sensor spacings lead to higher time lags and to significant different time lags for a wider range of hydraulic head settings. Deviations of time lags of  $\Delta h > 0.008$  m for all sensor pairs were caused by variable temperatures at the bottom of the sand box.



**Fig. 3.** Daily time lag distribution of Sediment Probe – sensor pairs 0.065–0.015 (a), 0.365–0.015 (b) and 0.165–0.065 (c) of all sand box hydraulic head differences. Data distribution of each condition is shown by box plot (gray shape) with median value of daily amplitude ratios (black dot) and the corresponding 95 % confidence intervals of median value (black mark). Note that a negative hydraulic head difference indicates water flux in vertical downward direction.

In general, the time lags are less sensitive to  $\Delta h$  than the amplitude ratios. In turn, the time lags will remain sufficiently large to resolve high flow velocities up to  $\pm 10 \text{ m d}^{-1}$  (Hatch et al., 2006). Hatch et al. (2006) developed theoretical type curves for amplitude ratio and phase shift as a function of flow rates for different streambed measurement spacing. Our experimental results are in agreement with the theoretical predictions at least for the tested flow range.

Success of quantifying exchange fluxes based on temperature measurements is dependent on the appropriate placement of temperature sensors. Decision of placement depends on hydraulic and thermal properties of the sediment, surface water temperature amplitude and pore water velocities. We used preliminary calculations to realize an appropriate sensor placement for the expected experimental conditions (cp. Sect. 2.3). Furthermore a critical evaluation of boundary conditions is essential to apply analytical solutions for vertical flux calculation. Also in natural systems, groundwater temperatures are not necessarily constant, especially in small, upland rivers characterized by shallow aquifers and which are strongly fed by groundwater. For this reason, the sources of subsurface temperature variability need to be considered for the correct interpretation of the obtained amplitude ratios and time lags.

All in all, the evaluation of the experimental data revealed that the Sediment Probe setup provided amplitude ratios sufficient to resolve sediment water fluxes of  $-1.30 \text{ m d}^{-1}$  to  $1.29 \text{ m d}^{-1}$  when daily amplitudes of  $2^\circ\text{C}$  were present in the surface water. Larger sensor distances would be needed to resolve higher downward fluxes, as otherwise the observed amplitudes may not be sufficiently damped. Only the large temperature sensor distance provided time lags sensitive to experimental fluxes for the given surface water amplitudes. Generally, sensors placed sufficiently close to the sediment surface to be influenced by the diurnal thermal signal had highest accuracy to resolve tested flux magnitudes by the amplitude ratio method.

### 3.3 Differences of amplitude ratio and time lag between temperature probe setups

In Sect. 3.2, the differences of amplitude ratios and time lags between  $\Delta h$  conditions of the Sediment Probe setup were analyzed. Based on these findings the general behaviour of amplitude ratios and time lags of Sediment Probe (Fig. 1, setup A) were compared to amplitude ratios and time lags of MLTS (B), PBS (C) and PCS (D), respectively.

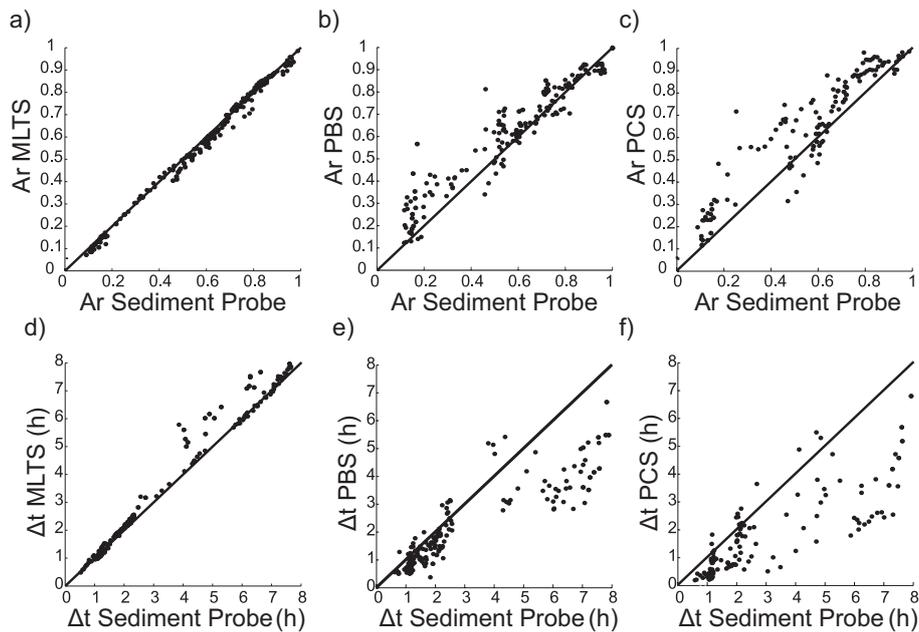
Cardenas (2010) showed that the temperature measured inside a pipe buried in the sediment is lagged and damped compared to the temperature outside of the pipe, violating the assumption that monitored temperatures are representative of the saturated sediment. However, he conclude that methods using amplitude ratios and time lags to derive vertical water fluxes are not sensitive to effects of thermal insulation. The effect of thermal insulation would be equal for each sensor of the corresponding setup. Accordingly, these effects would cancel out if quotients or differences of sensors are used for interpretation of measured temperatures.

#### 3.3.1 Sediment Probe vs. MLTS

The comparison of Sediment Probe and MLTS shows that the calculated daily amplitude ratios (RMSE =  $0.01^\circ\text{C}$ ) and time lags (RMSE =  $0.28 \text{ h}$ ) were in good agreement for both setups for a wide range of downward flow conditions (Fig. 4a). For upward flow conditions (positive  $\Delta h$ ) we observed a slight underestimation of MLTS amplitude ratios (RMSE =  $0.03^\circ\text{C}$ ) and a more obvious overestimation of MLTS time lags (RMSE =  $0.45 \text{ h}$ ).

For downward flow conditions heat transport is dominated by advection. The thermal exchange between the fluid and MLTS was practically equal to thermal exchange between fluid and Sediment Probe yielding to comparable amplitude ratios and time lags. For upward flow conditions the direction of advection is directly opposed to heat conduction into the sediment.

Different thermal conductivities and heat capacities of sand and MLTS material potentially result in deviations of daily amplitude ratios and time lags between Sediment Probe



**Fig. 4.** Scatter plots of amplitude ratio of Sediment Probe vs. amplitude ratio of Multi Level Temperature Stick-MLTS (a), bottom screened Piezometer Probe-PBS (b) and complete screened Piezometer Probe-PCS (c) and scatter plots of time lag of Sediment Probe vs. time lag of MLTS (d), PBS (e) and PCS (f) of all daily ratios of sensor pairs 0.065–0.015, 0.365–0.015 and 0.165–0.065. The diagonal line is the 1:1 line.

and MLTS. The main material of MLTS is HDPE which is characterized by a higher volumetric heat capacity and lower heat conductivity than the quartz sand (Table 3). With higher volumetric heat capacity more energy is absorbed by the same material volume. Differences in heat capacities caused an increased damping of temperatures for MLTS compared to the true temperature signal occurring within the saturated sediment. The resulting MLTS amplitude ratios were lower than the Sediment Probe amplitude ratios (Fig. 4a).

Lower thermal conductivity caused an increased phase shift, e.g. the deep sensors of MLTS reached their peak temperature later than true temperature signal occurring within the saturated sediment. Thus differences in thermal conductivity caused higher time lags of MLTS than of Sediment Probe (Fig. 4d). The deviations of the time lags between Sediment Probe and MLTS setup were more pronounced than the deviations of amplitude ratios. This is because the thermal conductivity of HDPE is more than one order of magnitude lower than thermal conductivity of quartz whereby the heat capacity of HDPE is within the same order of magnitude as the heat capacity of quartz (Table 3).

Differences between Sediment Probe and MLTS only occurred when heat conduction became the dominant process of downward directed heat transport. Thereby deviations between temperature probe setups increased with depth of temperature probe installation, e.g. lowest deviations between amplitude ratios and time lags occurred for sensor pairs located close to the surface. As the volumetric heat capacity of HDPE is only 11 % higher than the volumetric heat capacity

of quartz sediment deviations in amplitude ratios between Sediment Probe and MLTS remained small, especially for sensor pair<sub>0.065–0.015</sub> and pair<sub>0.165–0.065</sub>. Thus, MLTS amplitude ratios of sensor pair<sub>0.065–0.015</sub> and pair<sub>0.165–0.065</sub> can be used for appropriate estimation of vertical flow velocities. The MLTS setup is suitable to calculate water fluxes of at least  $-1.30 \text{ m d}^{-1}$  to  $1.29 \text{ m d}^{-1}$  providing that the temperature sensors are installed in the thermally active zone of the saturated sediment (e.g. sensor pair<sub>0.065–0.015</sub>). In contrast, the calculation of flow velocities using MLTS time lags was uncertain due to the general insensitivity of time lags to small flow velocities. As the magnitude of deviation between Sediment Probe and MLTS time lags was dependent on temperature sensor distance it was not possible to establish a simple conversion factor to compensate setup dependent differences between Sediment Probe and MLTS.

The general differences of MLTS derived amplitude ratios for all  $\Delta h$  were comparable to the characteristics of Sediment Probe amplitude ratios. Also the MLTS setup could be used to significantly differ between  $\Delta h$  conditions from  $-0.026 \text{ m}$  to  $0.026 \text{ m}$  as long as temperature sensors installed within the sediment cover small distances of  $0.05 \text{ m}$  and high distances of  $0.35 \text{ m}$ .

### 3.3.2 Sediment probe vs. PBS

The comparison of daily amplitude ratios based on Sediment Probe vs. PBS (Fig. 4b, e) reveals that most PBS amplitude ratios were higher (less damped) (RMSE =  $0.09 \text{ }^\circ\text{C}$ ) and

**Table 3.** Thermal properties of sand box individual phases -water, quartz and HDPE- and of saturated porous media.

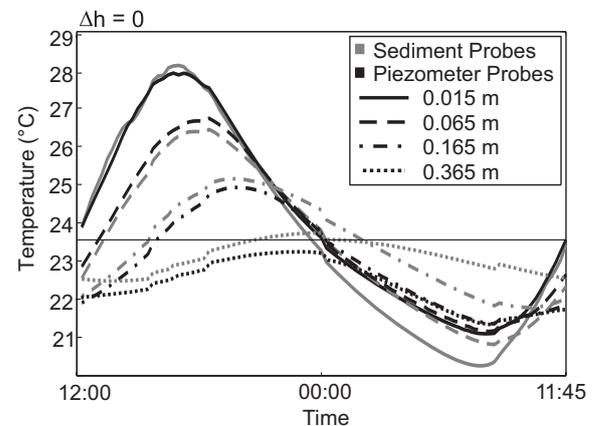
	Density ( $\rho_w$ , $\text{kg m}^{-3}$ )	Heat capacity ( $c$ , $\text{J kg}^{-1} \text{K}^{-1}$ )	Vol. Heat capacity ( $c_{\text{vol}}$ , $\text{J m}^{-3} \text{K}^{-1}$ )	Conductivity ( $\lambda_e$ , $\text{W m}^{-1} \text{K}^{-1}$ )	Diffusivity ( $\frac{\lambda_e}{c_p}$ , $\text{m}^2 \text{s}^{-1}$ )
Water	1000 <sup>a</sup>	4182 <sup>a</sup>	4 182 000	0.60 <sup>a</sup>	$1.43 \times 10^{-7}$
Quartz	2700 <sup>b</sup>	733 <sup>b</sup>	1 900 000	8.40 <sup>b</sup>	$4.20 \times 10^{-6}$
Saturated sediment	2140	1870	4 000 000	5.44	$2.69 \times 10^{-6}$
Saturated sediment-calibrated	–	–	4 000 000	4.75	$1.19 \times 10^{-6}$
HDPE <sup>c</sup>	–	–	2 137 500	0.49	$2.42 \times 10^{-4}$

<sup>a</sup> Carlslaw and Jaeger (1959); <sup>b</sup> van Wijk and de Vries (1966); <sup>c</sup> www.matbase.com/material/polymers/commodity/hdpe/properties

time lags were shorter (RMSE = 1.1 h) than the corresponding Sediment Probe amplitude ratios and time lags. These deviations were caused by vertical thermal exchange processes occurring within the piezometer pipe independent of the saturated sediment thermal regime.

This might be caused by the onset of heat transport by free convection within the piezometer pipe. Maximal daily temperatures within the piezometer pipe vertically decreased. While shallow sediment temperatures decreased faster than deep sediment temperatures during nightly atmospheric cooling, temperatures of different PBS depth equilibrated (Fig. 5). We observed that at the time the temperatures of different observation depths reached equality, the temperatures started to decrease simultaneously until minimum atmospheric temperatures were reached (Fig. 5). Because of these effects minimum temperatures of PBC were nearly identical whereas Sediment Probe temperatures vertically increased with increasing depth. Resulting minimum temperatures at shallow PBS sensors (0.015 m and 0.065 m) were higher and temperatures at deep PBS sensors (0.165 m and 0.365 m) were lower than temperatures occurring within the saturated sediment (Fig. 5). In consequence temperature amplitudes at depth of 0.015 m and 0.065 m were lower and temperature amplitudes at depth of 0.165 m and 0.365 m were higher than corresponding sediment temperature amplitudes causing the general overestimation of Sediment Probe vs. PBS amplitude ratios (Fig. 4b).

Also the large underestimation of PBS time lags was a result of thermal exchange processes within the piezometer pipe. PBS temperature maxima of deep sensors (at 0.165 and 0.365 m) were reached earlier than maximum temperatures occurring within the saturated sediment (Fig. 5) causing lower time lags of PBS (Fig. 4e). We also observed few PBS amplitude ratios that were lower and PBS time lags that were higher than the corresponding Sediment Probe amplitude ratios (Fig. 4b) and time lags (Fig. 4e). These deviations occurred when vertical thermal exchange processes within the piezometer pipe were absent. Thereby the measured temperatures at 0.015 m were constantly higher than measured temperatures at 0.065 m (stable thermal stratification). Such



**Fig. 5.** Measured average daily temperature cycle of the Sediment and bottom screened Piezometer Probe of no flow condition ( $\Delta h = 0$ ).

conditions were observed during experimental upward flow and low atmospheric temperature variations. For these experimental conditions, differences in heat capacities of PBS and saturated sediment caused an increased damping of PBS temperatures compared to the true temperature signal within the saturated sediment, yielding to lower amplitude ratios of the PBS (Fig. 4b). Higher time lags of PBS compared to Sediment Probe (Fig. 4e) were a result of lower thermal conductivity of water than of saturated sediment (Table 3). Thereby the induced temperature signal propagated slower into the ground within the piezometer pipe than within the saturated sediment.

### 3.3.3 Sediment Probe vs. PCS

The deviations between Sediment Probe and PCS amplitude ratios (RMSE = 0.11 °C) and time lags (RMSE = 1.2 h) were comparable to those between Sediment Probe and PBS (Fig. 4). Main differences between Sediment Probe and PCS occurred due to vertical thermal exchange processes within the complete screened piezometer pipe. For upward flow

conditions, during stable thermal stratification, the differences between Sediment Probe and PCS were smaller and could be attributed to different thermal properties of temperature probe setups (cp. Sect. 3.3.2).

### 3.3.4 Sediment Probe vs. PCS and PBS

The similar behaviour of PCS and PBS was further confirmed by the concurrent measurements in both setups at 0.165 m depth. At this depth, PCS and PBS showed analogue temperature regimes. Differences between PBS and PCS setups were negligible compared to the differences between PBS/PCS and Sediment Probe setup. Thus the Piezometer Probe setups (PBS/PCS) will not be distinguished in the following chapters since their results and interpretations would be identical.

Vertical preferential flow along the piezometer pipes potentially influence the measured temperatures of PBS and PCS. Occurring preferential flow would result in less dampened amplitudes and faster signal propagation than observed for Sediment Probe. But as preferential flow would have been the reason causing these deviations, they would not have appeared for no-flow condition ( $\Delta h = 0$ ). However, preferential flow causing the deviations between Sediment Probe and Piezometer Probe setups could be ruled out because we observed deviations between amplitude ratios (RMSE = 0.15 °C) and time lags (RMSE = 2.3 h) for no-flow condition.

We highlighted the differences between temperature probe setups by comparing their amplitude ratios and time lags. Using these signal characteristics we could examine influences of thermal skin effects and uncertain thermal sediment characteristics. The smallest differences were found between Sediment Probe and MLTS setup. The differences between Sediment Probe and both, PBS and PCS were high. Hence, the use of Piezometer Probe amplitude ratios and time lags would cause substantial errors when they are used as targets to calculate vertical flow velocities. A quantitative flux calculation based on Piezometer Probe derived, diurnal amplitude ratios and time lags is not possible when temperature gradients within the piezometer pipes were diminished by the onset of free convection.

### 3.4 Thermal properties of the sand box sediment

Calibrated baseline thermal diffusivity of the sand box sediment was found to be  $1.19 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$ . The corresponding heat capacity was  $1870 \text{ J kg}^{-1} \text{ K}^{-1}$  and thermal conductivity was  $4.75 \text{ W m}^{-1} \text{ K}^{-1}$ . The calibration of thermal properties result in a wide range of parameter sets of heat capacity and thermal conductivity, having little RMSE in the range of  $8.6 \times 10^{-3}$  to  $2.5 \times 10^{-2} \text{ m d}^{-1}$ . All parameter sets of saturated heat capacity and thermal conductivity having the same saturated sediment dispersivity of  $1.19 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$  (quotient of thermal conductivity and volumetric heat capacity)

accurately described the heat transport behaviour under a no-flow condition.

Assuming a volumetric heat capacity of saturated sediment of  $1870 \text{ J m}^{-3} \text{ K}^{-1}$  (Table 3), the corresponding thermal conductivity was found to be  $4.75 \text{ W m}^{-1} \text{ K}^{-1}$ , determined by minimum RMSE between calculated flow velocities and  $q = 0$ . The derived saturated thermal conductivity of the sand box sediment was higher than thermal conductivities of sediments commonly found in natural streambeds, which are in the range of  $0.8$  to  $2.5 \text{ W m}^{-1} \text{ K}^{-1}$  (Hopmans et al., 2002; Schön, 1998; Stonestrom and Blasch, 2003). This high thermal conductivity is the result of pure quartz sediment, which is highly conductive compared to other natural sediment compounds like silt, clay and organic matter (van Wijk and de Vries, 1966; de Vries, 1966). Based on the high thermal conductivity, calculated thermal diffusivity was also higher than diffusivities of natural streambed sediments ( $0.5 \times 10^{-6}$  to  $1 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$ ). The calibrated baseline thermal conductivity of the saturated sediment was lower than the initial assumption based on volumetric averaging (arithmetic mean) of the thermal conductivities of water and quartz (Table 3). A much better estimate of the calibrated thermal conductivity has been derived by averaging the conductivity of water and quartz using the geometric mean ( $4.60 \text{ W m}^{-1} \text{ K}^{-1}$ ). However, remaining deviations between calibrated and averaged hydraulic conductivities could be caused by the dependence of thermal conductivity upon the composition and arrangement of the solid phase.

### 3.5 Calculation of vertical water flow velocities based on amplitude ratios and time lags

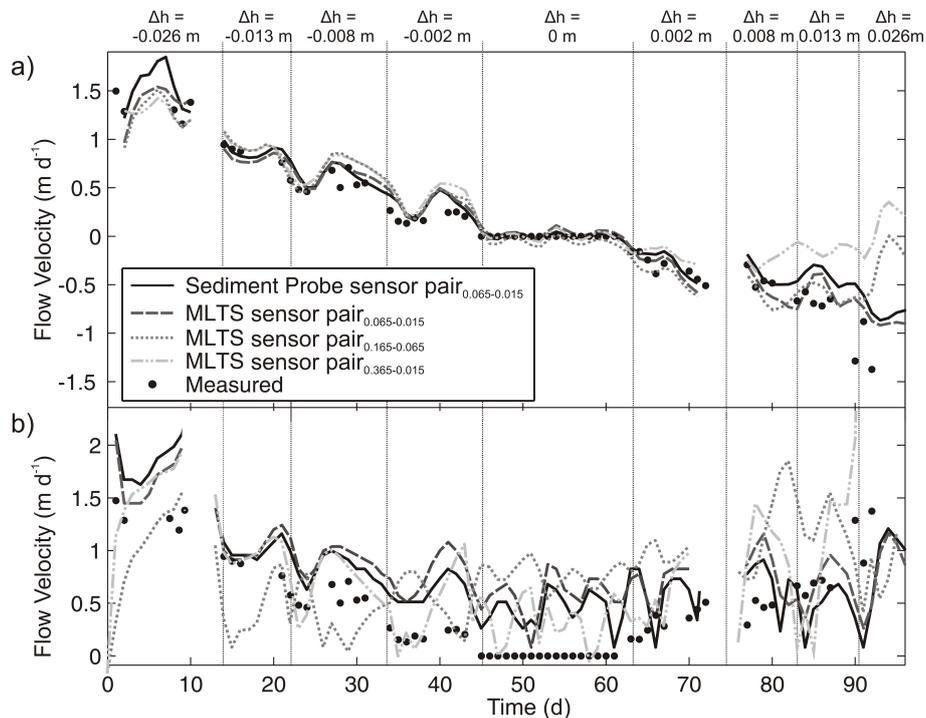
Darcian flow velocities of the sand box experiment were calculated using the analytical solutions of the 1-D heat transport Eqs. (1) and (2). As input the amplitude ratios and time lags derived from the three setups (Sediment Probe, MLTS and combined PBS and PCS) and calibrated thermal parameter were used. Results of the shallow sensor pair<sub>0.065–0.015</sub> (Fig. 6) will be discussed in detail within this section. The results of the other sensor pairs are presented in Table 4 for brevity. Flow velocities based on Sediment Probe amplitude ratios ( $v_{\text{Sediment}}$ ) ranged from  $1.75$  to  $-0.75 \text{ m d}^{-1}$  and revealed a high accordance with the measured fluxes (RMSE =  $0.13 \text{ m d}^{-1}$ ,  $R^2 = 0.92$ ) (Fig. 6a). The median of the normally distributed residuals between measured flow velocities and  $v_{\text{Sediment}}$  was only  $-0.025 \text{ m d}^{-1}$  proving the appropriateness of the analytical solution based on Sediment Probe amplitude ratios.

Flow velocities based on MLTS derived amplitude ratios ( $v_{\text{MLTS}}$ ) also highly agreed with the experimentally measured flow velocities (RMSE =  $0.14 \text{ m d}^{-1}$ ,  $R^2 = 0.92$ ) (Fig. 6a). The median of the residuals between measured flow velocities and  $v_{\text{MLTS}}$  was  $-0.046 \text{ m d}^{-1}$ .

The results show that  $v_{\text{Sediment}}$  and  $v_{\text{MLTS}}$  were nearly identical, having low deviations for upward flow directions,

**Table 4.** Comparison of measured and calculated Darcian flow velocities based on Sediment Probe, MLTS and Piezometer Probe amplitude ratios and different temperature sensor spacings.

	RMSE ( $\text{m d}^{-1}$ )			$R^2$		
	Sediment Probe	MLTS	Piezometer Probe	Sediment Probe	MLTS	Piezometer Probe
Sensor pair <sub>0.065–0.015</sub>	0.13	0.14	0.48	0.92	0.92	0.39
Sensor pair <sub>0.165–0.065</sub>	0.21	0.19	0.52	0.88	0.90	0.28
Sensor pair <sub>0.365–0.015</sub>	0.35	0.30	0.62	0.68	0.74	–0.05

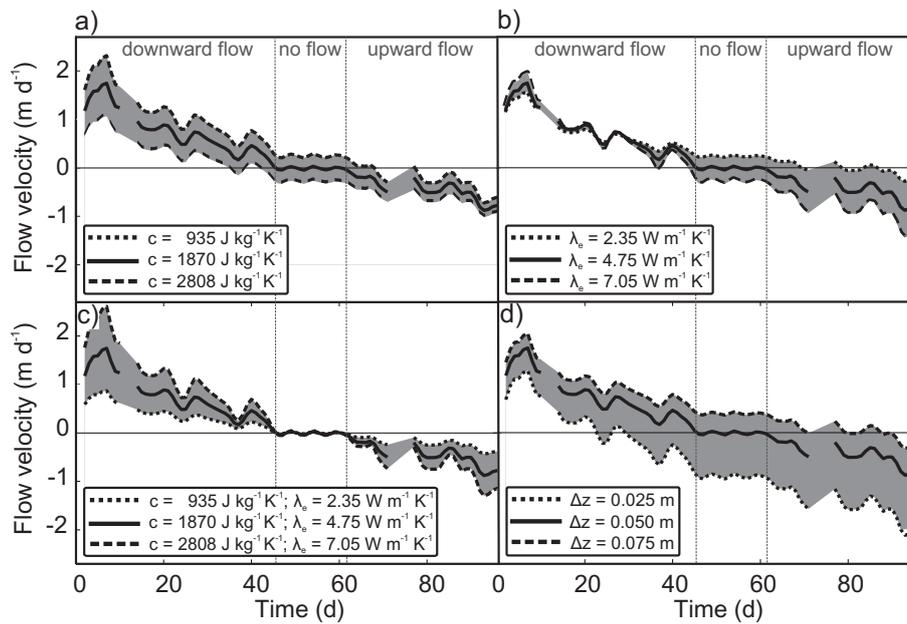


**Fig. 6.** Calculated Darcian flow velocities of sand box experiment using Keery's amplitude ratio (a) and time lag method (b). Amplitude ratios and time lags were derived by temperature time series evaluation of Sediment Probe - sensor pair 0.065–0.015 and MLTS – sensor pairs 0.065–0.015, 0.165–0.065, 0.365–0.015.

arising from small differences in amplitude ratios between both setups (Sect. 3.3.1). In contrast, the vertical flow velocities based on PBS and PBC amplitude ratios ( $v_{\text{Piezometer}}$ ) highly differed from the measured flow velocities ( $\text{RMSE} = 0.48 \text{ m d}^{-1}$ ,  $R^2 = 0.39$ ). The median of the residuals between measured flow velocities and  $v_{\text{Piezometer}}$  was  $-0.33 \text{ m d}^{-1}$  and the distribution of residuals were slightly skewed. Thus, the calculated flow velocities based on PBS and PCS amplitude ratios were generally higher than the measured ones. These deviations were due to thermal exchange processes within the piezometer pipes, which were not captured by the analytical solution. Despite the general overestimation of  $v_{\text{Piezometer}}$ , PBS and PCS amplitude ratios could be used to distinguish between upward, no and

downward flow conditions, but they could not be reliably applied to determine flow velocity magnitudes.

The results of sensor pair<sub>0.165–0.065</sub> and pair<sub>0.365–0.165</sub> reveal a decreasing agreement to measured fluxes with increasing sensor spacing (Table 4). When the upward fluxes are strong the deep sensors cannot correctly indicate the fluxes anymore (Fig. 6a); caused by the temperature variation at the bottom of the sand box. For the downward flow condition, the accordance between measured and calculated flow velocities of all Sediment Probe and all MLTS sensor spacings were comparable (Fig. 6a). The results of Keery's amplitude ratio method prove the applicability of Sediment Probe and MLTS to be used in natural systems as streams and lakes under gaining or losing condition. The presence of vertical



**Fig. 7.** Sensitivity of analytically calculated Darcian flow velocities to variations of heat capacity (**a**) and thermal conductivity (**b**), to simultaneous variations of heat capacity and thermal conductivity guaranteeing same thermal diffusivities (**c**) and to variations of temperature sensor spacing (**d**).

water fluxes would decrease the accuracy and limit the applicability of flux calculation using described temperature probe setups and analytical solutions.

Vertical flow velocities derived from the time lag method highly differed from the measured flow velocities (Fig. 6b). The best fit was obtained for the Sediment Probe ( $\text{RMSE}=0.78 \text{ m d}^{-1}$ ,  $R^2=-0.67$ ) and MLTS ( $\text{RMSE}=0.87 \text{ m d}^{-1}$ ,  $R^2=-1.07$ ). Again, PBS and PCS showed the poorest fits ( $\text{RMSE}=2.62 \text{ m d}^{-1}$ ,  $R^2=-16.57$ ). For all setups the time lag method was highly insensitive to small flow velocities and could not reflect measured flow velocities. Generally, the deviations between measured and calculated flow velocities of the Sediment Probe and MLTS decreased with increasing flux magnitude (Fig. 6b). Therefore, flow velocities accurately calculated by time lag method need to be higher than at least  $1.5 \text{ m d}^{-1}$ .

### 3.6 Sensitivity of calculated water flow velocities to sediment thermal properties and thermal dispersivity

The sensitivity analysis was based on the amplitude ratio method using the Sediment Probe - sensor pair<sub>0.065–0.015</sub>. The calculated flow velocities ( $v_{\text{Sediment}}$ ) were sensitive to variations of heat capacity and thermal conductivity, to simultaneous variations of heat capacity and thermal conductivity preserving the same thermal diffusivities, and to variations of temperature sensor distance.

The de- and increase of heat capacity resulted in an absolute de- and increase of  $v_{\text{Sediment}}$ , respectively. Thereby  $v_{\text{Sediment}}$  was more sensitive to variations of the heat capacity during downward than during upward flow conditions (Fig. 7a). Under downward flow conditions, deep sediment temperatures were influenced by daily temperature variations. In contrast, deep sediment temperatures were homogeneous over time during upward fluxes. Thus, less heat was absorbed and released by quartz grains than during downward fluxes, decreasing the solutions sensitivity to saturated heat capacity.

The calculated flow velocity was nearly insensitive to variations of thermal conductivity during downward flow conditions. For no-flow and upward flow conditions, a decrease of thermal conductivity caused higher and an increase of thermal conductivity caused lower estimates of  $v_{\text{Sediment}}$  (Fig. 7b). For upward flow conditions the direction of conduction is directly opposed to heat advection. The system was dominated by advection, however, the propagation of the thermal signal was determined by conduction through the sediment particles, increasing the solution's sensitivity to thermal conductivity.

As discussed for the optimisation of the thermal parameters, during no-flow conditions, calculated  $v_{\text{Sediment}}$  was insensitive to simultaneous changes of heat capacity and thermal conductivity as long as the thermal diffusivity remained unchanged. The simultaneous variations of both thermal properties yielded either an absolute underestimation (decreasing thermal properties) or an absolute overestimation (increasing thermal properties) of vertical flow velocity

(Fig. 7c). These deviations were caused by the superposition of the described variations of  $v_{\text{Sediment}}$  for separated changes of heat capacity and thermal conductivity (Fig. 7a and b).

Absolute deviations of calculated flow velocities were highest for variations in temperature sensor spacing (Fig. 7d).

Sensitivity analyses of calculated  $v_{\text{Sediment}}$  revealed the need for an accurate estimation of thermal sediment properties and temperature sensor spacing in order to accurately calculate vertical flow velocities. The omission of thermal dispersivity in the Keery et al.'s analytical solution of the 1-D heat transport equation (2007), might be a limitation when calculating vertical flow velocities. To test sensitivity of thermal diffusivity to vertical flow velocity, the Hatch et al.'s (2006) solution was used ( $v_{\text{Hatch}}$ ). The calculated flow velocities based on the Hatch amplitude ratio method were identical to the flow velocities calculated by Eq. (1), when the thermal dispersivity was set to zero. Also,  $v_{\text{Hatch}}$  results under consideration of thermal diffusivities in the range of solute dispersivity derived by conservative salt tracer experiment (Table 2) were similar to  $v_{\text{Keery}}$  (maximum deviation =  $0.014 \text{ m d}^{-1}$ ,  $\text{RMSE} = 0.0015 \text{ m d}^{-1}$ ,  $R^2 = 0.99$ ) with flow velocities ranging from  $-0.75$  to  $1.75 \text{ m d}^{-1}$ . Therefore, the baseline thermal diffusivity (about  $10^{-6} \text{ m}^2 \text{ s}^{-1}$ ) was one order of magnitude higher than the maximal thermal dispersion coefficient (about  $10^{-7} \text{ m}^2 \text{ s}^{-1}$ ).

Our experimental results prove that the effect of thermal dispersion can be neglected for fine grained sediment and common water exchange fluxes between surface water and groundwater, when thermal dispersivity is equal to solute dispersivity.

#### 4 Conclusions

Atmospheric temperature variations force the continuous transfer of energy between surface water and saturated sediment, thus forming subsurface temperature patterns determined by water flux direction and magnitude. The application of the analytical solution of the 1-D heat transport equation to observed temperature profiles provides a useful tool to quantify the water flux of saturated sediments. The accuracy of analytically calculated water fluxes was found to dependent on the temperature probe setup. Four temperature probe setups were installed into a sand box experiment to measure temporarily highly resolved vertical temperatures at depths of  $0.015 \text{ m}$ ,  $0.065 \text{ m}$ ,  $0.165 \text{ m}$  and  $0.365 \text{ m}$  under controlled exchange fluxes in the range of  $\pm 1.3 \text{ m d}^{-1}$ .

Band pass filtering of the temperature records allowed extraction of the daily frequency, facilitating the use of analytical solutions to calculate vertical water flux. Amplitude ratios of the direct temperature probe installation setups "Sediment Probe" and "MLTS" significantly varied with sand box hydraulic gradients. These amplitude ratios provided an accurate basis for the analytical (amplitude ratio method) calculation of flow velocities in the range of  $-1.29 \text{ m d}^{-1}$  to

$+0.75 \text{ m d}^{-1}$ . Best results were obtained for small temperature sensor distances close to the surface-subsurface interface (sensor pair<sub>0.065–0.015</sub>), guaranteeing that the shallow thermal regime is driven by atmospheric temperature oscillations and is independent of variable heat influx at the bottom of the sand box. Thermal properties of the medium-grained quartz sand were found to be  $1870 \text{ J kg}^{-1} \text{ K}^{-1}$  for the heat capacity and  $4.75 \text{ W m}^{-1} \text{ K}^{-1}$  for the thermal conductivity.

Calculated flow velocities were sensitive to thermal properties of the saturated sediment and to sensor distance, but insensitive to thermal dispersivity equal to solute dispersivity. Measured temperature profiles of indirect temperature probe installations as PBS and PCS were disturbed by thermal exchange processes within the piezometer pipes. The thermal exchange, independently occurring from the saturated sediment, restricted the sensitivity of amplitude ratios to the sandbox hydraulic gradients and to the calculated water flow velocities.

Time lags of all temperature probe setups were generally insensitive to sand box hydraulic gradients, causing high deviations between measured and analytically (time lag method) calculated flow velocities in the range from  $-1.29 \text{ m d}^{-1}$  to  $1.30 \text{ m d}^{-1}$ .

The interpretation of measured subsurface temperature data should contain a critical discussion of setup related effects, as thermal exchange processes within piezometer pipes are independent of saturated sediment. The representation of saturated sediment temperatures is of main importance for the accurate quantification of subsurface water fluxes.

The experimental results support that, besides the Sediment Probe, the MLTS setup can be used to accurately calculate vertical flow velocities. The advantage of MLTS is its installation into the saturated sediment, guaranteeing defined sensor distances. The lost cone installation of Sediment Probe bears the potential to introduce deviations of defined temperature probe distances. The data of the MLTS setup can be accessed during operation by manually reading the sensor or via the GSM modem. The temperature data of TidbiTs directly installed into the sediment can only be accessed after deinstallation. Data availability during operation enables the user to control the functioning of the setup and to promptly evaluate measurements. This prove the MLTS to be a valuable and appropriate tool for experimental application in natural streams to accurately quantify water and heat fluxes at the surface water-groundwater interface. The application along and across stream channels would provide highly resolved spatial and temporal information for better understanding the complex saturated sediment hydroecology.

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