



# Turbulent flux modelling with a simple 2-layer soil model and extrapolated surface temperature applied at Nam Co Lake basin on the Tibetan Plateau

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**Abstract.** This paper introduces a surface model with two soil-layers for use in a high-resolution circulation model that has been modified with an extrapolated surface temperature, to be used for the calculation of turbulent fluxes. A quadratic temperature profile based on the layer mean and base temperature is assumed in each layer and extended to the surface. The model is tested at two sites on the Tibetan Plateau near Nam Co Lake during four days during the 2009 Monsoon season. In comparison to a two-layer model without explicit surface temperature estimate, there is a greatly reduced delay in diurnal flux cycles and the modelled surface temperature is much closer to observations. Comparison with a SVAT model and eddy covariance measurements shows an overall reasonable model performance based on RMSD and cross correlation comparisons between the modified and original model. A potential limitation of the model is the need for careful initialisation of the initial soil temperature profile, that requires field measurements. We show that the modified model is capable of reproducing fluxes of similar magnitudes and dynamics when compared to more complex methods chosen as a reference.

## 1 Introduction

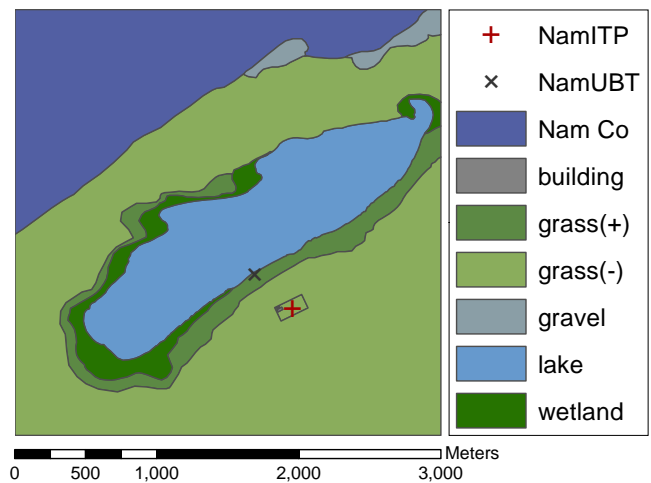
Turbulent fluxes of momentum, latent heat ( $Q_E$ ) and sensible heat ( $Q_H$ ) are some of the most important interactions between land surface and atmosphere. These fluxes are responsible for the development or modification of mesoscale circulations and the generation of clouds feed back on surface fluxes through the modification of solar radiation. The effects of vegetation influencing boundary layer structure and moisture are widely acknowledged (i.e. Freedman et al., 2001; van Heerwaarden et al., 2009), while the feedback from short-lived clouds is less understood, but important. Shallow cumulus-surface interactions were shown in an LES (large eddy simulation) study to impact surface temperature and fluxes on very short time scales (Lohou and Patton, 2011). For improved process understanding, it is necessary to use: (1) atmospheric models with sufficiently high resolution ( $\mathcal{O}(100\text{ m})$ ) to resolve boundary layer processes as well as clouds and (2) surface models capable of reproducing the system's surface flux dynamics.

Our research focuses on surface-atmosphere interactions on the Tibetan Plateau (TP) in the Nam Co Lake region. With more than 4700 m a.s.l., a semi-arid climate and with a highly adapted *Kobresia pygmaea* alpine steppe (Miehe et al., 2011), the TP proves to be a difficult environment for surface models (Yang et al., 2003, 2009). Specific problems

include large temporal and spatial variability in soil moisture (Su et al., 2011), large diurnal variations of surface temperature from surface freezing before sunrise to more than 30 °C at noon. Ma et al. (2009) give an overview about the TP surface-atmosphere processes. On the TP, the fraction of diffuse solar radiation is very small, making cloud feedbacks especially important for the surface-atmosphere system. The model studies with a regional model of Cui et al. (2007) imply that some of the precipitation events on the TP are predominantly local and therefore not captured by coarser resolution models.

In this paper we present results of a rather simple flux algorithm based on a modified two-layer soil model that is part of a vegetation dynamics and biosphere model Hybrid (Friend et al., 1997; Friend and Kiang, 2005; Friend, 2010). The original model produces a substantial delay in the diurnal turbulent flux cycle due to the low responsiveness of the model's upper soil layer to changes in atmospheric forcing and fails to capture important dynamics. We therefore introduce an extrapolated surface temperature and show that this new approach is capable of reproducing diurnal flux dynamics for two vegetation covered surfaces near Nam Co Lake. These sites are representative for the basin, but show very different dynamics. In our future studies, the same surface-model version will also be coupled to the spatially and temporally high resolution atmospheric model ATHAM (Active Tracer High-resolution Atmospheric Model, Oberhuber et al., 1998; Herzog et al., 1998) including radiation, cloud microphysics and active tracer transport. As simulations of the high-resolution model will be run for approximately 24 h we tested the surface model in column mode forced with standard atmospheric measurements for the same period of time with initialisation at 00:00 h Beijing Standard Time (BST). We acknowledge that this approach is different from most surface model studies that are run for longer periods, but it is necessary for the planned study of the coupled surface-atmosphere system. Such a surface flux algorithm is generally suitable for high-resolution atmospheric modelling studies of different ecosystems as it does not have built in assumptions about horizontal scales.

It is our objective to test the suitability of a simple two-layer soil model with an improved surface or "skin" temperature estimated from the mean temperature of the uppermost layer that shall subsequently be used for driving an atmospheric circulation model for the Nam Co region on the TP. Therefore, fluxes derived from the surface flux algorithm with and without a specific formulation for "skin" temperature are compared to fluxes measured by eddy-covariance technique and to fluxes derived by a more complex Surface-Vegetation-Atmosphere Transfer (SVAT) Model, with five soil layers.



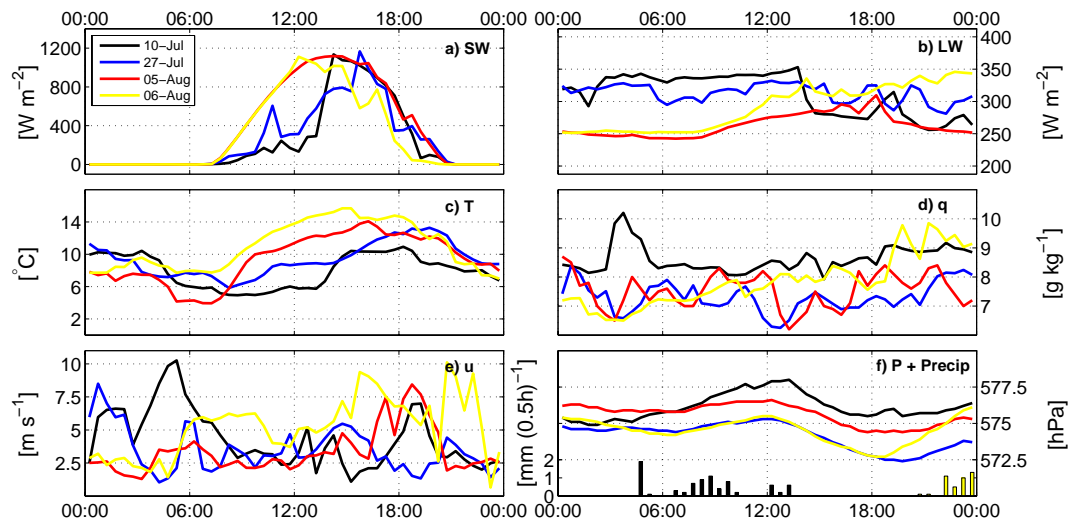
**Fig. 1.** Landcover map of study area: the black cross indicates the station identified as UBT, close to the small lake with denser surface cover [grass (+)], the red cross shows the station location ITP with sparse surface cover [grass (-)].

## 2 Site description and model forcing data

From 27 June to 8 August by the University of Bayreuth (UBT) and the Institute of Tibetan Plateau Research, Chinese Academy of Sciences (ITP) conducted a joint field campaign at Nam Co Lake.

### 2.1 Site description

Nam Co Lake is located on the Tibetan Plateau at approximately 4730 m a.s.l., circa 150 km north of Lhasa. Data from two locations in the vicinity of the lake are used (Fig. 1). Site 1, referred to and operated by UBT, is an eddy-covariance setup on the south shore of a small lake that itself is situated approximately 500 m south of Nam Co lake. UBT has a fairly constant soil moisture below circa 60 cm depth due to the influence of ground water. Additionally, the atmospheric measurements are influenced by a land-lake breeze that originates from Nam Co Lake. Site 2 (operated by and referred to as ITP) is at the Nam Co Station for Multisphere Observation and Research (Li et al., 2009; Cong et al., 2009), approximately 300 m south from both UBT and the direct influence of the small lake with a sandy soil and a very low field capacity ( $FC = 5\%$ ) compared to overall pore volume (39%). The vegetation at both sites is grassland (Metzger et al., 2006) with UBT having a small bare soil fraction (0.1) compared to 0.4 at ITP). Small FC and the generally low volumetric top soil water contents ( $\theta_v$ ) at ITP, lead to large sensible energy fluxes compared to latent heat fluxes ( $Q_H \gg Q_E$ ). After rain events however,  $\theta_v$  may exceed FC by a factor of up to 3 leading to a similar flux regime at the two stations with  $Q_E > Q_H$ . Due to the generally drier conditions, reducing soil total heat capacity and the smaller influence of the lake on the temperature cycle at ITP surface temperature



**Fig. 2.** Forcing data measured at UBT used for model runs: (a) downward shortwave radiation ( $SW$  [ $W m^{-2}$ ]); (b) downward longwave radiation ( $LW$  [ $W m^{-2}$ ]); (c) air temperature ( $T$  [ $^{\circ}C$ ]); (d) water vapour mixing ratio ( $q$  [ $g kg^{-1}$ ]); (e) wind speed ( $U$  [ $m s^{-1}$ ]); (f) surface pressure ( $P$  [hPa]) and precipitation [ $mm (0.5h)^{-1}$ ]) Height  $c-e$  is 3 m.

frequently drops below  $0^{\circ}C$  in the early morning hours. At UBT there were soil temperature sensors installed at 2.5, 5, 10, 20, 30 and 50 cm depths. At ITP no soil temperatures were available at depths above 20 cm, with data measured at 20, 40, 80 and 160 cm below ground. Comprehensive information of ITP and UBT surface and soil properties, measurement setup and data availability is found in (Biermann et al., 2009) and an overview over the parameters used in the model is presented in Table 1.

## 2.2 Model forcing data

The data used in the modelling study was selected according to the data quality of turbulence data (Foken et al., 2004) and the wind direction. Finally, we selected four days with high data quality over the whole day encompassing different weather situation. The 24-h model runs are initialised with the soil temperature profile and soil moisture at 00:00 BST ( $\sim 22:00$  in local solar time). 10 July was a complex day with rain in the morning and sunshine in the afternoon. 27 July was a cloudy day without rain. 5 August was a radiation day after a period of rain leading to moist conditions and large  $Q_E$  at ITP. 6 August was similar to the previous day, but with some of the water drained from the soil at ITP and developing clouds in the afternoon. During 10 July and 5 August, the station close to the lake (UBT) came under the influence of a lake breeze during which the forcing data (except for radiation measurements) correspond rather to the nearby lake than the land surface. Due to the overcast sky on 27 July the lake breeze and thus the influence of the lake surface was severely weakened as described in Zhou et al. (2011), so that there was only limited influence of the lake surface onto the atmospheric measurements.

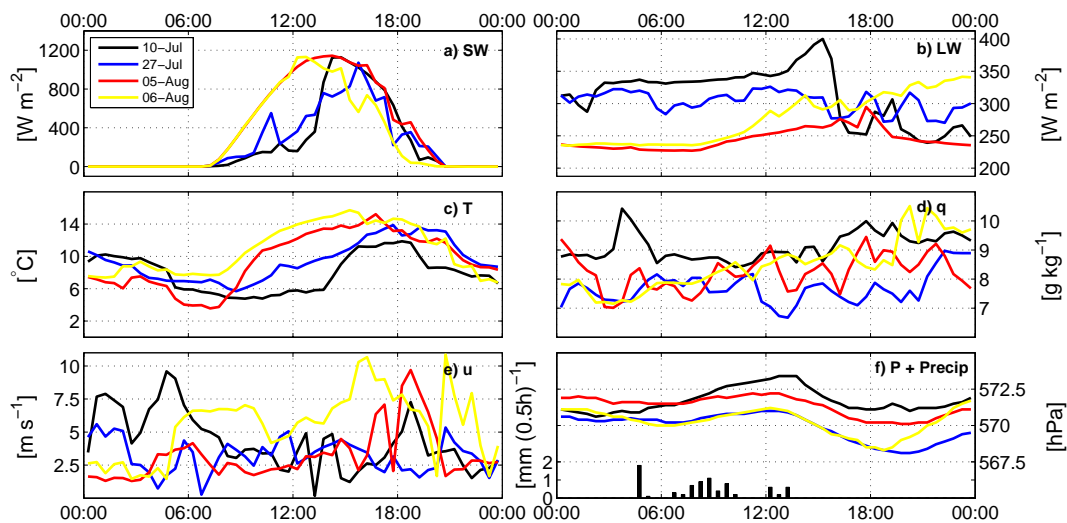
The model is forced with measured atmospheric data from UBT (Fig. 2) and ITP (Fig. 3) providing air temperature, water vapour mixing ratio, wind speed, air pressure, precipitation and downwelling long and shortwave radiation. In general 30-min mean values were linearly interpolated to the surface model time step that was the same as a typical time step of an atmospheric model ( $\Delta t = 2.5$  s). The only selected day with precipitation during day-time was 10 July 2009. However, there was also rain recorded at UBT from about 22:00 BST on 6 August 2009, while no precipitation data was available at ITP. Half hourly precipitation was scaled down to the model time step assuming a constant precipitation rate per 30-min interval. There was little difference between the data measured at ITP and UBT, as expected due to the proximity of the sites. However there was an offset of approx. 5 hPa between the recorded pressures, that was not corrected for as this is likely within the uncertainty of the sensors and the model should not be too sensitive to such a pressure difference. Unlike UBT where rain 30-min precipitation was available, there were only daily sums recorded for ITP, which had to be downscaled to 30-min values by scaling them linearly with UBT observations.

## 3 Modelling approach

The surface model Hybrid (Friend et al., 1997; Friend and Kiang, 2005) is currently coupled to the high-resolution Active Tracer High-resolution Atmospheric Model (ATHAM) by Oberhuber et al. (1998) and Herzog et al. (1998) for the investigation of feedbacks between atmospheric processes and surface fluxes.

**Table 1.** Description of the two sites (UBT and ITP) near Nam Co lake and the parameters used the model setup (Biermann et al., 2009).

Parameter	UBT	ITP
Coordinates	30°46.50' N 90°57.61' E	30°46.44' N 90°57.72' E
Soil	sandy-loamy	sandy
Porosity	0.63	0.393
Field capacity [ $\text{m}^3 \text{m}^{-3}$ ]	0.184	0.05
Wilting point [ $\text{m}^3 \text{m}^{-3}$ ]	0.115	0.02
Heat capacity of dry soil ( $c_{pd}$ ) [ $\text{J m}^{-3} \text{K}^{-1}$ ]	$2.5 \times 10^6$	$2.2 \times 10^6$
Thermal conductivity [ $\text{W m}^{-1} \text{K}^{-1}$ ]	0.53	0.20
Surface albedo ( $\alpha$ )	0.20	0.20
Surface emissivity ( $\epsilon$ )	0.97	0.97
Vegetated fraction	0.9	0.6
LAI [ $\text{m}^2 \text{m}^{-2}$ ] (estim. from: Hu et al., 2009)	0.9	0.6
Vegetation height [m]	0.07	0.15

**Fig. 3.** Same as Fig. 2, but with forcing data measured at ITP. Precipitation at ITP was measured daily and for the purpose of this study distributed to 30 minute intervals according to the recorded rain fall at UBT.

Our high-resolution modelling approach aims at a spatial and temporal resolution in the order of 500 m and 2.5 s, respectively. As our focus is on diurnal surface-atmosphere interactions, the surface model must capture the magnitude of the fluxes and must be able to react quickly to changes in atmospheric forcing. Therefore, a surface model that is capable of reproducing realistic turbulent energy and water vapour fluxes at a sufficiently high temporal resolution and at reasonable computational costs is needed. We decided against a model with more than two soil-layers due to higher computational cost and instead modified the original Hybrid model to meet these requirements.

### 3.1 The surface model

The modified version of Hybrid which is a process based terrestrial ecosystem and surface model, incorporates a simple two-layer representation of the soil and uses the turbulent transfer parameterisations taken from the GISS model II (Hansen et al., 1983). The transfer equations in Hybrid are described in Friend and Kiang (2005). Bare soil parameterisation follows the approach of SSiB (Xue et al., 1996) that is based on Camillo and Gurney (1986) and Sellers et al. (1986). Turbulent fluxes are calculated using a bulk approach for the sensible heat flux:

$$Q_H = c_p \rho C_H u(z) (T_0 - T(z)) \quad (1)$$

with air specific heat capacity ( $c_p$  [ $\text{J kg}^{-1} \text{K}^{-1}$ ]), the Stanton number ( $C_H$ ) which is calculated as a function of roughness

length ( $z_0$ ) and Bulk Richardson Number, air density ( $\rho$  [ $\text{kg m}^{-3}$ ]), measured wind speed ( $u(z)$  [ $\text{ms}^{-1}$ ]), air temperature ( $T(z)$ ) at measurement height ( $z$  [m]) and surface temperature ( $T_0$ ). All temperatures used are in K. The latent heat flux is derived in a more complex manner from bulk soil evaporation (EV) and a canopy resistance approach estimating plant transpiration (TR), with  $Q_E = \text{EV} + \text{TR}$ :

$$\text{EV} = \left( \rho \frac{f_h q_s - q_a}{r_s + r_a} \right) \times \exp(-0.7\text{LAI}) \quad (2)$$

$$\text{TR} = \frac{\rho \Delta q_a}{r_c + r_a}, \quad (3)$$

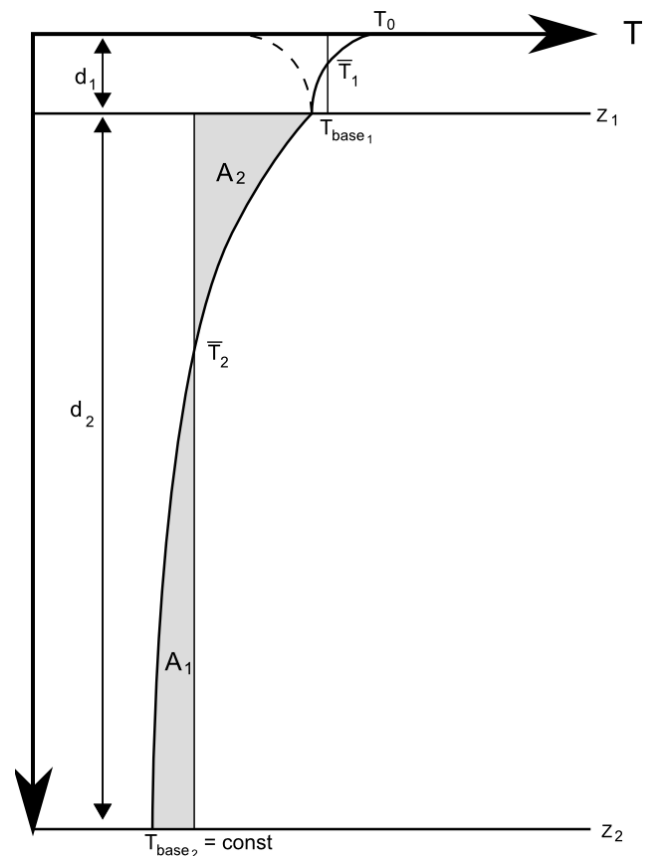
with the relative humidity of soil air ( $f_h$ ), saturation water mixing ratio at surface temperature ( $q_s$ ), atmospheric water vapour mixing ratio ( $q_a$ ), soil and aerodynamic resistance ( $r_s$ ,  $r_a$ ), leaf area index (LAI) and canopy resistance ( $r_c$ ) calculated by the vegetation model component. Transfer coefficients are modified from Deardorff (1968). Plant physiology and stomatal conductance are included via generalised plant types (GPT). As an ecosystem model Hybrid is designed to work on hourly to climate scales (Friend, 2010) and should therefore be capable of reproducing diurnal flux cycles as well as ecosystem changes on climate scales. It was originally developed as a biosphere-surface component for the GISS GCM. A “thin” upper layer of 10 cm thickness follows the daily cycle of surface temperatures, whereas a lower layer with 4 m thickness acts as the memory for the annual cycle in both model versions. However, an upper layer of such thickness imposes a substantial dampening on the diurnal temperature cycle and will effectively act as a low-pass filter for events of short durations such as cloud shading that, especially under the conditions found at the TP, has a substantial immediate impact on surface temperatures and on fluxes as well. This can be seen in Fig. 12 of Hansen et al. (1983), where a time delay of approximately 2 h is visible for surface temperature in the diurnal cycle. A similar behaviour of the original Hybrid is discussed in Sect. 5.4. Shortcomings with the representation of diurnal cycles may also impact on longer term studies as the model drifts away from a realistic state. As we plan to apply the coupled model for high-resolution simulations with a time step in the order of seconds, we focus in this work on the accuracy of the diurnal flux cycles that can be achieved with such a model.

### 3.2 The modified soil model in Hybrid

In order to improve the delay in diurnal flux evolution and the weak responsiveness of sudden short-term changes in atmospheric forcing, new simulation approaches for surface temperature and heat diffusion were introduced in Hybrid.

#### 3.2.1 Diagnostic surface temperature

An extrapolated surface temperature ( $T_0$ ) is being introduced that is then subsequently used for the calculation of atmo-



**Fig. 4.** Conceptual drawing of the assumed quadratic subgrid soil temperature profile and the associated parameters. In order to derive  $\bar{T}_1$  and  $\bar{T}_2$  geometrically the areas  $A_1$  and  $A_2$  must be equal.

spheric stability through the Bulk Richardson number as well as for  $Q_H$  and  $Q_E$ . This approach is somewhat similar to the “force-restore method” (Blackadar, 1979) that also aims at providing a realistic surface temperature imitating the behaviour of real soils. However, while “force-restore” uses an oscillating heat source as forcing term and a heat flux into the ground as restoring term (Yee, 1988), our method is not dependent on a periodic heating function and uses the concept of layer heat storage.  $T_0$  is derived from a set of assumptions that were already included in Hybrid going back to Hansen et al. (1983). For both layers denoted with the subscripts 1 and 2 from the model top, we assume a quadratic temperature profile ( $T(z)$ ) (Fig. 4):

$$T_{1,2}(z_{\text{rel}}) = a_{1,2} (z_{\text{rel}} - d_{1,2})^2 + T_{\text{base}_{1,2}} \quad (4)$$

with a constant ( $a$  [ $\text{K m}^{-2}$ ]), the depth below the top of the layer ( $z_{\text{rel}}$  [m]), the layer thickness ( $d$  [m]) and the temperature at the lower boundary of the respective layer ( $T_{\text{base}}$ ). There is assumed to be no transfer of heat through the lower model boundary i.e.  $T_{\text{base}_2}$  is constant and equal to the annual mean temperature of  $0^\circ\text{C}$  (You et al., 2006, recited from Keil et al., 2010). We are aware of this being a simplification.

However, the annual temperature cycle at 4 m is expected to be small and the rate of change as well as the diurnal temperature cycle is too small to have an impact on the day scale. For future research the seasonal mean temperature could be used in order to remove this potential source of error. The relationship between layer heat content  $E$  [J] and temperature profile is given by:

$$E = c_{ps} \int_{z_L}^{z_U} T(z) dz \quad (5)$$

where  $z_L$  and  $z_U$  are the lower and upper boundaries of the layer and  $c_{ps}$  [ $\text{J m}^{-3} \text{K}^{-1}$ ] is the total soil heat capacity. Hence, with a known heat content for each layer it is possible to solve for

$$a_2 = \frac{\frac{E_2}{c_{ps,2}} - d_2 T_{\text{base}_2}}{\frac{d_2^3}{3}}, \quad (6)$$

by integrating Eq. (5) with Eq. (4) from  $z_L = 0$  to  $z_U = d_2$  and solving for  $a_2$ . The base temperature of the first layer is related to  $T_{\text{base}_2}$  through

$$T_{\text{base}_1} = T_{\text{base}_2} + a_2 d_2^2. \quad (7)$$

In a similar fashion  $a_1$  and  $T_0$  can be approximated:

$$a_1 = \frac{\frac{E_1}{c_{ps,1}} - d_1 T_{\text{base}_1}}{\frac{-z_1^3}{3}} \quad (8)$$

and

$$T_0 = T_{\text{base}_1} + a_1 d_1^2. \quad (9)$$

As  $T_{\text{base}_1}$  is a parameter of both Eqs. (7) and (9) and  $a_1, 2$  are of crucial importance to the initialisation of  $E_{1,2}$ , special care has to be taken, when assigning initial conditions (see discussion in Sect. 3.3).

### 3.2.2 Heat diffusion estimation

The soil heat flux is derived from the residual of the surface energy balance. In the original heat diffusion algorithm of Hybrid (Hansen et al., 1983), the heat flux from the first to the second soil layer  $F(z)$  is dependent on the difference between mean surface layer temperatures ( $\bar{T}$ ), the soil heat flux calculated as residual of turbulent and radiation fluxes ( $F(0)$ ), layer thickness and thermal resistances  $r$ ,

$$F(z) = \frac{3\bar{T}_1 - 3\bar{T}_2 - 0.5F(0)r_1}{r_1 + r_2} \times \Delta t, \quad (10)$$

where  $\Delta t$  is model time-step. This leads to unrealistic modelled heat fluxes  $F(z)$  as  $F(z)$  is largely dominated by  $F(0)$ , which is positive during nighttime and negative during daytime, thus leading to a net transfer of heat from a cold to

a warm layer. With the assumption of a subgrid temperature profile the heat flux between the two layers Eq. (10) was modified with a heat diffusion approach and integration of

$$\frac{\partial T}{\partial t} = D \frac{\partial^2 T}{\partial z^2} \approx D \Delta t \frac{T(z_1 + \Delta z) - 2T(z_1) + T(z_1 - \Delta z)}{2\Delta z} \quad (11)$$

with  $D$  being a soil moisture dependent diffusion constant for heat. We assume  $\partial z$  to be approximated by the diffusion length  $L = 2\sqrt{\Delta t D} = \Delta z$  and the temperatures are taken from the assumed profile. As the model is run with the short time-step of the atmospheric model, such a formulation becomes valid. A rough calculation for  $L$  with  $D = 10^{-6} \text{ m}^2 \text{ s}^{-1}$ , which is close to the determined value, and  $\Delta t = 30 \text{ min}$  gives  $L = 0.08 \text{ m}$ , which is close to  $d_1$ , posing an upper limit on  $\Delta t$  for this method.

### 3.3 Surface temperature profile initialisation

Due to the quadratic nature of the soil layer temperature profiles and their potential kink at the layer interface (see Fig. 4), the modified model depends on careful initialisation that fulfills two requirements: (1) a realistic estimate of surface temperature and (2) an appropriate estimate of ground heat storage ( $E$ ) allowing the upper layer to react in a realistic way. In this study soil temperature measurements at several depths were used in order to accomplish both requirements. Surface temperature was estimated from upwelling longwave radiation according to the Stefan-Boltzmann law with a longwave emissivity of  $\epsilon = 0.97$ . We initialised  $E_2$  by setting  $T_{\text{base}_1}$  to the measured 10 cm temperature and then subsequently fitted the temperature curve for the first model layer by minimising the squared mean error with regard to measured soil temperatures. Due to the lacking 10 cm temperature at ITP, this temperature had to be estimated from the 20 cm measurement and  $T_0$  was approximated in order to estimate the initial  $E_1$ . It should be noted that the assumed quadratic temperature profile in the lower soil layer clearly underestimated the vertical temperature gradient in the soil as estimated UBT temperatures at 50 cm were always higher than measured temperatures. This difference is reduced from July to August as the summer warming reaches lower layers. This is a limitation due to fixed layer depths.

Table 2 shows the initial temperatures for each day. From the span of layer temperatures  $\bar{T}_1$  and  $\bar{T}_2$ , the theoretical parameter space of  $T_0$  for a constant  $T_{\text{base}_2}$  (Fig. 5) can be derived. While Fig. 5a and b show the individual dependence of temperature variables on each other as expressed in the respective Eqs. (7) and (9), Fig. 5c shows the combined effect of parameter variation. A random combination of the initial temperatures given in Table 2 would yield  $T_0$  in the range of  $-10$  to  $30^\circ \text{C}$ . In contrast, the actual model layer temperatures, indicated by the crosses in Fig. 5c, occupy a much smaller area and are, with the exception of one day, clustered closely. This highlights the importance of a careful initialisation of the soil temperature profile requiring knowledge

**Table 2.** Initial soil temperatures used in this study ( $\bar{T}_2$  and  $\bar{T}_1$  are estimated from the respective base and top temperatures of the layer according to a quadratic temperature profile), change of layer 1 mean temperature ( $\Delta\bar{T}_1$ ) over the modified Hybrid run, soil moisture content of layer 1 at beginning of the modified model run ( $\theta_{1,obs}$ ) and at the end of the simulation ( $\theta_{1,end}$ ). The values in parenthesis are expressed as  $\theta_1/FC$  [-].

Site	Date	$\bar{T}_2$ [°C]	$T_{1,base}$ [°C]	$\bar{T}_1$ [°C]	$T_0$ [°C]	$\Delta\bar{T}_1$ [°C]	$\theta_{1,obs}$ [%]	$\theta_{1,end}$ [%]
UBT	10 July	3.9	11.8	10.9	9.3	-1.6	26.9 (1.47)	41.1(2.24)
	27 July	4.5	13.4	12.5	10.6	-1.6	20.8 (1.14)	17.0 (0.92)
	5 August	4.8	14.4	13.4	11.2	-3.0	26.9 (1.47)	19.1 (1.04)
	6 August	4.75	14.3	12.8	9.8	-1.4	25.4 (1.39)	34.0 (1.85)
ITP	10 July	5.4	16.2	13.2	7.2	-1.2	6.0 (1.1)	25.1 (5.02)
	27 July	7.2	21.6	17.8	10.2	-1.7	3.0 (0.6)	1.6 (0.32)
	5 August	5.7	17.1	11.1	-0.8	0.2	11.0 (2.2)	4.3 (0.86)
	6 August	5.6	16.8	11.6	1.1	1.9	9.0 (1.8)	3.7 (0.73)

about subsurface temperatures that are difficult to estimate without field measurements.

#### 4 Flux comparison

Surface fluxes derived with any method contain inaccuracies such as measurement errors or theoretical limitations. Therefore we are not comparing our modelling results to the absolute truth, but to two flux references.

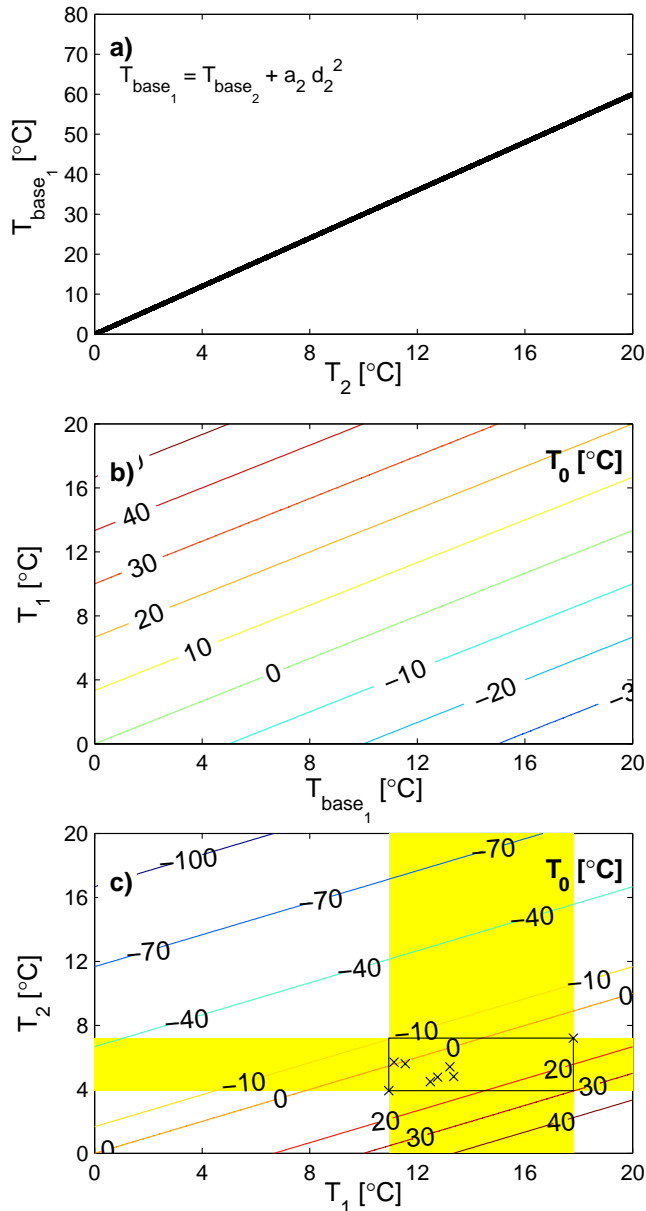
##### 4.1 EC and SEWAB reference fluxes

Fluxes estimated by both versions of Hybrid are compared with observed fluxes derived by eddy covariance (EC) method and fluxes modelled by the SVAT model SEWAB (Surface Energy and Water Balance model – Mengelkamp et al., 1999), which has been configured for the two sites for gap-filling and up-scaling of flux measurements. Both flux references yield fluxes averaged over 30-min intervals. Unlike many SVAT models that derive the soil heat flux from the flux residual, SEWAB is solving the surface energy balance equation ( $Q_E + Q_H + Q_{Rad} + Q_{Soil} = 0$ ) iteratively for  $T_0$  by Brent's method (Mengelkamp et al., 1999), hence closing the energy balance locally (Kracher et al., 2009). In contrast, the surface energy balance closure derived by EC is only in the order of 0.7 at Nam Co Lake (Zhou et al., 2011). Consequently, 30 % of the net radiation is not captured by surface flux measurements. However, energy balance closure must not be used as a quality measure for flux measurements (Aubinet et al., 1999) as surface heterogeneity leads to organised low frequency structures and mesoscale circulations (Panin et al., 1998; Kanda et al., 2004) that are mainly responsible for the lack of closure (Foken, 2008). The energy balance problem for eddy-covariance measurements is summarized in Foken et al. (2011). Additionally, in sea (lake) breeze systems a significant portion of the energy fluxes is transported horizontally (Kuwagata et al., 1994). Therefore,

SEWAB (and Hybrid) fluxes are comparatively larger than the measured ones. When the energy balance is closed artificially by redistributing the residual to fluxes according to the Bowen ratio (Twine et al., 2000), the resulting fluxes are in much better agreement with SEWAB (not shown). Therefore energy balance corrected fluxes are used whenever possible ( $Q_{EC,EBC}$  and  $Q_{HEC,EBC}$ ). Artificial energy balance closure is only possible, when the Bowen ratio can be determined from flux measurements and when data about the available energy is measured. EC data were collected at 3 m height and calculated using the TK3 package (Mauder et al., 2008; Mauder and Foken, 2011). Quality checks were performed according to Foken et al. (2004). A detailed description of the instrumentation can be found in Biermann et al. (2009). The rain event of 10 July leads to the exclusion of fluxes due to quality concerns. Both Hybrid and SEWAB produce fluxes during rain, but their quality is unknown as they cannot be compared to measurements.

Measuring in close proximity to the lake also means that depending on wind direction the fluxes measured at UBT are originating from land, water or a mixture of both as the footprint of the EC system and thus also of the forcing data is located upwind of the site. This leads to problems in the energy balance closure and the integration of fluxes. The development of a lake breeze system at Nam Co means that during most days there are no flux measurements available from the late morning or early afternoon until the lake breeze ceases. The days of 27 July and 6 August are the only days during which the field campaign provides data that do not have a full lake breeze influence at UBT. Therefore it is beneficial to compare not only to measured fluxes, but also to SEWAB ( $Q_{E,SEWAB}$  and  $Q_{H,SEWAB}$ ).

For completeness, fluxes over the lake calculated by the TOGA-COARE algorithm (Fairall et al., 1996a,b) that is also part of the coupled surface-atmosphere model are given during lake breeze events.



**Fig. 5.** Dependency of soil temperature parameters: (a) relationship between mean temperature of layer 2 ( $\bar{T}_2$ ) and bottom temperature of layer 1 [ $T_{\text{base}_1}$ , Eq. (7)] –  $a_2$  is calculated according to Eq. (6); (b) surface temperature ( $T_0$ ) contour plot as function of  $T_{\text{base}_1}$  and layer 1 mean temperature ( $\bar{T}_1$ ) and (c) contours of ( $T_0$ ) as function of  $\bar{T}_2$  and  $\bar{T}_1$ . The black rectangle at the intersection of the layer temperature ranges (yellow) indicates the theoretical parameter space given by the temperature values used in this study and the black crosses mark the actual configurations.

## 4.2 Statistical evaluation measures

Model quality was assessed by Root Mean Square Deviation (RMSD)

$$\text{RMSD} = \sqrt{\frac{1}{N} \sum_{i=1}^N (P_p - P_r)_i^2} \quad (12)$$

and Cross Correlation according to the coefficient of determination ( $R^2$ ):

$$R^2(j) = \left( \frac{\text{cov}(P_p(1+j:N), P_r(1:N-j))}{\sigma_{P_p(1+j:N)} \sigma_{P_r(1:N-j)}} \right)^2 \quad (13)$$

with  $R^2(j)$  being the coefficients of determination for the predicted ( $P_p$ ) and reference ( $P_r$ ) flux time series shifted by  $j$  elements, the total number of elements in each time series ( $N$ ) and  $\sigma$  as their respective standard deviations. Both SEWAB and EC measurements produce 30-min flux averages, whereas Hybrid was set to 10-min averaged fluxes. Therefore the reference fluxes were linearly interpolated to Hybrid's output times before statistical evaluation. Periods when no energy balance corrected EC measurements were available (see Figs. 6 and 7 for details) were excluded from the calculation of the statistical measures.

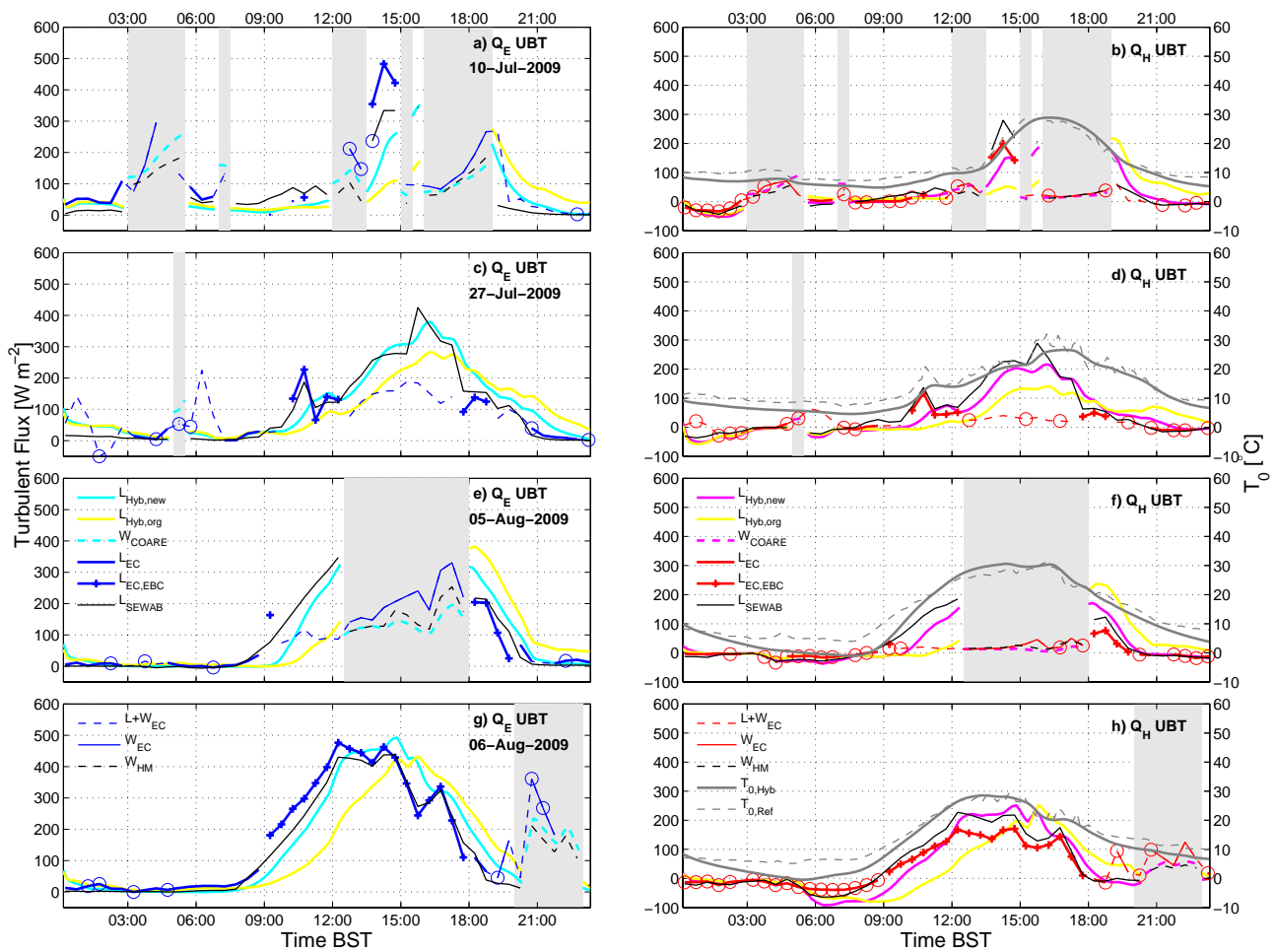
## 5 Results and discussion

The following section presents and discusses the improvements that are achieved for a simple two-layer model when a new algorithm for the surface temperature was implemented.

The original two-layer model Hybrid fails to reproduce the diurnal dynamics observed at UBT (Figs. 6 and 7) due to the thermal inertia of the top-layer. The delayed response in surface temperature leads to a shift in the resulting turbulent surface fluxes. This causes an underestimation of  $Q_E$  and  $Q_H$  until  $\sim 18:00$  BST and later to an overestimation due to delayed surface cooling. The improvement of the modified Hybrid over the original formulation is discussed in more detail in Sects. 5.1 and 5.4.

The latent (Fig. 6 – left column) and sensible heat fluxes (right column) estimated with the modified Hybrid model are generally in good agreement with the reference fluxes derived by EC and SEWAB. The diagnostic surface temperature (right column) also shows a close agreement. In some instances there remains a small shift in fluxes compared to the reference values, but this has been greatly improved compared to the original Hybrid. The surface temperatures are also in good agreement after sunrise, despite the fact that during the clear sky days in August excessive night-time surface cooling is simulated. This is less of an issue during the overcast nights.





**Fig. 6.** Model results for the modified Hybrid at UBT for 10 July 2009 (a–b), 27 July 2009 (c–d), 5 August 2009 (e–f) and 6 August 2009 (g–h). Left column: latent heat flux ( $Q_E$ ); right column: sensible heat flux ( $Q_H$ ) and surface temperature  $T_0$  [ $^{\circ}\text{C}$ ].  $L$  and  $W$  refer to “land” and “water” as origin of the fluxes.  $L+W$  is the complete available time series. The subscripts  $Hyb,mod$  and  $Hyb,org$  refer to fluxes from the modified and original Hybrid and  $COARE$  are fluxes from the lake derived by TOGA-COARE whereas  $SEWAB$  is a SVAT model and  $HM$  refers to a hydrodynamic multi-layer lake model after Foken (1984) and Panin et al. (2006).  $EC$  and  $EC,EBC$  refer to measurements by eddy covariance method where in the latter the energy balance has been closed by distributing the residual according to Bowen-ratio (this requires good data quality and fluxes and can only be done for fluxes that are attributed to land). The circles indicate poor data quality of the EC system according to Foken et al. (2004). Gray shading indicates times where the flux footprint of UBT was over the lake.

The situation at ITP is quite similar to UBT. The modified model agrees well with the EC and SEWAB reference data. On 5 August the turbulent flux dynamics, but not the magnitude of the fluxes, match the EC measurements closely (Fig. 7), while the original Hybrid showed a strong delay in the flux response as the soil remained frozen during the morning. While the magnitude of the latent heat flux is close to EC measurements,  $Q_H$  produced by Hybrid are of a similar magnitude as  $Q_H$  from SEWAB. These are considerably larger than the fluxes measured by EC and corrected for energy balance closure. For 6 August the modelled maximum of  $Q_E$  is larger than the maximum  $Q_{EC,EBC}$  and much greater throughout most of the day compared to SEWAB.  $Q_H$  in contrast shows similar diurnal dynamics as  $Q_{HEC,EBC}$ , but with its

magnitude between the sensible heat flux derived by SEWAB and  $Q_{HEC,EBC}$ . Around 18:00 h the  $Q_H$ -fluxes from the different methods become more similar. A large negative  $Q_H$ -flux in the morning hours is apparent but greatly improved compared to the unmodified Hybrid version. Figure 6a and b also highlights some limitations of ecosystem research as a large portion of the data had to be rejected due to limitations described in Sect. 4.1.

During lake breeze events the surface fluxes over water derived from TOGA-COARE are displayed. Sensible heat fluxes are in close agreement with EC data and fluxes derived by a hydrodynamic multi-layer lake model (Foken, 1984; Panin et al., 2006). Latent heat fluxes show a similar behaviour and are of similar magnitude on 10 July

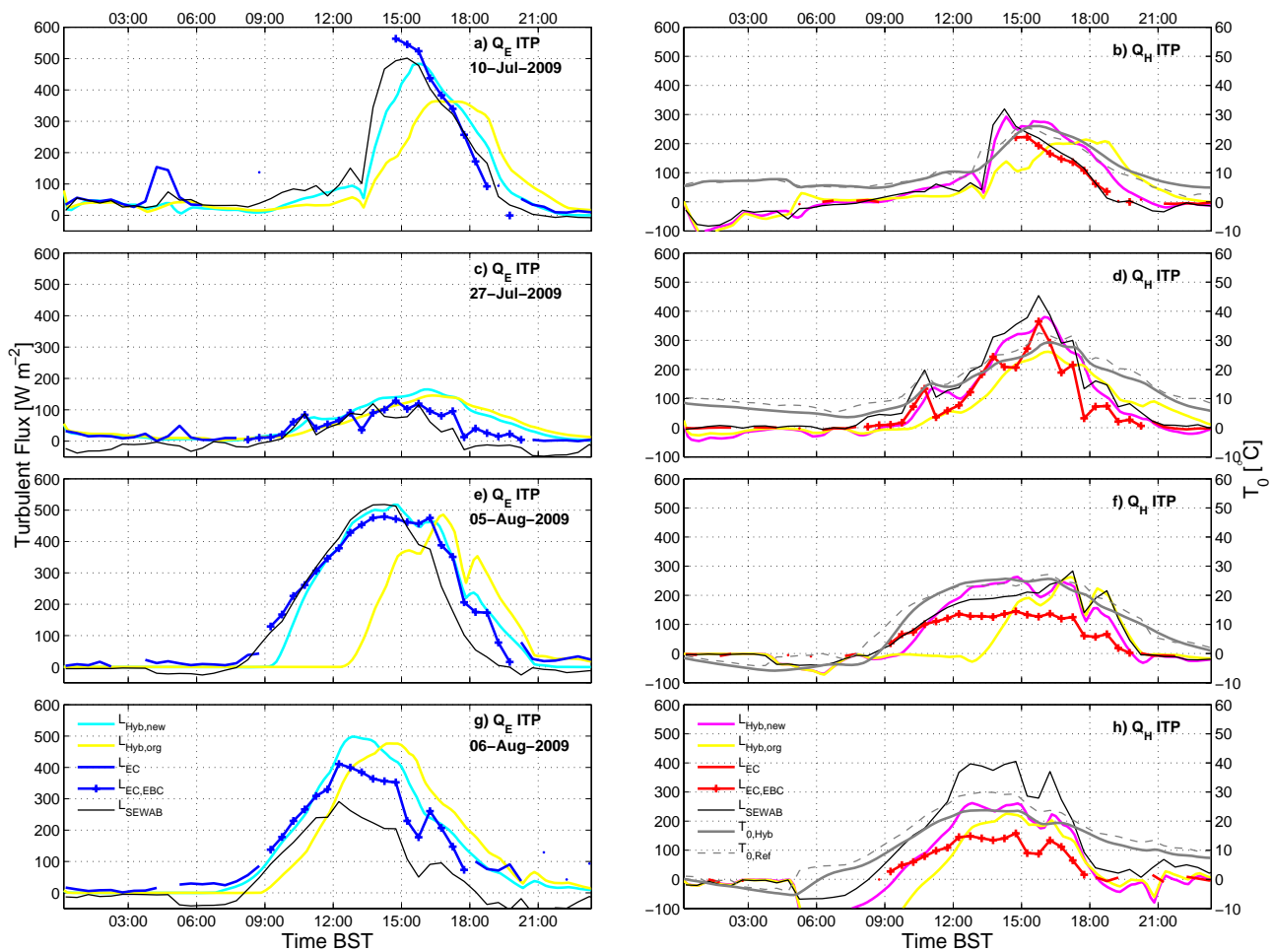


Fig. 7. Same as Fig. 6, but for ITP. There are no contributions from the lake.

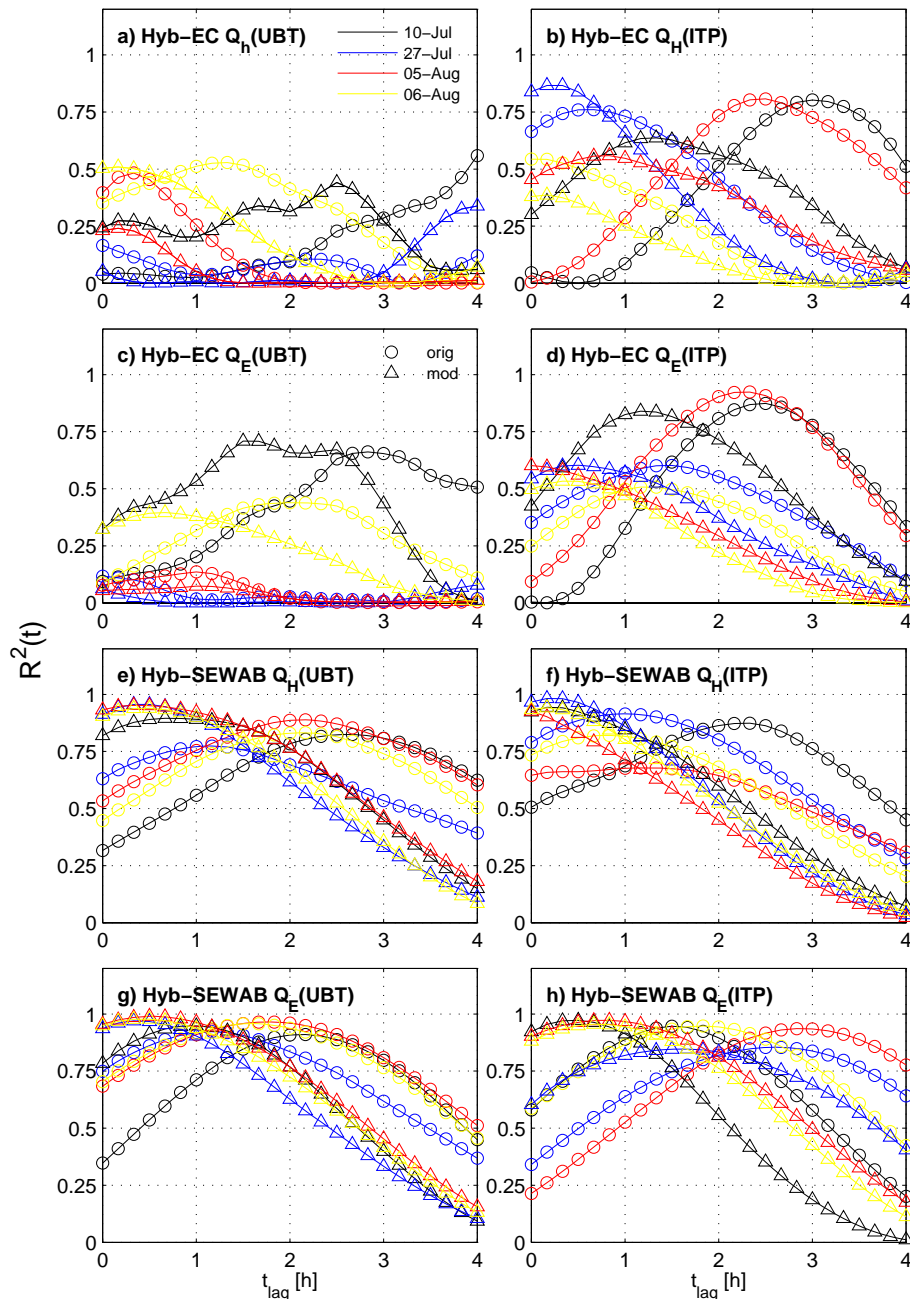
and 6 August. On 5 August there is at least a qualitative agreement between COARE and EC measurements.

### 5.1 Discussion of turbulent fluxes

The original two layer model reacts only slowly to the atmospheric forcing, delaying the fluxes' response. Such a time lag leads to a shift in the diurnal cycle and is problematic for the coupling to atmospheric models since surface fluxes are one of the main drivers of regional and local circulation as well as cloud development. These will certainly be affected by erroneous surface flux dynamics. In our specific case, the dampening of the diurnal temperature cycle and the delay in surface fluxes may reduce the intensity of the land-lake breeze or may delay its development through a reduction of differential heating between land and lake surface. However, there is still a minor delay visible in the modified Hybrid as the surface temperature is purely diagnostic and dependent on  $\bar{T}_1$ . This is discussed in more detail in Sect. 5.4.

Table 3 shows the results of the RMSD between the modelled results and the reference quantities. With the modified

Hybrid model there is a 40–60 % improvement in the RMSDs compared to the original Hybrid, when both are compared against SEWAB. The only notable exception for this is 6 August at ITP, where a strong deviation of turbulent fluxes derived by SEWAB and measured fluxes was encountered. This is due to an underestimation of soil water content by SEWAB as 6 August falls into a dry interval between rainy periods, where SEWAB underestimates the soil water content. The picture is more diverse for the comparison between the energy balance corrected EC fluxes and Hybrid. There is a reduction in the error for all cases, except  $Q_H$  on 6 August at ITP, but the reductions cover a much larger range from less than 1 to 80 %. Due to data quality concerns the number of comparable elements is much lower ( $N$  given in Table 3) and probably too small for meaningful statistics in case of UBT. This is especially true as the daytime lake breeze influence coincides with the times with periods of usually higher quality of EC fluxes. As flux qualities are usually lower during conditions with limited vertical exchange (stable stratification), EC fluxes at ITP mainly reflect the daytime model performance whereas the comparison with SEWAB also takes



**Fig. 8.** Cross correlation  $R^2(t)$  of simulated fluxes against flux reference shifted by  $t_{lag}$  as multiples of 10 minutes for each of the four days simulated with the original and modified Hybrid. The maximum number of elements used in the calculation of  $R^2$  for each curve can be taken from Table 3.

into account the night-time, where fluxes and therefore absolute differences are smaller. The small improvement of RMSD of  $Q_H$  and  $Q_{HEC,EBC}$  at ITP can be explained by the fact that the modified Hybrid follows the dynamics of EC, but flux estimates are larger and of the same magnitude as fluxes calculated by SEWAB. Mauder et al. (2006) have estimated the error of EC measurements to be 5 % or  $<10 \text{ W m}^{-2}$  for  $Q_H$  and 15 % or  $<30 \text{ W m}^{-2}$  for  $Q_E$ . Additional uncertainty

is added to the measured fluxes by the lack in energy balance closure. When this is taken into account there is a significant difference between the  $Q_{H,Hybrid}$  and  $Q_{HEC,EBC}$  for ITP on 6 August. On 5 August (ITP) and 6 August (UBT) the deviation of fluxes may still be explained by measurement errors and by shortcomings in the energy balance closure scheme. Indeed, there is no indication to assume scalar similarity between temperature and moisture transport (Ruppert et al.,

**Table 3.** Root mean square deviation (RMSD) between the modelled quantities of the original and modified Hybrid and reference values. The reference quantities used are either measured by EC and corrected for energy balance closure (EC,EBC) or modelled with SEWAB for fluxes or taken from longwave outgoing radiation for  $T_0$ . The values in parenthesis ( $N$ ) correspond to the number of elements used for calculation of RMSD and  $R^2(l=0)$  in Fig. 8.

Site	Date	Run	RMSD				
			$Q_E$ EC,EBC [ $\text{W m}^{-2}$ ]	$Q_H$	$Q_E$ SEWAB [ $\text{W m}^{-2}$ ]	$Q_H$	$T_0$ [ $^{\circ}\text{C}$ ]
UBT	10 July	orig	318	117 (8)	94	74 (94)	4.3 (139)
	27 July		97	58 (19)	60	59 (139)	4.5 (143)
	5 August		168	139 (11)	90	64 (110)	4.3 (143)
	6 August		159	84 (52)	87	71 (128)	3.7 (143)
ITP	10 July	orig	182	93 (25)	97	69 (143)	3.7 (143)
	27 July		43	64 (72)	58	75 (143)	3.8 (143)
	5 August		224	103 (64)	179	68 (143)	8.3 (143)
	6 August		118	80 (52)	130	119 (143)	5.1 (143)
UBT	10 July	mod	214	43 (8)	51	36 (94)	2.3 (139)
	27 July		79	44 (19)	32	28 (139)	2.9 (143)
	5 August		93	62 (11)	36	26 (110)	3.4 (143)
	6 August		78	57 (52)	39	32 (128)	3.2 (143)
ITP	10 July	mod	74	73 (25)	42	32 (143)	1.6 (143)
	27 July		42	58 (72)	55	36 (143)	2.6 (143)
	5 August		44	80 (64)	64	30 (143)	2.6 (143)
	6 August		68	82 (52)	113	77 (143)	3.5 (143)
UBT	all	orig	170	92 (90)	83	67 (471)	4.2 (568)
		mod	100	54 (90)	39	31 (471)	3.0 (568)
ITP	all	orig	152	84 (213)	125	86 (572)	5.6 (572)
		mod	54	73 (213)	74	48 (572)	2.7 (572)

2006; Mauder et al., 2007). Therefore, additional research, such as high-resolution atmospheric modelling studies, need to be carried out in order to determine the contributions of  $Q_H$  and  $Q_E$  to the “missing” energy. It should be noted that all modelled fluxes and measurements have errors, so that there is no absolute way of knowing which method produces the best flux estimates. The incorporation of surface fluxes into a regional circulation model may give some insight into whether modelled surface atmosphere interactions lead to realistic atmospheric flow patterns.

The large negative and potentially unreasonable night-time  $Q_H$ -fluxes that are modelled for ITP on 6 August are owed to a frozen soil and strong surface winds that lead to an overestimation of the temperature gradient, delayed reaction of the surface model and resulted in a potential underestimation of modelled surface temperatures and thus surface fluxes.

## 5.2 Discussion of surface temperature

For surface temperature there is a notable decrease in RMSD for all cases. Additionally, the source of the error changes. In the original model the error in  $T_0$  was mainly due to the time-lag and a general underestimation of daytime maximum

surface temperatures. In the new model daytime  $T_0$  matches a lot better with observations except for ITP 6 August, where evaporative cooling due to excessive evapotranspiration contributes to too small warming rates. In return, the cooling during the nighttime is overestimated. This may either be due to errors in soil moisture, surface emissivity ( $\epsilon$ ) or due to the surface temperature extrapolation function used in this work.

## 5.3 Soil moisture variation and evapotranspiration

After a 24 h run the moisture content of the first model layer (last two columns of Table 2) is smaller than measurements suggest. For UBT, measured soil moisture content does hardly vary on a day to day scale and is kept well above FC due to groundwater influence. This is not reflected by the model as it lacks the capability to include groundwater tables. The true soil moisture at ITP has a much larger variation due to its low FC and comparatively large pore volume. During the dry day of 27 July the upper soil layer loses 1.5 mm of water whereas during the moist days of August there is a total loss from layer one of 6.7 and 5.3  $\text{mm d}^{-1}$ , respectively. Comparing  $\theta_{1\text{end}}$  of 5 August with  $\theta_{1\text{obs}}$  of the next day shows that the model would perform considerably worse

if it were not restarted every day. This is caused by a very limited soil hydrology included in Hybrid. Hu et al. (2008) have estimated the summer evapotranspiration on a central Tibetan grassland site to be in the order of 4–6 mm d<sup>-1</sup>. An experiment conducted within the framework of TiP has estimated bare soil evaporation and evapotranspiration of a very dry soil at Kema in 2010 (~150 km northeast of Nam Co Lake) at 2 mm d<sup>-1</sup> rising to at least 6 mm d<sup>-1</sup> and possibly more for a vegetated *Kobresia* pasture during an irrigation experiment (H. Coners – University of Göttingen, personal communication, 27 June 2011). Even though the soils are not directly comparable this suggests similar dynamics in  $Q_E$  to the ITP site. One factor likely to play a role in the local water cycle that is not included is dew fall in the early morning hours. Direct absorption of atmospheric moisture on bare soil (Agam (Ninari) and Berliner, 2004) and dew fall are often considered a significant moisture input for semi-arid environments (Agam and Berliner, 2006). Heavy dewfall in the vicinity of Nam Co Lake is frequently observed, but has, at least to our knowledge, never been quantified. This additional source of water and the associated local recycling of water may account for a significant fraction of the missing water. In addition to this, the too simplistic representation of soil hydrology is very likely responsible for the remaining water deficit in the upper layer of the soil model.

#### 5.4 Cross correlation of turbulent fluxes

A different way of looking at the model performance is cross correlation of the modelled surface fluxes against EC measurements and SEWAB (Fig. 8). These measures give an insight into the reasons for the delayed response of the surface model and the amount of flux-variance explained, but does not yield information whether the model and the reference fluxes show a true one-to-one correlation. As with RMSD the quality of the analysis is limited by the number of data points that can be correlated, which is comparatively small for the energy balance corrected EC measurements at ITP and even smaller at UBT due to lake breeze influences (Fig. 8a–e). Hence, it is very difficult to interpret the cross correlations for EC. It is probably fair to say that there is a tendency for smaller time lags during the time series with higher number of elements, notably UBT 6 August and all days of ITP and that the total explained variances are at the same level of determination, when comparing the maximum  $R^2(j)$ . A notable exception is ITP 5 August.

For the comparison with SEWAB (Fig. 8f–h), it becomes notable that for many cases the maximum  $R^2(j)$  of the modified Hybrid approach  $R^2 \rightarrow 1$  and that their maxima are usually found at lags of 10–30 min ( $j = 1 - 3$ ). Solar radiation rapidly modifies the skin temperature that is governing turbulent fluxes. As SEWAB has an instantaneous surface temperature solver for each model time step, one would expect a direct response of SEWAB to changes in solar radiation. This may even be faster than in reality, especially for  $Q_E$  flux that

is not only dependent on the actual skin temperature, but also on the vegetation's response. Including negative values of  $j$  into Fig. 8 would show a gradual decrease of correlations with decreasing  $j$ , showing that the flux dynamics of Hybrid never precede EC measurements or SEWAB.

#### 5.5 Natural variability of fluxes

Atmospheric quantities and turbulent surface fluxes have a large natural variability that is difficult to measure or to model. The EC approach is dependent on averaging procedures and most standard measurements will yield mean values. In order to use high-frequency measurements for flux estimation, less common techniques such as conditional sampling or wavelet-spectra have to be used. Even if models are capable of reproducing variability on realistic scales it is difficult to supply forcing data with similar resolution. The forcing data used in this study, sampled and averaged 10 or 30 min means, are used for SEWAB. Running Hybrid at time steps comparable to a high-resolution mesoscale model requires interpolation of the forcing data and therefore potentially causes a smoothing of the model's response compared to the actual weather forcing as it would be provided by a coupled model. As surface models share a similar approach to the parameterisation of surface fluxes and close the surface energy balance locally, SEWAB and Hybrid fluxes are more similar to each other than they are to field measurements.

## 6 Conclusions

The accurate generation of surface fluxes is a necessary prerequisite for studies of surface-atmosphere interactions and local to mesoscale circulations. In order to gain a better process understanding of the interaction between atmospheric circulation, clouds, radiation and surface fluxes, the generated diurnal flux cycles have to be of realistic magnitude and without temporal shift. The original two-layer surface model without a specific formulation for  $T_0$  produced both a considerable time lag and failed to capture the full diurnal dynamics due to its unresponsiveness.

We have demonstrated that the introduction of an extrapolated surface temperature enables even a quite simplistic soil model to realistically simulate skin temperatures and thus to generate more realistic surface fluxes. The delay of fluxes during the daily cycle was greatly reduced, making the model usable for diurnal process studies. The total magnitude of fluxes is also much improved, when few and computationally cheap additional physically based processes are introduced. Comparing SEWAB with Hybrid, the RMSD for both fluxes and surface temperature is decreased by generally 40–60%. The improvement in quality was somewhat more varied in comparison to EC measurements, as comparison of models and measurements is not straight forward. The improved  $R^2(j)$  for smaller values of  $j$  shows that temporal shifts of

the flux time series have been greatly reduced and the overall correlations are high. As with any natural system it is impossible to obtain complete data sets that capture the full amount of natural variability. However, the modified model has been tested for a larger spectrum of environmental conditions on the TP and produced reasonable results for both dry and moist conditions.

We have shown that a rather simple soil surface model can efficiently calculate turbulent fluxes at a high temporal resolution when driven by realistic atmospheric conditions. Nevertheless, it is quite clear that such an approach with extrapolated surface temperature needs careful model initialisation. The initial soil heat contents and therefore knowledge of soil temperature profiles is necessary. Due to the fact that the surface temperature in this study is a purely diagnostic quantity, there may still be some limitations such as a delayed or smoothed response to atmospheric forcing on very short timescales, such as the feedback between passing boundary-layer clouds and the surface fluxes. The influence of surface fluxes and their dynamics to regional circulation will be investigated in a future study.

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